



COMMON APPLICATION FORM

(Continuous Offer of units at NAV based Prices)

Quantum Long Term Equity Value Fund
(An Open Ended Equity Scheme following a Value Investment Strategy)

Quantum Liquid Fund
(An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit risk.)

Quantum Tax Saving Fund
(An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit)

Quantum India ESG Equity Fund
(An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)

Quantum Nifty 50 ETF Fund of Fund
(An Open ended Fund of Fund Scheme investing in units of Quantum Nifty 50 ETF)

Quantum Equity Fund of Funds
(An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds)

Quantum Gold Savings Fund
(An Open Ended Fund of Fund Scheme Investing in Quantum Gold Fund)

Quantum Multi Asset Fund of Funds
(An Open Ended Fund of Funds Scheme Investing in schemes of Quantum Mutual Fund)

Quantum Dynamic Bond Fund
(An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk.)

6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021
www.QuantumAMC.com

This key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Documents (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website www.QuantumAMC.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This KIM is dated October 31, 2023.

MUTUAL FUND

Quantum Mutual Fund.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021

TRUSTEE

Quantum Trustee Company Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U67190MH2005PTC156119

SPONSOR

Quantum Advisors Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U65990MH1990PTC055279

INVESTMENT MANAGER

Quantum Asset Management
Company Private Ltd.
6th Floor, Hoechst House,
Nariman Point, Mumbai - 400 021
CIN: U65990MH2005PTC156152

Product Labeling

Name of the Scheme & Tier I Benchmark and Tier II Benchmark	This product is suitable for investors who are seeking*	Risk-o-meter of Scheme	Risk-o-meter of Tier I Benchmark and Tier II Benchmark
<p>Quantum Long Term Equity Value Fund</p> <p>(An Open Ended Equity Scheme following a Value Investment Strategy)</p> <p>Tier I Benchmark: S&P BSE 500 Total Return Index</p> <p>Tier II Benchmark: S&P BSE 200 Total Return Index</p>	<ul style="list-style-type: none"> Long term capital appreciation Invests primarily in equity and equity related securities of companies in S&P BSE 200 index. 	<p>Investors understand that their principal will be at Very High Risk</p>	
<p>Quantum Tax Saving Fund</p> <p>(An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit)</p> <p>Tier I Benchmark: S&P BSE 500 Total Return Index</p> <p>Tier II Benchmark: S&P BSE 200 Total Return Index</p>	<ul style="list-style-type: none"> Long term capital appreciation Invests primarily in equity and equity related securities of companies in S&P BSE 200 index and to save tax u/s 80 C of the Income Tax Act. Investments in this product are subject to lock in period of 3 years. 	<p>Investors understand that their principal will be at Very High Risk</p>	

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Name of the Scheme & Tier I Benchmark	This product is suitable for investors who are seeking*	Risk-o-meter of Scheme	Risk-o-meter of Tier I Benchmark
<p>Quantum Equity Fund of Funds</p> <p>(An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds)</p> <p>Tier I Benchmark: S&P BSE 200 TRI</p>	<ul style="list-style-type: none"> Long term capital appreciation Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies 	 <p>Investors understand that their principal will be at Very High Risk</p>	
<p>Quantum India ESG Equity Fund</p> <p>(An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme)</p> <p>Tier I Benchmark: NIFTY100 ESG TRI</p>	<ul style="list-style-type: none"> Long term capital appreciation Invests in shares of companies that meet Quantum's Environment, Social, Governance (ESG) criteria 	 <p>Investors understand that their principal will be at Very High Risk</p>	
<p>Quantum Liquid Fund</p> <p>(An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit risk.)</p> <p>Tier I Benchmark: CRISIL Liquid Debt A-I Index</p>	<ul style="list-style-type: none"> Income over the short term Investments in debt / money market instruments 	 <p>Investors understand that their principal will be at Low Risk</p>	
<p>Quantum Dynamic Bond Fund</p> <p>(An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk.)</p> <p>Tier I Benchmark: CRISIL Dynamic Bond A-III Index</p>	<ul style="list-style-type: none"> Regular income over short to medium term and capital appreciation Investment in Debt / Money Market Instruments / Government Securities 	 <p>Investors understand that their principal will be at Moderate Risk</p>	
<p>Quantum Gold Savings Fund</p> <p>(An Open Ended Fund of Fund Scheme Investing in Quantum Gold Fund)</p> <p>Tier I Benchmark: Domestic Price of Physical Gold</p>	<ul style="list-style-type: none"> Long term returns Investments in units of Quantum Gold Fund – Exchange Traded Fund whose underlying investments are in physical gold 	 <p>Investors understand that their principal will be at High Risk</p>	
<p>Quantum Multi Asset Fund of Funds</p> <p>(An Open Ended Fund of Funds Scheme Investing in schemes of Quantum Mutual Fund)</p> <p>Tier I Benchmark: CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%)</p>	<ul style="list-style-type: none"> Long term capital appreciation and current income Investments in portfolio of schemes of Quantum Mutual Fund whose underlying investments are in equity, debt / money market instruments and gold 	 <p>Investors understand that their principal will be at Moderately High Risk</p>	
<p>Quantum Nifty 50 ETF Fund of Fund</p> <p>(An Open ended Fund of Fund Scheme investing in units of Quantum Nifty 50 ETF)</p> <p>Tier I Benchmark: Nifty 50 TRI</p>	<ul style="list-style-type: none"> Long term capital appreciation Investments in units of Quantum Nifty 50 ETF – Exchange Traded Fund 	 <p>Investors understand that their principal will be at Very High Risk</p>	

*Investors should consult their financial advisors if in doubt about whether the product is suitable for them. The investors of Quantum Equity Fund of Funds, Quantum Gold Savings Fund, Quantum Nifty 50 ETF Fund of Fund and Quantum Multi Asset Fund of Funds will bear the Scheme expenses in addition to the expenses of other schemes in which Fund of Funds scheme makes investment (subject to regulatory limits).

PRC Matrix – Quantum Liquid Fund

Credit Risk →	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Interest Rate Risk →			
Relatively Low (Class I)	A-I		
Moderate (Class II)			
Relatively High (Class III)			

PRC Matrix – Quantum Dynamic Bond Fund

Credit Risk →	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Interest Rate Risk →			
Relatively Low (Class I)			
Moderate (Class II)			
Relatively High (Class III)	A-III		

Quantum Long Term Equity Value Fund

An Open Ended Equity Scheme following a Value Investment Strategy

Investment Objective	The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 Index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.																													
Category Of Scheme	Value Fund																													
Scheme Code	QTMM/O/E/OES/06/02/0001																													
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Types of Instruments</th> <th style="text-align: center;">Normal allocation (% of Net Assets)</th> <th style="text-align: center;">Minimum allocation (% of Net Assets)</th> <th style="text-align: center;">Maximum allocation (% of Net Assets)</th> <th style="text-align: center;">Risk Profile</th> </tr> </thead> <tbody> <tr> <td>Listed Equity & Equity Related Securities of Companies</td> <td style="text-align: center;">95 to 99</td> <td style="text-align: center;">65</td> <td style="text-align: center;">99</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>To be listed Equity & Equity Related Securities of Companies</td> <td style="text-align: center;">0 to 3</td> <td style="text-align: center;">0</td> <td style="text-align: center;">5</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Money Market instruments</td> <td style="text-align: center;">1 to 5</td> <td style="text-align: center;">1</td> <td style="text-align: center;">35</td> <td style="text-align: center;">Low to Moderately High</td> </tr> <tr> <td>Liquid Schemes of Mutual Funds</td> <td style="text-align: center;">0 to 5</td> <td style="text-align: center;">0</td> <td style="text-align: center;">5</td> <td style="text-align: center;">Low to Moderate</td> </tr> </tbody> </table> <p>The above asset allocation is only indicative and may change from time to time, keeping in view the market conditions and applicable rules and regulations.</p>					Types of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	Risk Profile	Listed Equity & Equity Related Securities of Companies	95 to 99	65	99	Very High	To be listed Equity & Equity Related Securities of Companies	0 to 3	0	5	Very High	Money Market instruments	1 to 5	1	35	Low to Moderately High	Liquid Schemes of Mutual Funds	0 to 5	0	5	Low to Moderate
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Investment Strategy	<p>The investment strategy of the Scheme will be to invest in a basket of stocks after using intensive fundamental analysis, both quantitative and qualitative, monitor the portfolio actively but not so as to engage in excessive trading, and control risk by keeping the portfolio adequately diversified (both in terms of the sectors included in the portfolio as well as with respect to the level of concentration of any particular investment).</p> <p>The primary focus of the Scheme will be on companies that will typically be included in the S&P BSE 200 Index. Valuations will be developed based on the business of the company, the environment in which it operates, the skills and resources of its management, the strength of its balance sheet and cash flow relative to its long term goals, and other fundamental sector criteria. Investments will be made in companies whose shares are undervalued when compared to long term valuation expectations. Investments will be sold when the Investment Manager believes the market price of the shares has exceeded its assessment of the long term value of that company, or if there are adverse changes to that company's management, prospects or the markets in which that company operates. This evaluation against market prices will tend to be based on fundamental criteria (Dividend yields, price to earnings, price to cash flow, price to book value, and other different measures of share price ratios), relative to a company's peer group, its history and the overall equity markets.</p> <p>Market liquidity will be an important tool to mitigate investment risk. Accordingly, a number of the companies in the portfolio may be included in the S&P BSE 200 Total Return Index that the Investment Manager will use as a Tier II benchmark, but this will not be a criterion for including a company in the Scheme's portfolio and the portfolio may not include some of the companies in the S&P BSE 200 TRI Index. There may, however, be situations where other factors make an investment attractive enough to cause the Investment Manager to purchase a stock despite a relatively low level of liquidity in that stock. In addition, as noted above, the Scheme may invest in securities which are to be listed.</p> <p>The Scheme may seek investment opportunity in the ADR/GDR and Foreign Securities (maximum 10% of Net Assets) subject to SEBI (Mutual Funds) Regulations, 1996. The Scheme may use derivatives for the purpose of hedging and portfolio balancing (max. 5% of Net Assets) based on the opportunities available subject to SEBI (Mutual Funds) Regulations, 1996.</p>																													
Risk Profile of the Scheme	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Scheme specific Risk Factors are summarized below:</p> <ol style="list-style-type: none"> (a) Risk associated with investment in Equity and Equity related Securities, Trading Volumes and Settlement Periods. (b) Risks associated with Derivatives or Stock Lending (c) Investments in mutual fund units involve investment risk such as trading volumes, settlement risk liquidity risk & default risk. Trading volume may restrict liquidity in equity & debt investments. The AMC may choose to invest in securities which are to be listed. Also, the value of the Scheme investments may be affected by interest rates, currency and exchange rates, change in law / policies of the government, taxation laws and political, economic or other developments. (d) Investment in debt and money market instruments are subject to risk, audit risk, spread risk, reinvestment risk, basis risk, audit risk, spread risk, prepayment risk etc. to the extent of the Scheme's investments in such securities. 																													

Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations, 1996.

