



Investment Manager: Quantum Asset Management Company Private Limited

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ADDENDUM NO. 1/ 2015

NOTICE CUM ADDENDUM

NOTICE IS HEREBY GIVEN THAT the Board of Directors of Quantum Trustee Company Private Limited Trustee to Quantum Mutual Fund has approved the following change in the Scheme Information Documents (SID) and Key Information Memorandum (KIM) of the Scheme(s) and Statement of Additional Information (SAI) of Quantum Mutual Fund.

(I) EXTENTION OF TRANSACTIONS THROUGH ELECTRONIC MODE - SHORT MESSAGING SERVICES (SMS) / WHATSAPP MESSENGER (WHATSAPP) / WEB TRANSACTIONS WITHOUT LOGIN WITH EFFECT FROM FEBRUARY 3, 2015.

The Mutual Fund / AMC / Trustee Company / Registrar or any other agents or representatives of the Mutual Fund / AMC / Trustee Company / Registrar ("Receiver") may accept instructions / transaction requests sent through Short Messaging Services (SMS), WhatsApp Messenger (WhatsApp) and the AMC's Website Without Login (Web Transactions Without Login):

The Receiver will accept requests for Financial and Non-Financial Transactions like Subscriptions/ Redemption / Repurchase / Switch-in / Switch-out of units and Updation of personal data / information as prescribed on the website of the AMC / Fund from time to time through SMS, WhatsApp, Web Transactions Without Login Facility ("Messaging / Messenger / Web Transactions Without Login Facility") by an investor ("Sender") subject to the Sender fulfilling the terms and conditions as prescribed by the AMC from time to time. The following are the terms and conditions of the Messaging / Messenger / Web Transactions Without Login Facility:

1. SYNTAX BASED SMS TRANSACTIONS ("SMS - Syntax"):

- (a) The SMS - Syntax Facility will be available to existing registered Identified Investors as prescribed by the AMC from time to time and individual investors and joint holders and who are KYC compliant. Any new investor who wishes to avail this Facility shall first be required to subscribe / purchase units through the offline / web or such other mode of transactions as may be prescribed by the AMC from time to time.
(b) ASMS - Syntax transaction request shall be accepted only through the Mobile Number which is registered with the Fund / AMC under the particular folio.
(c) ASMS - Syntax transaction request shall be accepted only under the folio where E-mail ID of investor is registered with the Fund / AMC.
(d) The Sender is required to send the SMS - Syntax transaction request to the Mobile Number +91-924322 3863 in the specified Syntax as prescribed for the particular transactions request on the website of the AMC / Fund from time to time.
(e) For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system recorded date and time of the SMS received by the Server of the AMC / Registrar will be considered.
(f) The Sender agrees and acknowledges and is aware that there may be delay in delivery / difference in the date and time of the SMS received at the server of the AMC / Receiver and the date and time of the server through which investor has sent the SMS and also the AMC / Receiver server may not receive / reject the SMS sent by the Sender at all.
(g) The Sender is required to register and specify a designated bank mandate to subscribe units through the SMS Syntax Facility. The Sender also can transfer funds before or after sending SMS for the Financial Transaction Request.
(h) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.
(i) The AMC / Receiver may consider the SMS Syntax Financial Transaction Request which is not in the specified Syntax as prescribed by the AMC from time to time as a Non-Syntax Based SMS Financial Transaction Request after obtaining consent of the Sender by the confirmation call through the recorded line wherein the terms and conditions for the Non- Syntax Financial Transaction Request shall be applicable.

2. NON-SYNTAX BASED SMS TRANSACTIONS: ("SMS - Non Syntax"):

The SMS - Non Syntax Facility will be available to existing registered Identified Investors as prescribed by the AMC from time to time and individual investors and joint holders and who are KYC compliant. The new investor who wishes to avail this Facility shall first be required to subscribe / purchase units through the offline / web or such other mode of transactions as may be prescribed by the AMC from time to time.

- (a) ASMS - Non Syntax transaction request shall be accepted only through the Mobile Number which is registered with the Fund / AMC under the particular folio.
(b) ASMS - Non Syntax transaction request shall be accepted only under the folio where E-mail ID of investor is registered with the Fund / AMC.
(c) The Sender is required to send the SMS - Non Syntax transaction request to the Mobile Number +91-924322 3863 containing details as defined on the AMC website which are required to process the transaction request. Transaction requests sent to any other Mobile Number will not be accepted. The AMC / Receiver shall make a confirmation / verification call to the Sender on registered Mobile Number / Telephone / Contact Number through recorded line to confirm / verify the Financial Transaction Request.
(d) For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system recorded date and time at the end of the verification / confirmation call will be considered and not the date and time of the SMS received by the Server of the AMC / Registrar.
(e) The Sender agrees and acknowledges and is aware that there may be delay in delivery / difference in the date and time of the SMS received at the server of the AMC / Receiver and the date and time of the server through which investor has sent the SMS and also the AMC / Receiver server may not receive / reject the SMS sent by the Sender at all.
(f) The Sender agrees and acknowledges and is aware that the date and time of the SMS received at the server of the AMC / Receiver and the date and time of the system recorded end of the verification / confirmation call may be different.
(g) The Sender is required to register and specify a designated bank mandate to subscribe units through the SMS Non Syntax Facility. The Sender also can transfer funds before or after sending SMS for the Financial Transaction Request.
(h) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

