



# ETF TRANSACTION SLIP

This slip to be used for Liquidation not for normal redemption

## 1. EXISTING UNIT HOLDER INFORMATION (Please note that Applicant details & mode of holding will be as per existing DP account.)

PAN No.  Name of First Applicant

## 2. DEMAT ACCOUNT DETAILS (Please ✓)

☐ NSDL ☐ CDSL

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL  BENEFICIARY Account No. (NSDL Only)

CDSL

Enclose for Demat Option: ☐ Client Master List ☐ Transaction/Holding Statement ☐ DIS Copy

## 3. REDEMPTION

☐ CASH

☐ All units OR ☐ No. of units

OR ₹ (in figures)  ₹ (in Words)

**Please Note:** if the balance in your folio is less than this redemption request, all units or entire balance shall be redeemed.

\*Bank Account No.:  Bank Name:

(\*Please specify the bank details in which you wish to receive the redemption proceeds. Kindly note that this bank account should be the registered bank account in the DP account the redemption proceeds will be credited into the default bank account. Also this cannot be treated as change of bank mandate.)

## DECLARATION

I/We confirm that the information provided in this form is true & accurate. I/We have read and understood the contents of all the scheme related documents and I/We hereby confirm and declare that I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment; the amount invested/to be invested by me/us in the scheme(s) of Quantum Mutual Fund ("the Fund") is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time. The monies invested by me in the schemes of the Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"). All information provided in this application form together with its annexures is/are true and correct to the best of my/our knowledge and belief and I/We shall be liable in case any of the specified information is found to be false or untrue or misleading or misrepresenting. I/We authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Fund, its Sponsor, AMC, trustees, their employees/RTAs or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to SEBI, the Financial Intelligence Unit-India, the tax/revenue authorities in India or outside India wherever it is legally required and other such regulatory/investigation agencies or such other third party, on a need to know basis, without any obligation of advising me/us of the same. I/We shall keep you forthwith informed in writing about any changes/modification to the information provided or any other additional information as may be required by you from time to time. Towards compliance with tax information sharing laws, such as FATCA and CRS: (a) the Fund may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from investors. I/We ensure to advise you within 30 days should there be any change in any information provided; (b) In certain circumstances (including if the Fund does not receive a valid self-certification from me) the Fund may be obliged to share information on my account with relevant tax authorities; (c) I/We am aware that the Fund may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto; (d) as may be required by domestic or overseas regulators/ tax authorities, the Fund may also be constrained to withhold and pay out any sums from my/our account or close or suspend my account(s) and (e) I/We understand that I am / we are required to contact my tax advisor for any questions about my/our tax residency;

Signature(s)

Date

Place \_\_\_\_\_

Sole/1 <sup>st</sup> Applicant/Guardian/Authorised Signatory	2 <sup>nd</sup> Applicant / Authorised Signatory	3 <sup>rd</sup> Applicant / Authorised Signatory	POA Signatory
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**Want To  
Have The Latest  
Information  
About Us?**

**Website** : [www.QuantumAMC.com](http://www.QuantumAMC.com)

**Email** : [CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)

**SMS** : <QUANTUM> to 9243-22-3863

**Toll Free Helpline** : 1800-22-3863 / 1800-209-3863

**Missed Call Facility** : 022 6829 3807

Quantum Asset Management Company Private Limited  
1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate,  
Mumbai - 400 020

## Terms & Conditions

1. Redemption facility directly with the Mutual Fund would be restricted on special situation only as per SEBI circular SEBI/HO/IMD/DOF2/P/CIR/2022/69 DATED 23rMAY 2022.
2. Please ensure to quote the correct deamt account where the ETF units holding as per our record matches.
3. The following cut-off timings shall be applicable with respect to repurchase of units in the Scheme and the following NAVs shall be applied for such repurchase:
  - a. In respect of valid application (enclosed with units transfer request duly acknowledged by the depository participant with whom the Investor has a depository account) is received up to 3.00 pm – closing NAV of the day on which the application is received shall be applicable and
  - b. An application received after 3.00 pm – closing NAV of the next business day shall be applicable.
4. Investors may submit duly filled redemption request to the Depository participant or AMC.
5. The request for redemption by Investors needs to be enclosed with the delivery order used in the depository system duly acknowledged by the DP with whom Investor has a depository account stating the number of ETF Units transferred to the Scheme's DP account.
6. Investors need to submit the off-market units transfer instruction DP ack of "Delivery Challan":
  - a. It is mandatory to submit the Off market "Delivery Chalan" to transfer the units from their DP account to scheme DP account.
  - b. This Delivery Challan need to be submitted to his DP and ack to be attached to transaction slip.
7. After successful verification of the redemption request, the transaction will be processed with applicable NAV as per cut off timings.
8. If an investor has a folio generated by the AMC (even for units held in demat mode), the redemption proceeds shall be credited to the bank account registered in the folio of the investor. Otherwise, the redemption proceeds shall be credited to the bank account linked to his demat account.

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**Website** : [www.QuantumAMC.com](http://www.QuantumAMC.com)



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