Fund has identified the following Risks and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Quality risk: Risk of investing in unsustainable / weak companies	Investment universe is selected carefully, based on the quality of business, management capabilities, nature of industry, history of the company, promoter background etc. and also meet regularly companies official to update the information about the companies.
Price risk: Risk for overpaying for a company	A number of valuation tools are applied to judge the fair value of the companies and investment is made only if there is good upside in the stock price. For deciding the valuation of the companies various tools is considered such as history of the companies, peers over 5-10 year periods, quantitative analysis.
Concentration risk	The investments are made in stocks across a number of sectors to ensure the diversification. Generally there is stock wise limit is that no investment is made more than 6% of portfolio.
Liquidity risk: High impact cost	The Investments are made only in such stocks which has high trading volume in the market.
Volatility risk: price volatility due to company or portfolio specific factors	For hedging the portfolio generally cash is used and when the stocks / markets go up sharply above the comfort level at that time stock has been sold and cash has been retained to invest for further good opportunity.
Event risk: Price risk due to company or sector specific events	Regular meetings with the companies and internal meetings of portfolio team helps to identify and resolve the Event Risk.

Plans and Options

The Scheme offers two Plans:

- (I) Direct Plan
- (II) Regular Plan

Each plan offers two Options - Growth Option and Income Distribution cum Capital Withdrawal (IDCW) Option

The IDCW Option will in turn have two facilities namely,

- a. Payout of Income Distribution cum Capital withdrawal (IDCW) Facility
- b. Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility

The Income can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and

vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with SEBI Master Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

Subscription/Purchase including SWITCH-INS:

- a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable; It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution cum Capital withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of income distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application, shall be applicable.
2. In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP):

- (i) Daily – ₹100 and multiple of Re. 1 thereafter
- (ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

Tier I - Benchmark Index
Tier II - Benchmark Index

S&P BSE 500 Total Return Index
S&P BSE 200 Total Return Index

Income Distribution Policy

In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of dividend to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly. On distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. George Thomas- Fund Manager: Managing since April 01, 2022 (1 year and 5 months)
Mr. Christy Mathai -Fund Manager: Managing since November 22, 2022 (10 months)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Long Term Equity Value Fund - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 500 TRI)	Tier – II Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	20.22%	17.53%	16.12%
3 Years**	23.80%	24.28%	23.33%
5 Years**	11.66%	15.11%	14.67%
7 Years**	10.92%	14.36%	14.21%
10 Years**	14.36%	16.07%	15.71%
Since Inception**	13.54%	12.54%	12.58%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: March 13, 2006

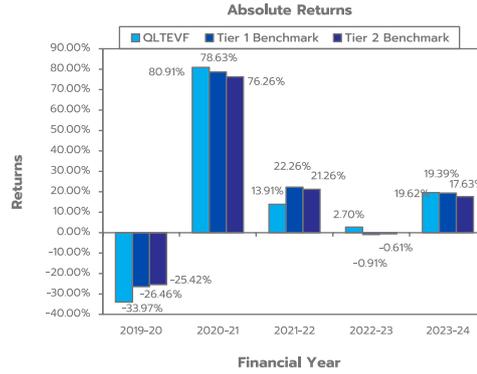
Quantum Long Term Equity Value Fund - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 500 TRI)	Tier – II Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	19.53%	17.53%	16.12%
3 Years**	23.15%	24.28%	23.33%
5 Years**	11.11%	15.11%	14.67%
Since Inception**	10.09%	14.11%	14.05%

Past performance may or may not be sustained in the future.

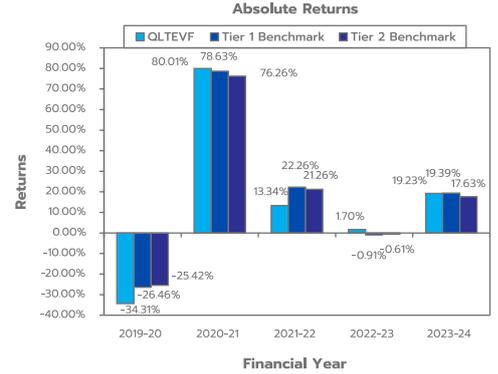
*Annualized ** CAGR Date of Inception: April 1, 2017

**Absolute Returns for the last 5 Financial Years
Quantum Long Term Equity Value Fund -
Direct Plan - Growth Option**



Date of Inception: March 13, 2006
Past performance may or may not be sustained in future

**Absolute Returns for the last 5 Financial Years
Quantum Long Term Equity Value Fund -
Regular Plan - Growth Option**



Date of Inception: April 1, 2017
Past performance may or may not be sustained in future

**Scheme Portfolio Holdings
as on September 30, 2023**

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
HDFC Bank Ltd	8.76%
ICICI Bank Ltd	6.19%
TRI-Party Repo, cash & cash equivalents	5.33%
Infosys Ltd	5.10%
State Bank of India	4.49%
Eicher Motors Limited	4.38%
Shriram Finance Limited	4.05%
Bajaj Auto Ltd	3.88%
Wipro Ltd	3.79%
Tata Consultancy Services Ltd	3.67%
Grand Total	49.64%

Pharmaceuticals & Biotechnology	5.63%
Others	5.33%
Gas	3.98%
Capital Markets	3.87%
Telecom - Services	3.65%
Power	2.97%
Ferrous Metals	2.88%
Oil	2.15%
Cement & Cement Products	2.09%
Insurance	2.03%
Auto Components	1.69%
Industrial Products	0.39%
Consumer Durables	0.36%
Government of India	0.05%
Grand Total	100.00%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Banks	24.45%
IT - Software	16.17%
Automobiles	15.45%
Finance	6.86%

(iii) To obtain scheme's latest monthly portfolio please refer the following link

<https://www.quantumamc.com/portfolio/Combined/-1/1/0/0>

**Portfolio Turnover Ratio as
on September 29, 2023**

12.98%

**Expenses
of the Scheme**

**(I) Load
Structure**

Type of Load	Load chargeable (as % of NAV)
Entry Load/Switch in Load	Not Applicable*
Exit Load/ Switch Out Load:	
10% of units if redeemed or switched out during exit load period i.e. 730 days from the allotment	NIL
Exit Load Period: 730 days from the date of allotment	
Remaining 90% of units in parts or full:	
(i) if redeemed or switched out on or before 365 days from the date of allotment	2%
(ii) if redeemed or switched out after 365 days but on or before 730 days from the date of allotment	1%
If units redeemed or switched out after 730 days from the date of allotment	NIL
Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis.	

(*)Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.

The above-mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.

(ii) Recurring expenses

As per Regulation 52(6)(c) of SEBI (MF) Regulations, the total expenses of the Scheme, including Investment Management and Advisory Fees shall be subject to following limits as specified below.

Asset Under Management Slab	Total Expense Ratio % limits
On the first ₹500 Crores of the daily net asset	2.25
On the next ₹250 Crores of the daily net assets	2.00
On the next ₹1,250 Crores of the daily net assets	1.75
On the next ₹3,000 Crores of the daily net assets	1.60
On the next ₹5,000 Crores of the daily net assets	1.50
On the next ₹40,000 Crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of ₹5,000 Crores of daily net assets or part thereof
On balance of the assets	1.05

The AMC has estimated that up to 2.25% of the daily net assets of the Scheme will be charged to the Scheme as permitted under Regulation 52 of SEBI (MF) regulations. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update

the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/regulatory-document#headingSix for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).

Regular Plan expense ratio will be higher of upto 0.50% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.

Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.

No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.

Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.

Direct Plan – ₹5,26,23,238 (1.22%)
Regular Plan – ₹28,21,208 (1.86%)

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

Waiver of Load for Direct Applications

Not Applicable
Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.

Daily Net Asset Value (NAV) Publication

NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 11.00 p.m. every business day.
Investors may obtain latest NAV through SMS by a specific request to the AMC

**For Investor Grievances
please contact**

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 - 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC /

Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Liquid Fund

An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit risk.

Investment Objective	The primary investment objective of the Scheme is to provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.								
Category Of Scheme	Liquid Fund								
Scheme Code	QTMM/O/O/LIF/06/03/0002								
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Types of Instruments</th> <th style="text-align: center;">Normal allocation (% of Net Assets)</th> <th style="text-align: center;">Risk Profile</th> </tr> </thead> <tbody> <tr> <td>Money Market Instruments and other short term debt instruments with maturity / residual maturity upto 91 days</td> <td style="text-align: center;">100</td> <td style="text-align: center;">Low to Moderate</td> </tr> </tbody> </table> <p>Note:</p> <p>a. Debt instruments may include investments in securitised debt instruments upto 40% of the Net Assets of the Scheme.</p> <p>b. The Scheme may also invest in foreign securities subject to the limits as may be applicable to mutual funds from time to time under various regulations and also under FEMA or such other laws as may be applicable.</p> <p>The Scheme shall hold at least 20% of their net assets in liquid assets. For this purpose, 'liquid assets' shall include Cash, Government Securities, T-bills and Repo on Government Securities.</p> <p>The Scheme will comply with the SEBI Master Circular dated May 19, 2023.</p> <p>In accordance with the requirement of regulation 43A of SEBI (Mutual Funds) Regulations, 1996 read with SEBI circular no. SEBI/HO/IMD/PoD2/P/CIR/2023/129 dated July 27, 2023 on investment by Mutual Fund Schemes and AMCs in units of Corporate Debt Market Development Fund ('CDMDF'), scheme shall invest 25 bps of its AUM of the Scheme. Further, an incremental contribution to CDMDF shall be made every six months to ensure 25 bps of scheme AUM is invested in units of CDMDF.</p>			Types of Instruments	Normal allocation (% of Net Assets)	Risk Profile	Money Market Instruments and other short term debt instruments with maturity / residual maturity upto 91 days	100	Low to Moderate
Types of Instruments	Normal allocation (% of Net Assets)	Risk Profile							
Money Market Instruments and other short term debt instruments with maturity / residual maturity upto 91 days	100	Low to Moderate							
Investment Strategy	<p>The primary investment objective of the Scheme is to provide optimal returns with low to moderate levels of risk and high liquidity through judicious investments in money market and debt instruments.</p> <p>The fund management team would endeavor to maintain consistent performance in the Scheme by balancing yields and safety as well as maintaining high liquidity.</p> <p>Various risks carried by debt investments such as Interest Rate Risk, Liquidity Risk, Default Risk, etc. cannot be eliminated, but can be minimized by diversification and hedging.</p> <p>The fund management team has a Dynamic Model in place to balance and minimize the various risks in the debt markets.</p> <p>The fund management team would actively track the local interest rate markets as well as the developments in global markets and accordingly rebalance the portfolio of the Scheme.</p> <p>The investment objective would be achieved in the following order of priority:</p> <ul style="list-style-type: none"> • Legality & compliance – Ensuring that the portfolio is at all times completely compliant with all rules and regulations – internal and external. • Portfolio Liquidity – Ensuring that the Portfolio can be liquidated at minimal impact cost to the investors. • Capital Preservation – Ensuring that the investments are made in a manner to minimize market risks and choosing securities which have low default/downgrade risk. • Yield and Return Enhancement – After meeting the above objectives, the rigorous and in-depth research and analysis of the macro economic situation and fixed income markets should provide for timely investment opportunities which enhance the total returns for an investor – on a risk adjusted basis. <p>The overall Investment strategy revolves around the process laid down to achieve the Investment Objectives as investors in a Liquid Fund seek optimal deployment of their surplus funds. Although the investment pattern for the scheme is for short term instruments, the research and analysis on the macro economy situation is carried out for short term as well as for a more structural and longer term horizon – so as to ensure that the portfolio investments are well positioned for all eventual and likely scenarios.</p> <p>Thus this dynamic combination of a rigorous top down macro economy and fixed income research and emphasis on Portfolio liquidity and capital preservation is expected to offer risk adjusted returns.</p>								
Risk Profile of the Scheme	<p>Mutual Fund Units are subject to market risks. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Scheme specific Risk Factors are summarized below:</p> <p>Interest Rate Risk/Market Risk: Changes in interest rate may affect the Scheme's net asset value. Generally the prices of instruments increase as interest rates decline and decrease as interest rates rise. Prices of long-term securities fluctuate more in response to such interest rate changes than short-term securities. Indian debt and government securities markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.</p> <p>Credit Risk or Default Risk: Credit risk or Default risk refers to the risk that an issuer of a debt instrument</p>								

may default (i.e. the issuer will be unable to make timely principal and interest payments on the security). Because of this risk, bonds issued by non-government agencies are sold at a higher yield above those offered on Government Securities which are sovereign obligations and free of credit risk. Normally, the value of a debt instrument will fluctuate depending upon the changes in the perceived level of credit risk as well as any actual event of default. The greater the credit risk, the greater the yield required for someone to be compensated for the increased risk.