3. WHATSAPP MESSENGER TRANSACTIONS - ("WhatsApp"):

- (a) The WhatsApp Facility will be available to existing registered Identified Investors as prescribed by the AMC from time to time and individual investors and joint holders and who are KYC compliant. Any new investor who wishes to avail this Facility shall first be required to subscribe / purchase units through the offline / web or such other mode of transactions as may be prescribed by the AMC from time to time.
(b) A WhatsApp transaction request shall be accepted only through the Mobile Number which is registered with the Fund / AMC under the particular folio.
(c) A WhatsApp transaction request shall be accepted only under the folio where E-mail ID of investor is registered with the Fund / AMC.
(d) The Sender is required to send the WhatsApp transaction request to the Mobile Number +91-924322 3863 containing details as defined on the AMC website which are required to process the transaction request. Transactions request sent to any other Mobile Number will not be accepted. The AMC / Receiver shall make a confirmation / verification call to the Sender on registered Mobile Number / Telephone / Contact Number through recorded line to confirm / verify the Financial Transaction Request.
(e) For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system recorded date and time at the end of the verification / confirmation call will be considered and not the date and time of the WhatsApp received by the system / Server of the AMC / Registrar.
(f) The Sender agrees and acknowledges and is aware that there may be delay in delivery / difference in the date and time of the WhatsApp received at the system / server of the AMC / Receiver and the date and time of the server through which investor has sent the WhatsApp and also the AMC / Receiver system / server may not receive / reject the WhatsApp sent by the Sender at all.
(g) The Sender agrees and acknowledges and is aware that the date and time of the WhatsApp received at the system / server of the AMC / Receiver and the date and time of the system recorded end of the verification / confirmation call may be different.
(h) The Sender is required to register and specify a designated bank mandate to subscribe units through the WhatsApp Facility. The Sender also can transfer funds before or after sending the WhatsApp for the Financial Transaction Request.
(i) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

4. ELECTRONIC MAIL (EMAIL) TRANSACTIONS:

Determination of Applicability of NAV:

Table with 2 columns: Existing Provisions, Revised Provisions. Existing Provisions: For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system generated date and time of the Email received by the Server of the AMC / Registrar will be considered. Revised Provisions: For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system recorded date and time at the end of the verification / confirmation call will be considered and not the date and time of the Email received by the Server of the AMC / Registrar.

5. EXAMPLE OF DATE AND TIME OF SENDING OF THE FINANCIAL TRANSACTION REQUEST THROUGH EMAIL / SMS - NON SYNTAX / WHATSAPP ("ELECTRONIC TRANSACTION") AND RECEIPT OF TRANSACTION REQUEST THROUGH ELECTRONIC TRANSACTION AND ALLOTMENT OF NAV:

Table with 2 columns: Event, Time. Events include: Electronic Transaction sent by the Sender at 2.00 p.m., Electronic Transaction Received by System / Server of the service provider of SMS / WhatsApp / Email of the Sender at 2.30 p.m., Electronic Transaction Received by System / Server of the Receiver (AMC / Registrar) at 3.30 p.m., The Verification / Confirmation Call made by the AMC at 4.00 p.m., The Verification / Confirmation Call ended time recorded in the system at 4.04 p.m.

For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system / server recorded date and time at the end of the verification / confirmation call i.e. 4.04 p.m. as explained in the above example will be considered subject to the provision of availability of funds for utilization as may be applicable as prescribed in the respective Scheme Offer Documents of the Scheme.

