

**QUANTUM MUTUAL FUND**

Profit with Process

and only  
India's 1<sup>st</sup> Direct to Investor  
Mutual Fund**Investment Manager: Quantum Asset Management Company Private Limited**

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**ADDENDUM NO. 3/ 2014****NOTICE CUM ADDENDUM**

**NOTICE IS HEREBY GIVEN THAT the Board of Directors of Quantum Trustee Company Private Limited Trustee to Quantum Mutual Fund has approved the following changes as may be applicable in the Scheme Information Documents (SID) and Key Information Memorandum (KIM) of the Scheme(s) and Statement of Additional Information (SAI) of Quantum Mutual Fund with effect from May 2, 2014:**

**A. SID provisions under the heading of "Restrictions, if any, on the right to freely retain or dispose of units being offered" for Quantum Long Term Equity Fund, Quantum Tax Saving Fund, Quantum Equity Fund of Funds, Quantum Liquid Fund, Quantum Gold Savings Fund and Quantum Multi Asset Fund shall be read as under :**

In conformity with the guidelines and notifications issued by SEBI / Government of India / any other Regulatory Agencies from time to time, as applicable, Units under the Scheme may be offered as security by way of a lien / charge in favour of scheduled banks, financial institutions, non-banking finance companies, or any other body. The Registrar will note and record the lien against such Units. A standard form for this purpose is available on request. The Unit Holder will not be able to redeem / switch Units under lien until the lien holder provides written authorisation to the AMC/Mutual Fund/Registrar that the lien is discharged. As long as Units are under lien, the lien holder will have complete authority to exercise the lien, thereby redeeming such Units and receiving payment proceeds. In such instance, the Unit Holder will be informed by the Registrar through an account statement. Dividends declared on units under lien will be paid / reinvested to the credit of the Unit Holder and not the lien holder unless specified otherwise in the lien letter. The Units of the Scheme (except the units held in dematerialised mode since they are governed under SEBI (Depository and Participants) Regulations, 1996) are currently not transferable. The Trustee reserves the right to make the Units transferable at a later date subject to the Regulation. Any addition / deletion in respect of joint holdings other than the first holder and/ or addition of names to a single holding will be permitted under any folio of the scheme and this will not be deemed to be in the nature of transfer. Any addition / deletion of names shall not be allowed where the units are subject to lien / pledged / charge. However, the deletion of names in case of death of the first named unit holder (in respect of joint holdings) will be treated as transmission of units.

**B. SAI provisions under the heading "Transfer / Transmission Facility" shall be read as under:**

The Mutual Fund will be purchasing / redeeming of Units (Subject to lock-in period) on an ongoing basis and hence the transfer facility is currently found redundant. The Units of the Scheme (except the units held in dematerialised mode since they are governed under SEBI (Depository and Participants) Regulations, 1996) are currently not transferable. The Trustee reserves the right to make the Units transferable at a later date subject to relevant provisions of the Regulation(s).

The first-named unitholders will be entitled to receive the account statements, all notices and correspondences with respect to the account, as well as the proceeds of any repurchase / redemption or dividend or other distributions and shall be entitled to exercise voting rights as permitted associated with such units.

Any addition / deletion in respect of joint holdings other than the first holder and/ or addition of names to a single holding will be permitted under any folio of the scheme and this will not be deemed to be in the nature of transfer. However, the deletion of names in case of death of the first named unit holder (in respect of joint holdings) will be permitted for the purpose of transmission of units.

All joint holding of Units and mutual understanding amongst the joint holders should be dealt with privately amongst the joint holders. The AMC, Trustee Company, Mutual Fund will not be responsible to fulfill and or discharge any claim; dues, liability (statutory, monetary or otherwise, whatsoever) that may arise on account of such joint holding and mutual understanding, if any amongst them.

A person who wish to make addition / deletion in respect of joint holding other than the first holder and/ or addition of names to a single holding, upon producing of documentation to the satisfaction of the Mutual Fund and / or AMC and upon executing suitable indemnity in favour of the Mutual Fund and the AMC, shall be registered / removed as a Joint Unit holder. No addition / deletion of names are allowed where the units are under lien/ pledged / charged. The Nomination in respect of folio wherein request for addition / deletion have been received stands rescinded upon the addition / deletion of names and the Mutual Fund / AMC shall not be under any obligation to transfer the units in the favour of the nominee/s. The updated single or joint holders shall be required to provide fresh nomination upon registration / removal of a joint holder.

Further, if a transferee becomes a holder of the Units by operation of law, or in case of joint holding or upon enforcement of a pledge, the AMC shall, subject to production of such evidence, which in their opinion is sufficient, proceed to effect the transfer, if the intended transferee is otherwise eligible to hold the Units, within 30 days from the date of the receipt of all relevant documents, as specified under SEBI Regulation and the upon having paid stamp duty under the relevant Stamp Act of the State (if and as may be applicable).

This addendum forms an integral part of the SID and KIM of the Scheme(s) as may be applicable and SAI of Quantum Mutual Fund as amended from time to time. All other terms and conditions of the Scheme (s) will remain unchanged.

**For Quantum Asset Management Company Private Limited  
(Investment Manager - Quantum Mutual Fund)**

Sd/-

**Jimmy A Patel****Chief Executive Officer****Place: Mumbai****Date: April 30, 2014**

**Mutual fund investments are subject to market risks read all scheme related documents carefully.** Please visit – www.QuantumMF.com to read scheme specific risk factors. Investors in the scheme are not being offered a guaranteed or assured rate of return and there can be no assurance that the schemes objective will be achieved and the NAV of the scheme may go up and down depending upon the factors and forces affecting securities market. Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including possible loss of capital. Past performance of the sponsor / AMC / Mutual Fund does not indicate the future performance of the Scheme. **Statutory Details:** Quantum Mutual Fund has been constituted as a Trust under the Indian Trusts Act, 1882. **Sponsor:** Quantum Advisors Private Limited. (liability of Sponsor limited to Rs. 1,00,000/-) **Trustee:** Quantum Trustee Company Private Limited **Investment Manager:** Quantum Asset Management Company Private Limited The Sponsor, Trustee and Investment Manager are incorporated under the Companies Act, 1956.