Liquidity Risks: This refers to the ease at which a security can be sold at or near its true value. The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. Reduced liquidity in the secondary market may have an adverse impact on market price and the Scheme's ability to dispose of particular securities, when necessary, to meet the Scheme's liquidity needs or in response to a specific economic event or even during rebalancing of the Scheme's investment portfolio.

Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified the following Risks and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Interest Rate Risk	Measured through Average Maturity Duration / Portfolio Sensitivity to interest rate changes (PV01). The investment is made only in shorter tenor instruments (< 91 days) and has low duration and is thus exposed to lower market risks.
Credit and Default Risk	Measured through Portfolio credit quality. The Scheme is mandated to invest a minimum of up to 80% in instruments with the highest credit rating as given by a SEBI registered credit rating agency which helps maintain good portfolio quality.
Liquidity Risk	Measured through Trading Data / Portfolio Liquidity Report. The Scheme caps the illiquid assets as a % of portfolio to ensure that the fund remains liquid to meet redemptions.
Mismatch Risk / ALM (Asset Liability mismatch) Risk (Investor horizon and asset maturity mismatch)	Measured through ALM Report. By mandate, the scheme holds minimum 20% of Net assets in 'liquid assets' like cash, Treps, government securities. The Fund has a well laid down ALM and Liquidity Risk Management policy which ensures that the portfolio holds sufficient liquid assets to meet potential redemption at any given point of time.

Plans and Options

The Scheme offers two Plans:

- (I) Direct Plan
- (II) Regular Plan

Each Plan offers three Options – Growth Option, Monthly Income Distribution cum Capital Withdrawal Option and Daily Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Option.

The Monthly IDCW Option will in turn have two facilities namely,

- a. Payout of Income Distribution cum Capital withdrawal (IDCW) Facility; and
- b. Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Facility

The Income can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

Purchases / Switch-ins:

- a. In respect of valid application received upto 1.30 pm p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (1.30 p.m.) - the Closing NAV of the day immediately preceding the day of receipt of application.
- b. In respect of valid application received after 1.30 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (1.30 p.m.); and of the next Business Day, the closing NAV of the day immediately preceding the next Business Days; and
- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable. It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income Distribution.

Redemptions including Switch-Outs:

- A. In respect of valid applications received up to 3 p.m. on a Business Day - the closing NAV of the day immediately preceding the next Business Day, shall be applicable.
- B. In respect of valid applications received after 3 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Instant Redemption (Access) Facility

- A. Where the application is received up to 3.00 p.m. – the lower of (i) NAV of previous Calendar Day and (ii) NAV of Calendar Day on which application is received will be considered; .
- B. Where the application is received after 3.00 p.m. – the lower of (i) NAV of the Calendar Day on which such application is received, and (ii) NAV of the next Calendar Day will be considered.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/ Switch Outs
(I) Growth Option - ₹5,000/- and in multiples of Re. 1/- thereafter.	₹500/- and multiples of Re. 1/- thereafter / 50 units	₹500/- and multiples of Re. 1/- thereafter or Account balance which ever is less / 50 units
(ii) Monthly IDCW Option - ₹10,000/- and in multiples of Re. 1/- thereafter.		
(iii) Daily Reinvestment of IDCW Option - ₹1,00,000/- and in multiples of Re. 1/- thereafter.		

Systematic Investment Plan (SIP):

Monthly / Quarterly - ₹500/- and in multiples of Re. 1/- thereafter

Systematic Transfer Plan (STP):

(i) Daily - ₹100/- and multiple of Re. 1/- thereafter

(ii) Weekly / Fortnightly / Monthly / Quarterly - ₹500/- and in multiples of Re. 1/- thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly - ₹500/- and in multiples of Re. 1/- thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase proceeds shall be dispatched / credited to the registered bank account of the unitholders within 3 working days from receipt of valid redemption or repurchase request.

Further, in exceptional situations additional timelines in line with AMFI letter no. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023 will be applicable for transfer of redemption or repurchase proceeds to the unitholders.

Tier I - Benchmark Index

CRISIL Liquid Debt A-I Index

Income Distribution Policy

In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of dividend to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly. On distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. Pankaj Pathak : Managing since March 1, 2017 (6.6 years)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Liquid Fund - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Liquid Debt A-I Index)
1 Year*	6.71%	6.92%
3 Years**	4.58%	4.85%
5 Years**	4.89%	5.28%
7 Years**	5.27%	5.68%
10 Years**	6.11%	6.54%
Since Inception**	6.74%	6.79%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: April 7, 2006

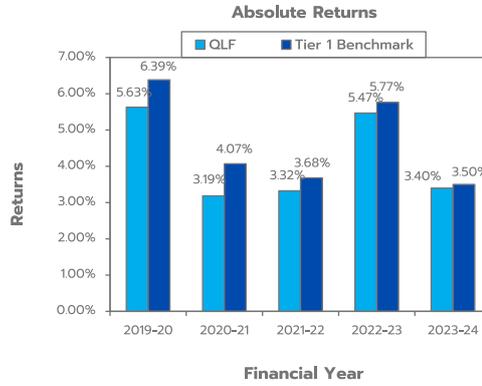
Quantum Liquid Fund - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Liquid Debt A-I Index)
1 Year*	6.60%	6.92%
3 Years**	4.48%	4.85%
5 Years**	4.80%	5.28%
Since Inception**	5.11%	5.60%

Past performance may or may not be sustained in the future.

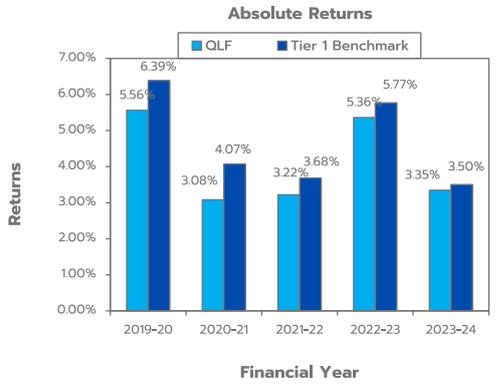
*Annualized ** CAGR Date of Inception: April 1, 2017

**Absolute Returns for the last 5 financial years
Quantum Liquid Fund - Direct Plan - Growth Option**



Date of Inception: April 7, 2006
Past performance may or may not be sustained in future

**Absolute Returns for the last 5 financial years
Quantum Liquid Fund - Regular Plan - Growth Option**



Date of Inception: April 1, 2017
Past performance may or may not be sustained in future

**Scheme Portfolio Holdings
As On September 30,
2023**

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
182 Days Tbill (MD 07/12/2023)	16.57%
182 Days Tbill (MD 26/10/2023)	8.35%
Bank of Baroda CD (MD 15/11/2023)	8.32%
Small Ind Dev Bk of India CP (MD 22/11/2023)	8.30%
Export Import Bank of India CP (MD 07/12/2023)	8.28%
Canara Bank CD (MD 14/12/2023)	8.27%
National Bank For Agri & Rural CP(MD 13/12/2023)	8.27%
91 Days Tbill (MD 30/11/2023)	6.64%
7.68% GOI (MD 15/12/2023)	5.04%
91 Days Tbill (MD 12/10/2023)	5.02%

Grand Total	83.06%
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(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Government of India	50.77%
Finance	24.85%
Banks	16.59%
Power	4.19%
TRI-Party Repo, cash & cash equivalents	3.60%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link

<https://www.quantumamc.com/portfolio/Combined/-/1/0/0>

**Portfolio Turnover Ratio as
on September 29, 2023**

Not Applicable

**Expenses
of the Scheme**

**(I) Load
Structure**

Type of Load | **Load chargeable (as % age of NAV)**

Entry

Not Applicable

Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19,2023there will be no entry load charged to the schemes of the Mutual Fund.

Quantum Mutual Fund does not charge Entry Load since inception.

Exit Load

The exit load on a graded basis will be levied as follows:

Investor Exit Upon Subscription	Exit Load as a % of Redemption Proceeds
Day 1	0.0070%
Day 2	0.0065%
Day 3	0.0060%
Day 4	0.0055%
Day 5	0.0050%
Day 6	0.0045%
Day 7 onwards	NIL

	<p>(ii) Recurring expenses</p>	<p>Note: Redemption / Switched Outs will be done on First in First out (FIFO) basis. The above mentioned Exit Load shall be equally applicable to Systematic Withdrawal Plan (SWP), Systematic Transfer Plan (STP) and Switches including existing installment of existing SIPs/ STPs / SWPs falling due after the effective date. However, no exit load will be charged for switching between option / plan within the scheme.</p> <p>The AMC has estimated that upto 0.35% of the daily net assets of the scheme will be charged to the scheme as permitted under Regulation 52 of SEBI (MF) regulations. For actual current expense being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/regulatory-document#headingSix for total expense ratio details. Investor can refer www.quantumamc.com/total-expense-ratio/report-1 for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).</p> <p>Regular Plan expense ratio will be higher of upto 0.15% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan Expense Ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan</p> <p>Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.</p> <p>No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.</p> <p>Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.</p> <p>Direct Plan – ₹44,66,425 (0.16%) Regular Plan – ₹1,79,055 (0.26%)</p>		
<p>Transaction Charges</p>		<p>Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.</p> <p>Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.</p>		
<p>Waiver of Load for Direct Applications</p>		<p>Not Applicable Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, no Entry Load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.</p>		
<p>Tax treatment for the Investors (Unitholders)</p>		<p>Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.</p>		
<p>Daily Net Asset Value (NAV) Publication</p>		<p>NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 11.00 p.m. every business day.</p> <p>In case NAV of Corporate Debt Market Development Fund ('CDMDF') units are not available by 9:30 p.m. of same Business Day, then the NAV of the Scheme will be calculated and disclosed by 10.00 a.m. of the next Calendar Day.</p> <p>Investors may obtain latest NAV through SMS by a specific request to the AMC</p>		
<p>For Investor Grievances please contact</p>		<table border="1"> <tr> <td data-bbox="507 1563 817 1953"> <p>Registrars & Transfer Agent: KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454</p> </td> <td data-bbox="817 1563 1476 1953"> <p>Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).</p> <p>Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>The AMC has Grievance Policy which is available on AMC website https://www.quantumamc.com/policy.</p> </td> </tr> </table>	<p>Registrars & Transfer Agent: KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454</p>	<p>Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).</p> <p>Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>The AMC has Grievance Policy which is available on AMC website https://www.quantumamc.com/policy.</p>
<p>Registrars & Transfer Agent: KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454</p>	<p>Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).</p> <p>Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>The AMC has Grievance Policy which is available on AMC website https://www.quantumamc.com/policy.</p>			