6. WEB TRANSACTIONS WITHOUT LOGIN - ("Web Without Login"):

- (a) The Web Transaction Without Login Facility will be available to existing registered Identified Investors as prescribed by the AMC from time to time and individual investors and joint holders and who are KYC compliant. The new investor who wishes to avail this Facility shall first be required to subscribe / purchase units through the offline / web or such other mode of transactions as may be prescribed by the AMC from time to time.
(b) A Web Without Login transaction request shall be accepted only under the folio where Email ID of Investor is registered with the AMC / Fund.
(c) A Web Without Login transaction request shall be accepted only under the folio where Contact Number of Investor is registered with the AMC / Fund.
(d) The Sender will receive an automated / system generated acknowledgment email of receipt of transaction request on submission of transaction request on the website but this shall not be deemed to be an acceptance of transaction request by the AMC / Receiver.
(e) For the purpose of determining the applicable NAV in accordance with SEBI Mutual Fund Regulations, the system recorded date and time at the end of the verification / confirmation call will be considered and not the date and time of the automated / system generated acknowledgment email of transaction submission received by the Sender.
(f) The Sender agrees and acknowledges and is aware that the date and time of the transaction made at the Website and automated / system generated acknowledgment email of transaction submission received by the Sender and the date and time of system recorded end of the verification / confirmation call may be different.
(g) The Sender is required to register and specify a designated bank mandate to subscribe units through the Web Without Login. The Sender also can transfer funds before or after transaction on website for the Financial Transaction Request.
(h) To consider cut off timing and availability of funds for utilization for determining the applicable NAV the provisions of the respective Scheme Information Documents of the Scheme will be considered.

All the terms and conditions for Electronic Facility including indemnity which are applicable for transaction through other Electronic Mode which is prescribed in the Key Information Memorandum/ Scheme Information Document and Statement of Additional Information shall be applicable to transactions through the Messaging, Messenger and Web Transactions Without Login Facility.

The AMC reserves the right to modify the terms and conditions, limit the type / numbers of transactions / transaction type, limit/ restrict the amounts per transaction / transaction type and / or to discontinue accepting instructions / transactions through the Messaging / Messenger / Web Transaction Without Login Facility. On availing the Messaging / Messenger / Web Transactions Without Login Facility, the Sender will unequivocally be bound by the terms and conditions of the Messaging, Messenger / Web Transactions Without Login Facility and Electronic Facility.

(II) ACCEPTANCE OF TRANSACTION REQUEST - JOINT HOLDING WITH EFFECT FROM FEBRUARY 3, 2015:

The AMC will accept the Financial and Non-Financial Transaction Request as prescribed in the SID, KIM and SAI through Email, Web, Fax and Fax- Email Facility where mode of holding is 'Joint' in addition to 'Anyone' or 'Either or Survivor'. Any Financial transaction request including redemption and / or Non Financial Transaction request made using the Electronic Mode / Facility, wherein, the mode of holding is 'Anyone' or 'Either or Survivor' or 'joint', then such requests shall be expressly deemed to have been carried out jointly by all the joint holders. The joint holders agree and confirm that every holder has the full and absolute authority to act for and on behalf of all and each of the other joint holders in case the mode of holding is 'Anyone' or 'Either or Survivor' or 'Joint', for making / executing the Financial transaction request including redemption request and / or Non Financial Transaction request through the Electronic Mode / Facility. All the joint holder(s) shall be deemed to have confirmed and ratified all the acts done by any other joint holder(s) and / or first holder on behalf of all and each other joint holders in relation to the usage of the Electronic Mode / Facility and further have agreed and are bound by the terms and condition of the Electronic Mode / Facility including indemnity applicable to the Electronic Mode / Facility.

The AMC, Trustee Company, Mutual Fund will not be responsible to fulfill and or discharge any claim, dues, loss, liability (statutory, monetary or otherwise, whatsoever) that may arise on account of such joint holding and mutual understanding, if any, amongst them. Liability of all the joint holder(s) shall be joint and several and shall be binding on each of the joint holders, their respective legal heirs, successors, executors and permitted assigns.

(III) MINIMUM BALANCE TO BE MAINTAINED AND CONSEQUENCES OF NON-MAINTENANCE WITH EFFECT FROM FEBRUARY 3, 2015:

The AMC may continue to process the continuous request of Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) considering such request as redemption request even if the minimum balance which is required to be maintained in a folio as specified in the respective Scheme Information Document of the Scheme(s) falls below in the Transferor Scheme and may result in closure of the investor's account which the AMC may do at its sole discretion. The AMC may accept the request of the investor for Switch / Transfer of all units from the Transferor Scheme even if the minimum balance which is required to be maintained as per the respective Scheme Information Document of the Scheme(s) falls below in the Transferor Scheme, to the Transferee Scheme even if the amount of all units being switched / transferred Scheme will be less than the minimum amount which is required to be invested as per the respective Scheme Information Document of the Scheme(s) of the Transferee Scheme and close the investor account in the Transferor Scheme at its sole discretion.

(IV) CLOSURE OF UNITHOLDER'S ACCOUNT WITH EFFECT FROM FEBRUARY 3, 2015

The Trustee / AMC reserves the right to close the Unitholder's Account or Account associated with the Unitholder by way of mandatory repurchase / redemption of units held in the schemes of the Mutual Fund in case the Unitholder / associate of the Unitholder, attempts to indulge, act, incultate, harass any person associated with the AMC / Mutual Fund / Trustee Company and its associates / service provider by way of any kind of behavior / actions, verbal / physical or otherwise which the AMC may deem fit and considered as indecent, vulgar, immoral, obscene, improper, unethical, unprofessional, provocative, unacceptable or compromising / trespassing / intrusion of individual privacy / rights / obligations / freedom / fundamental rights thereof in accordance with the laws, rules, notifications, acts of the State or Central Governments or statutory authorities or judicial pronouncement or otherwise.