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and

half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Tax Saving Fund

An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit

Investment Objective	The investment objective of the Scheme is to achieve long-term capital appreciation by investing primarily in shares of companies that will typically be included in the S&P BSE 200 index and are in a position to benefit from the anticipated growth and development of the Indian economy and its markets.																	
Category Of Scheme	Equity Linked Saving Scheme (ELSS)																	
Scheme Code	QTMM/O/E/ELSS/08/11/0005																	
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="text-align: left;">Types of Instruments</th> <th colspan="2" style="text-align: center;">Normal Allocation (% of Net Assets)</th> <th style="text-align: center;">Risk Profile</th> </tr> <tr> <th style="text-align: center;">Minimum</th> <th style="text-align: center;">Maximum</th> <th style="text-align: center;">High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Equity and equity-related Securities*</td> <td style="text-align: center;">80</td> <td style="text-align: center;">100</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Debt and money market instruments</td> <td style="text-align: center;">0</td> <td style="text-align: center;">20</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>In accordance with the ELSS, investments by the Scheme in equity and equity related Securities will not fall below 80% of the net assets of the Scheme.</p> <p>*Equity related Securities shall mean all those instruments which are permitted as per the ELSS Guidelines from time to time and shall include equities, cumulative convertible preference shares and fully convertible debentures and bonds of companies. Investment may also be made in partly convertible issues of debentures and bonds including those issued on rights basis subject to the condition that, as far as possible, the non-convertible portion of the debentures so acquired or subscribed, shall be disinvested within a period of 12 (twelve) months.</p> <p>The Scheme does not intend to make any investments in derivatives, and ADR/GDRs/foreign Securities. However, the Scheme may make investments in derivatives, ADR/GDRs/foreign Securities as and when permitted by the ELSS. The scheme shall not invest in Foreign Securitised Debt.</p>			Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Equity and equity-related Securities*	80	100	Very High	Debt and money market instruments	0	20	Low to Moderately High
Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile															
	Minimum	Maximum	High/Medium/Low															
Equity and equity-related Securities*	80	100	Very High															
Debt and money market instruments	0	20	Low to Moderately High															
Investment Strategy	<p>The investment strategy of the Scheme will be to invest in a basket of stocks after using intensive fundamental analysis, both quantitative and qualitative, monitor the portfolio actively but not so as to engage in excessive trading, and control risk by keeping the portfolio adequately diversified (both in terms of the sectors included in the portfolio as well as with respect to the level of concentration of any particular investment). The primary focus of the Scheme will be on companies which will typically be included in the S&PBSE 200 Index. Valuations will be developed based on the business of the company, the environment in which it operates, the skills and resources of its management, the strength of its balance sheet and cash flow relative to its long term goals, and other fundamental sector criteria. Investments will be made in companies whose shares are undervalued when compared to long term valuation expectations. Investments will be sold when the Investment Manager believes the market price of the shares has exceeded its assessment of the long term value of that company, or if there are adverse changes to that company's management, prospects or the markets in which that company operates. This evaluation against market prices will tend to be based on fundamental criteria (dividend yields, price to earnings, price to cash flow, price to book value, and other different measures of share price ratios), relative to a company's peer group, its history and the overall equity markets.</p> <p>Market liquidity will be an important tool to mitigate investment risk. Accordingly, a number of the companies in the portfolio may be included in the S&P BSE 200 Total Return Index that the Investment Manager will use as a benchmark, but this will not be a criterion for including a company in the Scheme's portfolio and the portfolio may not include some of the companies in the S&P BSE 200 TRI Index. There may, however, be situations where other factors make an investment attractive enough to cause the Investment Manager to purchase a stock despite a relatively low level of liquidity in that stock. In addition, as noted above, the Scheme may invest in securities which are to be listed.</p>																	
Risk Profile of the Scheme	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID \ carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <ol style="list-style-type: none"> (a) Risk associated with investment in Equity and Equity related Securities, Trading Volumes and Settlement Periods. (b) Risks associated with Derivatives or stock lending. (c) An investments in mutual fund units involves investment risk such as trading volumes, settlement risk, liquidity risk & default risk. Trading volume may restrict liquidity in equity & debt investments. The AMC may choose to invest in securities to be listed which may increase the risk on the portfolio. Also, the value of the Scheme investments may be affected by interest rates, currency and exchange rates, change in law / policies of the government, taxation laws and political, economic or other developments. (d) Investment in debt and money market instruments are subject to risk, audit risk, spread risk, re-investment risk, basis risk, prepayment risk etc. to the extent of the Scheme's investments in such securities. <p>Due to the lock in requirements under ELSS Guidelines, the ability of investors to realize returns is restricted for the first three years.</p>																	

Risk Mitigation Factors

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Quality risk: Risk of investing in unsustainable/weak companies	Investment universe is selected carefully, based on the quality of business, management capabilities, nature of industry, history of the company, promoter background etc. and also meet regularly companies official to update the information about the companies.
Price risk: Risk for overpaying for a company	A number of valuation tools are applied to judge the fair value of the companies and investment is made only if there is good upside in the stock price. For deciding the valuation of the companies various tools is considered such as history of the companies, peers over 5-10 year periods, quantitative analysis.
Concentration risk	The investments are made in stocks across a number of sectors to ensure the diversification. Generally, there is stock wise limit that no investment is made more than 6% of portfolio.
Liquidity risk: High impact cost	The Investments are made only in such stocks which has high trading volume in the market.
Volatility risk: price volatility due to company or portfolio specific factors	For hedging the portfolio generally cash is used and when the stocks / markets go up sharply above the comfort level at that time stock has been sold and cash has been retained to invest for further good opportunity.
Event risk: Price risk due to company or sector specific events	Regular meetings with the companies and internal meetings of portfolio team helps to identify and resolve the Event Risk.

Plans and Options

The Scheme offers two Plans:

- (I) Direct Plan
- (II) Regular Plan

Each plan offers two Options - Growth Option and Income Distribution cum Capital Withdrawal (IDCW) Option

The Income can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-O-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with SEBI Master Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an

e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

Subscription/Purchase including SWITCH-INS:

- a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilization before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable. It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal (IDCW) Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application, shall be applicable.
2. In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/ Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of ₹500/- thereafter	₹500/- and in multiples of ₹500/- thereafter	₹500/- or 50 units Lock- in period Redemption of Units can be made only after a period of three years (lock-in period) from the date of allotment of Units proposed to be redeemed as prescribed in the Equity Linked Savings Scheme, 2005

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP):

Daily /Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of ₹500 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of ₹500 thereafter

STP and SWP can be started after completion of lock in period of 3 years from the date of investment.

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase proceeds shall be dispatched / credited to the registered bank account of the unitholders within 3 working days from receipt of valid redemption or repurchase request.

Further, in exceptional situations additional timelines in line with AMFI letter no. AMFI/35P/MEM -COR/74/2022-23 dated January 16, 2023 will be applicable for transfer of redemption or repurchase proceeds to the unitholders.

Lock- in period

Redemption of Units can be made only after a period of three years (lock-in period) from the date of allotment of Units proposed to be redeemed as prescribed in the Equity Linked Savings Scheme, 2005.

Tier I - Benchmark Index

S&P BSE 500 Total Return Index

Tier II - Benchmark Index

S&P BSE 200 Total Return Index

Income Distribution Policy

In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of dividend to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly. On distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. George Thomas - Fund Manager: Managing since April 01, 2022 (1 year and 5 months)
Mr. Christy Mathai - Fund Manager: Managing since November 22, 2022 (10 months)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the scheme (as on September 29, 2023)

Quantum Tax Saving Fund - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 500 TRI)	Tier – II Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	20.21%	17.53%	16.12%
3 Years**	23.78%	24.28%	23.33%
5 Years**	11.72%	15.11%	14.67%
7 Years**	11.04%	14.36%	14.21%
10 Years**	14.40%	16.07%	15.71%
Since Inception**	16.26%	16.33%	16.16%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: December 23, 2008.

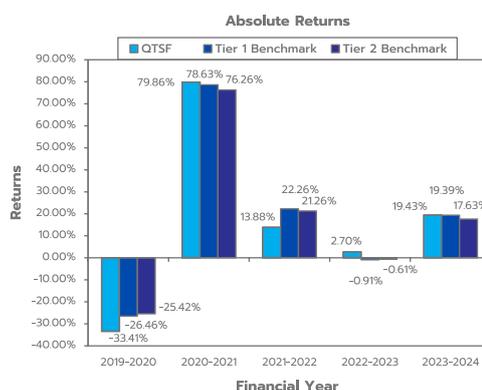
Quantum Tax Saving Fund - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 500 TRI)	Tier – II Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	19.50%	17.53%	16.12%
3 Years**	23.14%	24.28%	23.33%
5 Years**	11.17%	15.11%	14.67%
Since Inception**	10.19%	14.11%	14.05%

Past performance may or may not be sustained in the future.

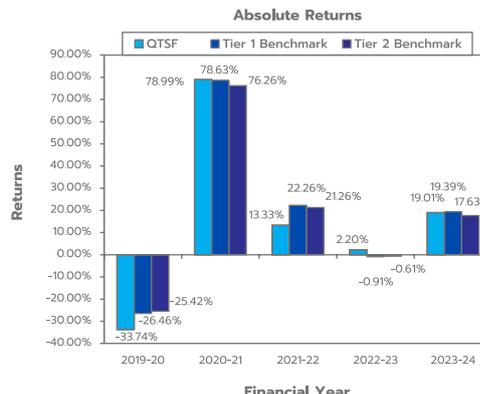
*Annualized ** CAGR Date of Inception: April 1, 2017

**Absolute Returns for last 5 Financial Years
Quantum Tax Saving Fund – Direct Plan – Growth Option**



Date of Inception: December 23, 2008
Past performance may or may not be sustained in future

**Absolute Returns for last 5 Financial Years
Quantum Tax Saving Fund – Regular Plan – Growth Option**



Date of Inception: April 1, 2017
Past performance may or may not be sustained in future

**Scheme Portfolio Holdings
as on September 30, 2023**

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
HDFC Bank Ltd	8.66%
TRI-Party Repo, cash & cash equivalents	6.42%
ICICI Bank Ltd	6.38%
Infosys Ltd	5.00%
State Bank of India	4.49%
Eicher Motors Limited	4.41%
Shriram Finance Limited	3.96%
Bajaj Auto Ltd	3.90%
Wipro Ltd	3.76%
Bharti Airtel Ltd	3.61%
Grand Total	50.59%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Banks	24.47%
IT - Software	15.97%
Automobiles	15.47%

Finance	6.59%
TRI-Party Repo, cash & cash equivalents	6.42%
Pharmaceuticals & Biotechnology	5.62%
Gas	4.08%
Telecom - Services	3.61%
Capital Markets	3.49%
Power	2.91%
Ferrous Metals	2.82%
Insurance	2.06%
Oil	2.03%
Cement & Cement Products	1.96%
Auto Components	1.71%
Industrial Products	0.49%
Consumer Durables	0.30%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link:

<https://www.quantumamc.com/portfolio/Combined/-1/1/0/0>

**Portfolio Turnover Ratio as
on September 29, 2023**

1154%

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

**Expenses
of the Scheme**

**(I) Load
Structure**

Type of Load | Load chargeable (as % of NAV)

Entry

Not Applicable

Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.

Quantum Mutual Fund does not charge Entry Load since inception.

Exit Load

NIL

**(ii) Recurring
expenses**

As per Regulation 52(6)(c) of SEBI (MF) Regulations, the total expenses of the Scheme, including Investment Management and Advisory Fees shall be subject to following limits as specified below.

Asset Under Management Slab	Total Expense Ratio % limits
On the first ₹500 Crores of the daily net assets	2.25
On the next ₹250 Crores of the daily net assets	2.00
On the next ₹1,250 Crores of the daily net assets	1.75
On the next ₹3,000 Crores of the daily net assets	1.60
On the next ₹5,000 Crores of the daily net assets	1.50
On the next ₹40,000 Crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of ₹5,000 Crores of daily net assets or part thereof
On balance of the assets	1.05

The AMC has estimated that up to 2.25% of the daily net assets of the Scheme will be charged to the Scheme as permitted under Regulation 52 of SEBI (MF) regulations. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/regulatory-document#headingSix for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).

Regular Plan expense ratio will be higher of upto 0.50% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.

Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.

No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.

Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.

Direct Plan – ₹64,94,474 (1.15%)
Regular Plan – ₹17,02,000 (1.86%)

Waiver of Load for Direct Applications

Not Applicable
Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, no Entry Load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor.

Daily Net Asset Value (NAV) Publication

NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 11.00 p.m. every business day.
Investors may obtain latest NAV through SMS by writing to the AMC.

For Investor Grievances please contact

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 – 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first

named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

(i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

(ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Equity Fund of Funds

An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds

Investment Objective	The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.															
Category Of Scheme	Fund of Funds - Domestic															
Scheme Code	QTMM/O/E/FOD/09/05/0006															
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Types of Instruments</th> <th colspan="2">Normal Allocation (% of Net Assets)</th> <th>Risk Profile</th> </tr> <tr> <th>Minimum</th> <th>Maximum</th> <th>High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Open-ended diversified equity schemes of mutual funds registered with SEBI.</td> <td style="text-align: center;">95%</td> <td style="text-align: center;">100%</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Money Market Instruments and Liquid Schemes of Mutual Funds</td> <td style="text-align: center;">0%</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>The scheme will only invest in third party mutual funds. The fund shall invest in a mix of diversified equity schemes, mid cap/ small cap/ large cap oriented schemes</p>	Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Open-ended diversified equity schemes of mutual funds registered with SEBI.	95%	100%	Very High	Money Market Instruments and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High
Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile													
	Minimum	Maximum	High/Medium/Low													
Open-ended diversified equity schemes of mutual funds registered with SEBI.	95%	100%	Very High													
Money Market Instruments and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High													
Investment Strategy	<p>The investment strategy of the Scheme will be to invest predominantly in open-ended diversified equity schemes of Mutual Funds, which are registered with SEBI after using intensive fundamental analysis both quantitative and qualitative, monitor the portfolio regularly but not so as to engage in excessive churning, and control risk by keeping portfolio adequately diversified.</p> <p>The Scheme will invest a majority of its money in open-ended diversified equity schemes that have been in existence for at least 3 years at the time of making investments. The Scheme will not invest in any sector/ thematic funds. Besides, it will not make any investments in the schemes of Quantum Mutual Fund. Furthermore, the schemes that get short-listed will be tested across the quantitative and qualitative parameters, set as a part of our fund selection process.</p> <p>The AMC shall appoint a Designated Agency to provide periodically the recommended list of diversified equity schemes. The research methodology to be adopted by the Designated Agency for providing the recommended list shall be reviewed by the Portfolio/Investment Team. Any Change in the research methodology shall also be reviewed by the Portfolio / Investment Team. The Scheme will invest only in the schemes which are recommended by Designated Agency only. The Designated Agency may be a bank, which distributes financial products, a research house or a distribution house. The Designated Agency may charge fees in accordance with the terms of the agreement entered into with it, which shall be borne by the AMC. The Portfolio will be reviewed and rebalanced on the receipt of the recommended list from the Designated Agency.</p>															
Risk Profile of the Scheme	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <ol style="list-style-type: none"> 1. The scheme proposes to invest predominantly in the diversified equity schemes of Mutual Funds, registered with SEBI. Hence the scheme's performance will depend upon the performance of the underlying schemes. Any change in the investment policies or the fundamental attributes of the underlying schemes may affect the performance of the scheme. 2. Investments in underlying equity schemes will have all the risks associated with such schemes including performance of underlying stocks, derivative investments, off shore investments, stock lending, etc. 3. The changes in asset allocation may result in higher transaction costs. 4. Since the Scheme proposes to invest at least in 5 underlying schemes, the significant underperformance in even one of the underlying schemes may adversely affect the performance of the Scheme. 5. The investors of the Scheme shall bear the recurring expenses and loads if any of the Scheme in addition to the expenses & loads of the underlying schemes. Hence the investor under the Scheme may receive lower pre-tax returns than that they could have received if they had invested directly in the underlying schemes in the same proportions. 															
Risk Mitigation Factors	<p>Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations. The Fund has identified the following Risks and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Type of Risks</th> <th>Risk Mitigation / Risk Management Strategies</th> </tr> </thead> <tbody> <tr> <td>Scheme Selection Risk – Risk of investing in a poor performing scheme</td> <td>The Fund has laid down the criteria for quantitative and qualitative ranking for selection of the schemes which pick up schemes with consistent long-term performance with an emphasis on sound research and investment process. The criteria have been followed by meeting the fund managers of the schemes to ascertain deviation from the strategy. The rebalancing of weightage is also made if necessary. The performances of the schemes have been reviewed every six months.</td> </tr> </tbody> </table>	Type of Risks	Risk Mitigation / Risk Management Strategies	Scheme Selection Risk – Risk of investing in a poor performing scheme	The Fund has laid down the criteria for quantitative and qualitative ranking for selection of the schemes which pick up schemes with consistent long-term performance with an emphasis on sound research and investment process. The criteria have been followed by meeting the fund managers of the schemes to ascertain deviation from the strategy. The rebalancing of weightage is also made if necessary. The performances of the schemes have been reviewed every six months.											
Type of Risks	Risk Mitigation / Risk Management Strategies															
Scheme Selection Risk – Risk of investing in a poor performing scheme	The Fund has laid down the criteria for quantitative and qualitative ranking for selection of the schemes which pick up schemes with consistent long-term performance with an emphasis on sound research and investment process. The criteria have been followed by meeting the fund managers of the schemes to ascertain deviation from the strategy. The rebalancing of weightage is also made if necessary. The performances of the schemes have been reviewed every six months.															

Portfolio concentration Risk	The Fund has laid down the policy in case of portfolio holdings which has criteria for investments into the schemes, limits on the per scheme investments, per mutual fund house and schemes managed by the fund managers. The Fund also calculate the stock and sector concentration based on the weighted stock holdings of the scheme invested and also take corrective action by rebalancing if necessary.
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Plans and Options

The Scheme offers two Plans:

- (i) Direct Plan
- (ii) Regular Plan

Each Plan offers two Options - Growth option & Income Distribution Cum Capital Withdrawal (IDCW) Option:

The Income Distribution Cum Capital Withdrawal Option will in turn have two facilities namely,

1. Payout of Income Distribution Cum Capital Withdrawal (IDCW) Facility and;
2. Re-investment of Income Distribution Cum Capital Withdrawal (IDCW) Facility

The Income can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong / invalid / incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with SEBI Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

SUBSCRIPTION/PURCHASE INCLUDING SWITCH-INS:-

- In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable, It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income Distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

- In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
- In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP) :-

(I) Daily – ₹100 and multiple of Re. 1 thereafter

(ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 working days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

Tier I - Benchmark Index

S&P BSE 200 - Total Return Index.

Income Distribution Policy

In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of dividend to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly. On distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager & Tenure of the Managing the Scheme

Fund Manager - Mr. Chirag Mehta : Managing since November 1, 2013 (9 years 12 Months)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Equity Fund of Funds - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	17.05%	16.12%
3 Years**	20.86%	23.33%
5 Years**	13.19%	14.67%
7 Years**	12.10%	14.21%
10 Years**	16.26%	15.71%
Since Inception**	13.72%	12.97%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: July 20, 2009.

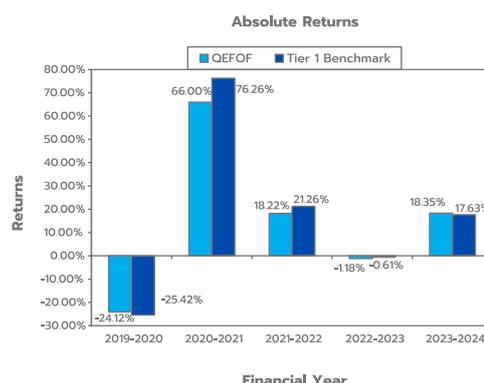
Quantum Equity Fund of Funds - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (S&P BSE 200 TRI)
1 Year*	16.77%	16.12%
3 Years**	20.57%	23.33%
5 Years**	12.93%	14.67%
Since Inception**	11.55%	14.05%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: April 1, 2017.