(V) DISCONTINUATION OF DIVIDEND RE-INVESTMENT FACILITY UNDER DIVIDEND OPTION IN QUANTUM TAX SAVING FUND - AN OPEN ENDED EQUITY LINKED SAVING SCHEME WITH EFFECT FROM FEBRUARY 15, 2015

No fresh subscription in Dividend Re - Investment Facility under Dividend Option in Quantum Tax Saving Fund (Scheme) shall be accepted effective from February 15, 2015 because of discontinuation of Dividend - Reinvestment Facility. Accordingly, the Scheme will not have any facility under Dividend Option.

For existing investors/unit holders under the dividend Re-investment facility, dividend declared will be compulsorily paid out instead of being reinvested.

Further, the Systematic Investment Plans (SIP) that were registered under the dividend Re-investment facility of the Scheme will be processed under the Dividend Option.

(VI) TRANSACTIONS THROUGH MF UTILITY PLATFORM

Quantum Asset Management Company Private Limited (AMC) has entered into an Agreement with MF Utilities India Private Limited ("MFUI"), a "Category II - Registrar to an Issue" under SEBI (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, for usage of MF Utility ("MFU") - a shared services initiative of various Asset Management Companies, which acts as a transaction aggregation portal for transacting in multiple Schemes of various Mutual Funds with a single form and a single payment instrument. Accordingly, all financial and non-financial transactions pertaining to Schemes of Quantum Mutual Fund (FUND) can be done through MFU either electronically on www.mfuonline.com as and when such a facility is made available by MFUI or physically through the authorized Points of Service ("POS") of MFUI with effect from the respective dates as published on MFUI website against the POS locations. The list of POS of MFUI is published on the website of MFUI at www.mfuindia.com as may be updated from time to time. The Online Transaction Portal of MFUI i.e. www.mfuonline.com and the POS locations of MFUI will be in addition to the existing Official Points of Acceptance ("OPA") of the AMC.

The provision of the respective schemes including uniform cut-off time as prescribed by SEBI and as mentioned in the SID and KIM of respective schemes shall be applicable for applications received on the portal of MFUI i.e. www.mfuonline.com. However, investors should note that transactions on the MFUI portal shall be subject to the eligibility of the investors, any terms & conditions as stipulated by the MFUI / FUND / AMC from time to time and any law for the time being in force.

Investors are requested to note that, MFUI will allot a Common Account Number ("CAN"), a single reference number for all investments in the Mutual Fund industry, for transacting in multiple Schemes of various Mutual Funds through MFUI and to map existing folios, if any. Investors can create a CAN by submitting the CAN Registration Form (CRF) and necessary documents at the MFUI POS. The AMC and / or its Registrar and Transfer Agent - Karvy Computershare Private Limited shall provide necessary details to MFUI as may be needed for providing the required services to investors / distributors through MFUI. Investors are requested to visit the websites of MFUI or the AMC to download the relevant forms.

For any queries or clarifications related to MFU, please contact the Customer Care of MFUI on 1800-266-1415 (during the business hours on all days except Sunday and Public Holidays) or send an email to clientservices@mfuindia.com.

This addendum forms an integral part of the SID and KIM of the Scheme(s) and SAI of Quantum Mutual Fund as amended from time to time. All other terms and conditions of the Scheme(s) will remain unchanged.

For Quantum Asset Management Company Private Limited (Investment Manager - Quantum Mutual Fund) Sd/- Jimmy A Patel Chief Executive Officer

Place: Mumbai Date: February 02, 2015

Mutual fund investments are subject to market risks read all scheme related documents carefully. Please visit - www.QuantumMF.com to read scheme specific risk factors. Investors in the scheme are not being offered a guaranteed or assured rate of return and there can be no assurance that the schemes objective will be achieved and the NAV of the scheme may go up and down depending upon the factors and forces affecting securities market. Investment in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk, default risk including possible loss of capital. Past performance of the sponsor / AMC / Mutual Fund does not indicate the future performance of the Scheme. Statutory Details: Quantum Mutual Fund has been constituted as a Trust under the Indian Trusts Act, 1882. Sponsor: Quantum Advisors Private Limited. (liability of Sponsor limited to Rs. 1,00,000/-) Trustee: Quantum Trustee Company Private Limited Investment Manager: Quantum Asset Management Company Private Limited. The Sponsor, Trustee and Investment Manager are incorporated under the Companies Act, 1956.