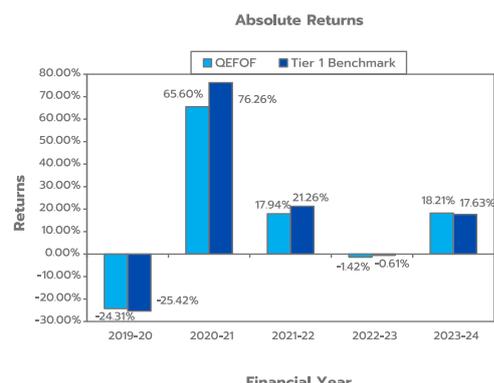
**Absolute Returns for the last 5 Financial Years
Quantum Equity Fund of Funds – Direct Plan –
Growth Option**



Date of Inception: July 20, 2009

Past performance may or may not be sustained in future

**Absolute Returns for last 5 Financial Years
Quantum Equity Fund of Funds – Regular Plan –
Growth Option**



Date of Inception: April 1, 2017

Past performance may or may not be sustained in future

Scheme Portfolio Holdings as on September 30, 2023

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
SBI Magnum MIDCAP FUND - DIRECT PLAN	12.06%
Mirae Asset Large Cap Fund-Direct Plan-Growth	11.97%
360 One Focused Equity Fund Direct Plan- Growth	11.49%
Kotak Flexicap Fund - Growth - Direct	11.38%
Sundaram Large and Midcap Fund Direct Plan-Growth	10.89%
Invesco India Contra Fund - Direct Plan - Growth	10.57%
Canara Robeco Bluechip Equity Fund-Direct Plan-Gro	10.51%
UTI - Flexi Cap Fund-Growth Option - Direct	10.00%
Canara Robeco Emerging Equities-Dire Pl-Growth Opt	9.15%

TRI-Party Repo, cash & cash equivalents	1.98%
Grand Total	100.00%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Mutual Fund Units	98.02%
TRI-Party Repo, cash & cash equivalents	1.98%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link :

<https://www.quantumamc.com/portfolio/Combined/-1/1/0/0>

Portfolio Turnover Ratio as on September 29, 2023	Not Applicable																															
Transaction Charges	Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.																															
Expenses of the Scheme	(i) Load Structure	<table border="1"> <thead> <tr> <th data-bbox="507 405 997 450">Type of Load</th> <th data-bbox="997 405 1489 450">Load chargeable (as % of NAV)</th> </tr> </thead> <tbody> <tr> <td data-bbox="507 450 997 495">Entry Load/Switch in Load</td> <td data-bbox="997 450 1489 495">Not Applicable*</td> </tr> <tr> <td colspan="2" data-bbox="507 495 1489 539">Exit Load/ Switch Out Load:</td> </tr> <tr> <td data-bbox="507 539 997 607">10% of units If redeemed or switched out on or before 365 days from the date of allotment.</td> <td data-bbox="997 539 1489 607">NIL</td> </tr> <tr> <td data-bbox="507 607 997 674">Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment.</td> <td data-bbox="997 607 1489 674">1</td> </tr> <tr> <td data-bbox="507 674 997 741">If redeemed or switched out after 365 days from the date of allotment</td> <td data-bbox="997 674 1489 741">NIL</td> </tr> <tr> <td colspan="2" data-bbox="507 741 1489 808">Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis.</td> </tr> <tr> <td colspan="2" data-bbox="507 808 1489 875">(*)Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.</td> </tr> <tr> <td colspan="2" data-bbox="507 875 1489 965">The above-mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.</td> </tr> <tr> <td data-bbox="316 965 480 1664">(ii) Recurring expenses</td> <td colspan="2" data-bbox="480 965 1489 1664"> <p>The AMC has estimated that upto 0.75% of the daily net asset of the scheme will be charged as the expense ratio to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. For actual current expense being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/total-expense-ratio/report-1 for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfindia.com).</p> <p>Regular Plan expense ratio will be higher of upto 0.24% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.</p> <p>As per Regulation 52(6)(a) of SEBI (MF) Regulations, the total expenses of the Scheme, including weighted average of charges levied by the underlying schemes shall not exceed 2.25% of the daily net assets of the scheme. Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying schemes shall not exceed two times the weighted average of the total expense ratio levied by the underlying schemes subject to the overall ceilings as stated above.</p> <p>Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.</p> <p>No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.</p> <p>Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.</p> <p>Direct Plan - ₹22,60,423 (0.51%) Regular Plan - ₹3,01,437 (0.75%)</p> </td> </tr> <tr> <td data-bbox="108 1664 480 1805">Waiver of Load for Direct Applications</td> <td colspan="2" data-bbox="480 1664 1489 1805">Not Applicable Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19,2023, no Entry Load shall be charged for all mutual fund schemes. 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**For Investor Grievances
please contact**

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 - 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free)

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

(i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

(ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Gold Savings Fund

An Open Ended Fund of Fund Scheme Investing in Quantum Gold Fund

Investment Objective	<p>The investment objective of the scheme is to provide capital appreciation by predominantly investing in units of Quantum Gold Fund Replicating / Tracking Gold an Exchange Traded Fund.</p> <p>The performance of Scheme may differ from that of Quantum Gold Fund and the domestic prices of gold due to expenses and certain other factors. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved.</p>															
Category Of Scheme	Fund of Fund - Domestic															
Scheme Code	QTMM/O/E/FOD/11/03/0007															
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Types of Instruments</th> <th colspan="2">Normal Allocation (% of Net Assets)</th> <th>Risk Profile</th> </tr> <tr> <th>Minimum</th> <th>Maximum</th> <th>High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Units of Quantum Gold Fund</td> <td style="text-align: center;">95</td> <td style="text-align: center;">100*</td> <td style="text-align: center;">High</td> </tr> <tr> <td>Money Market instruments, Short-term Corporate debt securities, TRI-Party Repo and units of Debt and Liquid Schemes of Mutual Funds</td> <td style="text-align: center;">0%</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>*As the scheme invests 95% to 100% of the net assets into the units of Quantum Gold Fund, the scheme will, by and large, be passively managed Scheme.</p> <p>It may be clearly understood that the percentages above are only indicative and not absolute.</p> <p>The Scheme would invest in money market instruments, short-term corporate debt securities, TRI-Party Repo and units of debt and liquid schemes of mutual funds, in order to meet the liquidity requirements of the Scheme.</p>	Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Units of Quantum Gold Fund	95	100*	High	Money Market instruments, Short-term Corporate debt securities, TRI-Party Repo and units of Debt and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High
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Money Market instruments, Short-term Corporate debt securities, TRI-Party Repo and units of Debt and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High													
Investment Strategy	<p>Investment Strategy / Philosophy</p> <p>The AMC uses 'passive' approach to try and achieve the Scheme's investment objective. The Scheme would predominantly invest in the units of Quantum Gold Fund. The AMC does not make any judgments about the investment merit of a Quantum Gold Fund nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regards to over / underperformance vis-à-vis the benchmark.</p> <p>The Scheme will buy / sell units from the underlying scheme i.e. Quantum Gold ETF in Creation of Unit Size or through the secondary market through stock exchange route to achieve the investment objectives. The Scheme would endeavor to stay invested in the underlying scheme practically to the maximum extent possible at all times. However, the Scheme would also maintain some funds for meeting expenses and redemption purposes, in order to meet the liquidity requirements of the Scheme as prescribed in the Asset Allocation.</p> <p>Quantum Gold Fund which is the underlying investment of this Scheme endeavors to track domestic prices of gold by investments in physical gold. It is also a passively managed scheme. On an average the Quantum Gold Fund has been 99.99% invested in gold since its inception in February 2008. This track record in terms of the investment allocation to physical gold evidences the extent to which the fund attempts to track the underlying.</p> <p>Tracking error means the variance between returns of the underlying benchmark (Gold ETF units in this case) and the NAV of the Scheme for any given period.</p> <p>Tracking Error means the extent to which the NAV of the Scheme moves in a manner inconsistent with the movements of the benchmark on any given day or over any given period of time due to any cause or reason whatsoever including but not limited to expenditure incurred by the scheme, dividend payouts if any, whole cash not invested at all times as it may keep a portion of funds in cash to meet redemption etc. Tracking error quantifies how closely a manager's return pattern follows that of a benchmark index, and is defined as the standard deviation of the funds excess return over the underlying / benchmark index return.</p> <p>Given the structure of Quantum Gold Fund, the AMC expects the tracking error to be lower. The AMC will endeavor to keep the tracking error as low as possible. Under normal circumstances, such tracking errors (with respect to the underlying i.e. Quantum Gold Fund) are not expected to exceed 2% per annum. However this may vary when the markets are very volatile and also when the inflows / outflows in the fund are too excessive.</p> <p>A tracking error may result due to conditions that are beyond the control of the fund manager:</p> <p>(a) The Scheme would buy the underlying units on the exchange platform. The price at which the Scheme buys underlying units and it's NAV (based on London AM fix and RBI reference rate) are likely to be different thereby causing deviation and creating tracking error.</p> <p>(b) The underlying units bought will incur a brokerage cost which would again lead to deviation in the Scheme and the underlying NAVs.</p> <p>(c) Tracking error would also arise on account of time lag between allotment of NAV to the investor and availability of actual funds for deployment as explained in above para. On account of this time lag, the procurement price of the underlying units would be different than the NAV at which allotment is made leading to tracking errors.</p>															

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

- The Scheme will invest predominantly in units of Quantum Gold Fund, a mutual fund scheme Replicating / Tracking Gold - in the form of Exchange Traded Fund. The Scheme's performance may depend upon the performance of QGF. Any change in the investment policy or the fundamental attributes of QGF could affect the performance of the Scheme. All risks associated with underlying scheme, including performance of their underlying physical gold, asset class risk, passive investment risk, indirect taxation risk, etc., will therefore be applicable in this Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of the underlying scheme.
- The Scheme's NAV will react to the Gold price movements and movements in the NAV of Quantum Gold Fund. The factor that may affect the price of Gold, among other things include demand and supply for gold in India and in the global market, Indian and foreign exchange rates, inflation trends, trading in gold as commodity, legal restriction on movement / trade of gold that may be imposed by RBI, Government of India or countries that supply or purchase gold to / from India, trends and restrictions on export / import of gold in and out of India, etc.
- The investors of the scheme will bear dual loads i.e. those of the scheme and those of Quantum Gold Fund. Hence, the investor under the scheme may receive lower pre-tax returns than what they could have received if they had invested directly in underlying scheme in the same proportions.
- Although the units of Quantum Gold Fund are listed on the stock exchange, there can be no assurance that an active secondary market for Quantum Gold Fund will develop or be maintained.
- Trading in units of Quantum Gold Fund on the stock exchanges may be halted because of market conditions or for reasons that in view of stock exchange authorities or SEBI, trading in the units of Quantum Gold Fund is not advisable. In addition, trading of units of Quantum Gold Fund is subject to trading halts caused by extraordinary market volatility and pursuant to circuit filter rules of the stock exchanges and SEBI. There can be no assurance that the requirements of stock exchanges necessary to maintain the listing of the units of Quantum Gold Fund will continue to be met or will remain unchanged.
- The units of Quantum Gold Fund may trade above or below their NAV. The NAV of Quantum Gold Fund will fluctuate with changes in the market value of its holdings. The trading prices of the units of Quantum Gold Fund will fluctuate in accordance with changes in its NAV as well as market supply and demand for the units of Quantum Gold Fund. However, given that units of Quantum Gold Fund can be created and redeemed in Creation Units Size directly with Fund, it is expected that large discounts or premiums to the NAV of Quantum Gold Fund will not sustain due to arbitrage opportunity available.
- Any changes in trading regulations by the stock exchanges or SEBI may affect the ability of market maker to arbitrage resulting into wider premium /discount to NAV of Quantum Gold Fund.

Risk Mitigation Factors

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations, 1996.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks:-

Type of Risks	Risk Mitigation / Risk Management Strategies
Risk of deviating away from the investment objective of tracking gold prices through investments in units of Quantum Gold Fund (ETF)	<p>The approach adapted to managing the Scheme would be a passive investment approach. The Scheme endeavors to stay near fully invested at all times.</p> <p>Brokerage paid for buying units on the exchange may also lead to increase in deviation. To mitigate the same, the Scheme will analyze from time to time different ways of taking exposure to units of Quantum Gold Fund from the perspective of risk and return and decide the same in the best interest of investors. For e.g. : Investing directly with the fund by purchasing and delivering physical gold to create / purchase new units whenever possible will help to avoid payment of any brokerage charges.</p> <p>However, the bid ask spreads in the underlying units and time lag for availability of fund for deployment may lead to small deviations.</p>
Price risk	<p>Buying / selling on the exchange: On account of the usual bid-ask spread, the Scheme may pay / receive premium / discount while purchasing / selling the underlying units on the exchange. The fair value of the units of the Quantum Gold ETF would be calculated on a real time basis and orders would be placed near the real time fair value to avoid overpaying while purchases or receiving less while selling other than a reasonable bid ask spread.</p> <p>Buying / selling directly through the Quantum Gold Fund: There is price risk involved when buying physical gold for purchase of units on account of the possibility of the difference between the purchase price of gold and the gold price computed by the underlying fund for valuation purposes. The Scheme may choose to buy gold by placing the order to buy at London AM Fixing price which is used for valuation for the underlying fund and thereby avoid price risk to a greater extent.</p> <p>In case of redeeming units directly with the fund, the fund would deliver gold on a T+1 basis which causes time lag and also the same would have to be sold on the open market which can be at a premium / discount. However, the Scheme would usually sell the units on the exchange.</p>

Liquidity risk	It is found that sufficient liquidity is provided by the authorized participants of Quantum Gold Fund. In case of liquidity issues, the fund can opt for the alternative way of buying / selling units in exchange of the underlying. The underlying can then be bought/ sold in order to deploy funds or to raise cash for redemptions.
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Plans and Options

The Scheme offers two Plans :

- (i) Direct Plan
- (ii) Regular Plan

The Scheme offers one option - Growth Option

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

SUBSCRIPTION/PURCHASE INCLUDING SWITCH-INS:-

- a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the

application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;

- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable; It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of income distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
2. In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP) –:

- (i) Daily – 100 and multiple of Re. 1 thereafter
(ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 working days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

Tier I - Benchmark Index

Domestic Price of Physical Gold.

Income Distribution Policy

The Scheme does not offer any Income Distribution cum Capital withdrawal option / plan.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. Chirag Mehta : Managing since May 19, 2011 (12.4 years)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Gold Savings Fund – Direct Plan – Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (Domestic Price of Gold)
1 Year*	14.09%	14.33%
3 Years**	3.63%	4.52%
5 Years**	12.55%	13.57%
7 Years**	8.09%	8.85%
10 Years**	5.55%	6.69%
Since Inception**	6.85%	8.07%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: May 19, 2011.

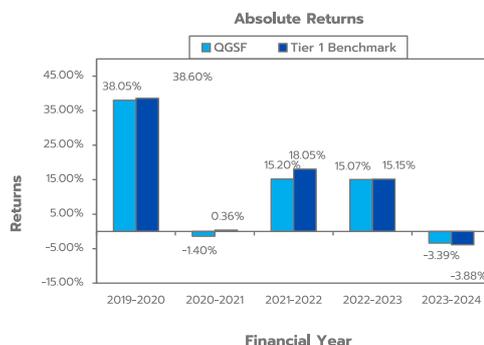
Quantum Gold Savings Fund – Regular Plan – Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (Domestic Price of Gold)
1 Year*	13.92%	14.33%
3 Years**	3.49%	4.52%
5 Years**	12.41%	13.57%
Since Inception**	9.92%	11.13%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: April 1, 2017.

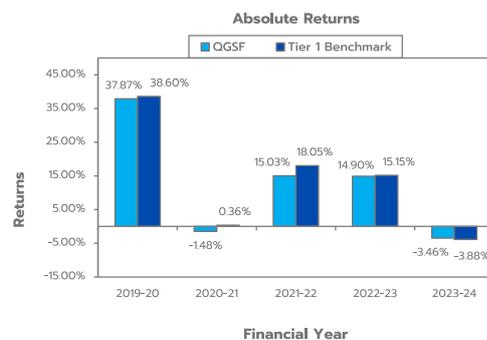
**Absolute Returns for the last 5 Financial Years
Quantum Gold Savings Fund – Direct Plan - Growth Option**



Date of Inception: May 19, 2011

Past performance may or may not be sustained in future

**Absolute Returns for the last 5 Financial Years
Quantum Gold Savings Fund – Regular Plan - Growth Option**



Date of Inception: April 1, 2017

Past performance may or may not be sustained in future

Scheme Portfolio Holdings as on September 30, 2023

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
Quantum Gold Fund (an ETF)	99.97%
TRI-Party Repo, cash & cash equivalents	0.03%
Grand Total	100.00%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Units of Quantum Gold Fund Schemes	99.97%
TRI-Party Repo, cash & cash equivalents	0.03%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link:

<https://www.quantumamc.com/portfolio/Combined/-1/1/0/0>

Portfolio Turnover Ratio as on September 29, 2023

10.66%

Expenses of the Scheme

(I) Load Structure

Type of Load	Load chargeable (as % age of NAV)
Entry	Not Applicable Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.
Exit Load	NIL

(ii) Recurring expenses

The AMC has estimated that expenses of upto 0.50% will be charged to the Scheme as permitted under Regulation 52 of SEBI (MF) regulations. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer <https://www.quantumamc.com/regulatory-document#headingSix> for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).

The investors may note that no Investment Management Fee will be charged to the Scheme. The total expenses charged to the Scheme shall not be more than 1.00% of the daily net asset of the Scheme and underlying Scheme i.e. Quantum Gold Fund. For example if expenses charged to Quantum Gold Fund is 0.75% of the daily net assets, then the expenses charged to the Scheme will be 0.25% of the daily net assets OR if expenses charged to Quantum Gold Fund is 0.50% of the daily net assets, then the expenses charged to the Scheme will be 0.50% of the daily net assets.

Regular Plan expense ratio will be higher of upto 0.15% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.

Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.

No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.

Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.

Direct Plan – ₹2,20,003 (0.06%)
Regular Plan – ₹1,84,053 (0.21%)

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in the Regular Plan) and full subscription amount will be invested in the Scheme.

Waiver of Load for Direct Applications

Not Applicable

Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, no Entry Load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/ their tax advisor.

Daily Net Asset Value (NAV) Publication

NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 10.00 a.m. of the next business day.

Investors may obtain latest NAV through SMS by a specific request to the AMC.

For Investor Grievances please contact

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 – 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

(i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

(ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Multi Asset Fund of Funds

An Open Ended Fund of Funds Scheme Investing in schemes of Quantum Mutual Fund

Investment Objective	<p>The investment objective of the Scheme is to generate modest capital appreciation while trying to reduce risk (by diversifying risks across asset classes) from a combined portfolio of equity, debt / money markets and Gold schemes of Quantum Mutual Fund.</p> <p>The Scheme may invest in the units of debt / money market schemes of other mutual funds to gain exposure to debt as an asset class to manage any investment and regulatory constraints that arise / that prevent the Scheme from increasing investments in the schemes of Quantum Mutual Fund.</p> <p>There can be no assurance that the investment objective of the Scheme will be realized.</p>																							
Category Of Scheme	Fund of Funds - Domestic																							
Scheme Code	QTMM/O/E/FOD/12/05/0008																							
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse; margin-bottom: 10px;"> <thead> <tr> <th rowspan="2" style="text-align: left;">Types of Instruments</th> <th colspan="2" style="text-align: center;">Normal Allocation (% of Net Assets)</th> <th style="text-align: center;">Risk Profile</th> </tr> <tr> <th style="text-align: center;">Minimum</th> <th style="text-align: center;">Maximum</th> <th style="text-align: center;">High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Units of Equity Schemes</td> <td style="text-align: center;">25</td> <td style="text-align: center;">65</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Units of Debt / Money Market Schemes</td> <td style="text-align: center;">25</td> <td style="text-align: center;">65</td> <td style="text-align: center;">Low to Moderately High</td> </tr> <tr> <td>Units of Gold Scheme</td> <td style="text-align: center;">10</td> <td style="text-align: center;">20</td> <td style="text-align: center;">High</td> </tr> <tr> <td>Money Market instruments, Short-term Corporate debt securities, Tri-party Repo, Repo / Reverse Repo in government securities and treasury bills only</td> <td style="text-align: center;">0</td> <td style="text-align: center;">5</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>The Scheme will predominantly invest in the units of Equity, Debt/ Money Markets and Gold schemes of Quantum Mutual Fund. Units of any other Equity and Debt schemes launched by Quantum Mutual Fund from time to time would be eligible to be part of the above asset allocation.</p> <p>Currently, the Scheme is not allowed to invest in the units of Fund of Funds Scheme as per the SEBI Regulations. The Scheme will invest in the units of the Fund of Funds Schemes of Quantum Mutual Fund as and when permitted under the SEBI Regulations.</p> <p>The Scheme will invest in money market instruments, Short term corporate debt securities, Tri-party Repo, TREP Repo/ Reverse repo in government securities and treasury bills only as per the prevailing regulations from time to time, only for the purpose of liquidity requirements.</p> <p>The Scheme may invest in the units of debt / money market schemes of other mutual funds to gain exposure to debt as an asset class to manage any investment and regulatory constraints that arise / that prevent the Scheme from increasing investment. in the schemes of Quantum Mutual Fund.</p> <p>The portfolio is reviewed periodically to address any deviations from the aforementioned allocations due to market changes.</p>	Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Units of Equity Schemes	25	65	Very High	Units of Debt / Money Market Schemes	25	65	Low to Moderately High	Units of Gold Scheme	10	20	High	Money Market instruments, Short-term Corporate debt securities, Tri-party Repo, Repo / Reverse Repo in government securities and treasury bills only	0	5	Low to Moderately High
Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile																					
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Money Market instruments, Short-term Corporate debt securities, Tri-party Repo, Repo / Reverse Repo in government securities and treasury bills only	0	5	Low to Moderately High																					
Investment Strategy	<p>Portfolio allocation between the units of equity, debt/ money markets and gold schemes broadly depends on the relative valuations between the asset classes. Relative valuations are determined by evaluation of various influencing factors. Some of the factors that would be reviewed thoroughly for determination of allocations across asset classes include:</p> <ul style="list-style-type: none"> • Price/Earnings Ratio relative to historical averages; • The relationship between Earnings Yield to Bond Yield relative to historical averages; • Macroeconomic factors prevailing globally, and within India. <p>The most well-known valuation parameter for equity is the Price-Earnings (P/E) ratio. The estimated earnings of a company are valued at varying multiples that result in a specific but constantly changing price. The P/E multiple is used to determine the current valuation of a stock. Typically, when the P/E ratio exceeds its historical or expected levels the stock moves to an overvalued price. As the P/E ratios of stocks increase over their historical or expected averages, the scheme will look to progressively reduce exposure to equities.</p> <p>The inverse of P/E ratio is earnings yield: it is the earnings per share of the company, divided by the price per share typically expressed as a percentage. This earnings yield can be compared to the bond yield for assessing the relative over or under-valuation of stocks with respect to bonds. Earnings yields on stocks should offer investors a premium over bond yields due to the relatively higher risk associated with investing in equity – ownership of bonds give defined coupon payments, while ownership of shares does not guarantee any payments. This is referred to as the equity risk premium. When the equity risk premium falls to levels below historical averages, equities become relatively more expensive over bonds. These relative yields between bonds and stocks will provide key input to the allocation between equity and debt / money market schemes.</p> <p>In addition to these market related factors, the macro environment could influence the allocation decisions. The Portfolio Team will need to assess RBI monetary policy, various global and domestic lead/ lag economic indicators, inflation trends, foreign central bank policies and evaluate their impact as the Portfolio Team determine the asset allocation between equity/ debt / money markets / gold Schemes.</p>																							

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

1. The scheme will invest predominantly in the schemes of Quantum Mutual Fund. Hence all the risk associated with the underlying schemes, including performance of the underlying schemes, asset class risk, passive investment risk, indirect taxation risk, etc., will therefore be applicable to this scheme. Any changes in the investment policy or the fundamental attributes of the underlying schemes could affect the performance of the Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of the underlying schemes.
2. The NAV's of the Scheme(s) may be affected by the changes in the general market conditions, factors and forces affecting the capital markets in particular, level of interest rates, various market related factors and trading volumes, settlement periods and transfer procedures, currency exchange rates, changes in the government policies, taxation laws, any other policies, political and economic developments etc.
3. Despite the fact that the underlying portfolio investments of the Scheme will typically be liquid in the event of receipt of an inordinately large number of redemption requests and inability of the Underlying Scheme(s) to generate enough liquidity because of market conditions, there may be delays in redemption of units.
4. The liquidity of the Scheme's investments is inherently restricted by liquidity of Underlying Schemes.
5. The investor of the Scheme shall bear the recurring expenses, transaction charges and loads if any of the Scheme in addition to the expenses, transaction charges & loads of the underlying schemes. Hence the investor under the scheme may receive lower pre-tax returns than that they could have received if they had invested directly in the underlying schemes in the same proportions.
6. The portfolio disclosure of the Scheme will be limited to providing the particulars of the underlying schemes where the Scheme has invested and will not include the investments made by the underlying schemes.
7. The changes in asset allocation may result in higher transaction costs.

Risk Mitigation Factors

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations, 1996. The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Liquidity Risk: The liquidity of the Scheme investments is inherently restricted by liquidity of Underlying Schemes. In the event of receipt of an inordinately large number of redemption requests and inability of the Underlying Scheme(s) to generate enough liquidity because of market conditions, there may be delays in redemption of units.	The Scheme seeks to invest in equity, debt / money markets and gold schemes of Quantum Mutual Fund. The underlying investments held by these schemes are highly liquid and therefore result in high liquidity of the underlying schemes. In the Quantum Long Term Equity Fund which has high liquidity criteria for stocks which make the underlying investments extremely liquid. Quantum Gold Fund invests in physical gold which is also an extremely liquid market. Quantum Index Fund invests in stocks comprising the Nifty 50 which are also highly liquid. Quantum Liquid Fund also invests in liquid short term debt instruments.
Price Risk: As the price / value / interest rate of the securities in which the Scheme invests fluctuates, the value of your investment in the Scheme may go up or down depending on the various factors and forces affecting the capital markets and money markets. The NAV's of the Scheme(s) may be affected by the changes in the general market conditions, factors and forces affecting the capital markets in particular, level of interest rates, various market related factors and trading volumes, settlement periods and transfer procedures, currency exchange rates, changes in the government policies, taxation laws, any other policies, political and economic developments etc.	The Scheme seeks to lower risk by diversifying across asset classes viz., Equity, Debt / Money Markets and Gold. The low to negative correlation amongst the asset classes tends to lower the price risk. Also, periodical rebalancing helps to maintain the diversification and avoid over / under exposure to a particular asset class than optimally required.
Allocation Risk: The returns and risk of the Scheme depends on the right mix of allocation of funds across asset classes in an optimal way so as to maximize risk adjusted performance of the Scheme. The Scheme also needs to rebalance at regular intervals to maintain the optimal allocation.	The Scheme would strategize its allocation based on relative valuation models and other macro economic factors influencing each asset class. Relative valuations are determined by evaluation of various influencing factors. Some of the factors that would be reviewed thoroughly for determination of allocations across asset classes include: <ul style="list-style-type: none"> - Price/Earnings Ratio relative to historical averages - The relationship between Earnings Yield to Bond Yield relative to historical averages - Macroeconomic factors prevailing globally, and within India In addition to these market related factors, the macro environment could influence the allocation decisions. The Portfolio Team will need to assess RBI monetary policy, various global and domestic lead/ lag economic indicators, inflation trends, foreign central bank policies and evaluate their impact as the Portfolio/Investment Team determine the asset allocation

	<p>determine the asset allocation between equity/ debt / money market / gold Schemes. After analyzing some or all the factors mentioned above, Investment Team determines the relative allocation to specific equity, debt / money markets and gold schemes. The allocations would be regularly reviewed and necessary portfolio changes would be carried out based on the analysis suggested by various influencing factors.</p> <p>The portfolios will be critically reviewed on a periodic basis to assess the current portfolio allocations. Any decisions to change the prevailing allocations will be taken based on prevalent market conditions and the changing macro business environment.</p>
<p>Associated with Gold:</p> <p>1. Quality and Purity Risk – Risk of sub-standard quality of gold.</p> <p>2. Custody Risk – There is risk that part or the entire Quantum Gold Fund (ETF) gold could be lost or damaged or stolen.</p>	<p>Gold purchased under by underlying Quantum Gold Fund (ETF) is 0.995 and above. Gold sourced shall be from an LBMA accredited refiner only. The Quantum Gold Fund has a well-defined and specific good delivery norms policy to be followed by the custodian for acceptance of Gold.</p> <p>To ensure the highest safety Gold is stored with renowned vaulting agencies in their professional vaults. Gold held by the Fund is fully insured.</p>

Plans and Options

The Scheme offers two Plans:

- (I) Direct Plan
- (II) Regular Plan

The Scheme offers one option - Growth Option

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

Subscription/Purchase including SWITCH-INS:

- In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable; It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income Distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

- In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application, shall be applicable.
- In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP) :

- Daily – ₹100 and multiple of Re. 1 thereafter
- Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 working days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master Circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

Tier I - Benchmark Index

CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%)

Income Distribution Policy

The Scheme does not offer any Income distribution cum Capital Withdrawal option / plan.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. Chirag Mehta: Managing since July 11, 2012 (11.2 years)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Multi Asset Fund of Funds - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%))
1 Year*	11.70%	11.98%
3 Years**	9.74%	11.70%
5 Years**	8.95%	11.02%
7 Years**	8.32%	10.11%
10 Years**	9.55%	10.52%
Since Inception**	9.25%	10.16%

Past performance may or may not be sustained in future.

*Annualized ** CAGR Date of Inception: July 11, 2012.

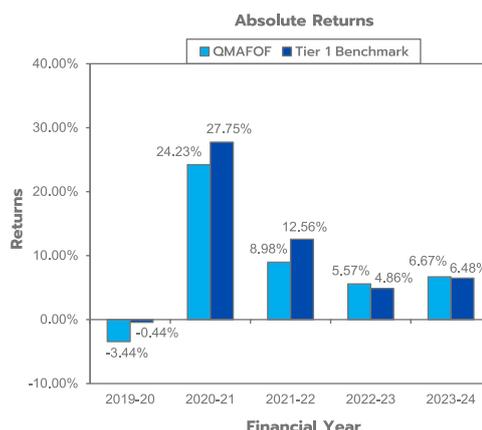
Quantum Multi Asset Fund of Funds - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%))
1 Year*	11.29%	11.98%
3 Years**	9.34%	11.70%
5 Years**	8.61%	11.02%
Since Inception**	8.05%	10.43%

Past performance may or may not be sustained in future.

*Annualized ** CAGR Date of Inception: April 1, 2017.

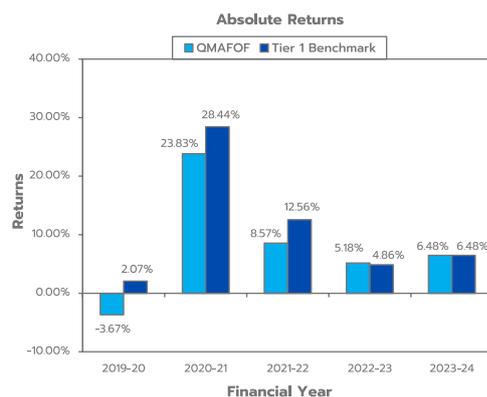
**B. Absolute Returns for the last 5 Financial Years
Quantum Multi Asset Fund of Funds - Direct Plan - Growth Option**



Date of Inception: July 11, 2012

Past performance may or may not be sustained in future

**Absolute Returns for the last 5 Financial Years
Quantum Multi Asset Fund of Funds - Regular Plan - Growth Option**



Date of Inception: April 1, 2017

Past performance may or may not be sustained in future

Scheme Portfolio Holdings As On September 30, 2023

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
Quantum Liquid Fund - Direct Plan Growth Option	29.90%
Quantum Nifty 50 ETF	24.07%
Quantum Dynamic Bond Fund - Dir Plan Grwth Opt	16.89%
Quantum Gold Fund -Ex-change Traded Fund (ETF)	14.23%
Quantum Long Term Eq Value Fund - Dir Plan Grwth Opt	8.75%
Quantum India Esg Equity Fund-DirectPlan-Growth Op	3.94%
TRI-Party Repo, cash & cash equivalents	2.22%
Grand Total	100.00%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Units of Quantum Mutual Fund Schemes	97.78%
TRI-Party Repo, cash & cash equivalents	2.22%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link:

<https://www.quantumamc.com/factsheets/Combined/-1/0/0>

Portfolio Turnover Ratio as on September 29, 2023

Not Applicable

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of ₹100 for existing investors and ₹150 for a first time investor per subscription of ₹10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

Expenses of the Scheme	(I) Load Structure	Type of Load	Load chargeable (as % age of NAV)
		Entry	<p>Not Applicable</p> <p>Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.</p>
	(ii) Recurring expenses	Exit Load	<p>1.00 % if redeemed or switch out on or before 90 days from the date of allotment of units. NIL if redeemed or switch out after 90 days from the date of allotment of units.</p>
			<p>The AMC has estimated that up to 0.50% of the daily net assets of the Scheme will be charged to the Scheme as as permitted under Regulation 52 of SEBI (MF) regulations. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer https://www.quantumamc.com/regulatory-document#headingSix for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).</p> <p>No management fees will be charged to the Scheme except if the Scheme invests in the schemes of fund house other than that of Quantum Mutual Fund. In such case the management fees will be charged to (that portion of the assets) the Scheme which will not be more than 0.25% of the daily (that portion of the assets) net assets of the Scheme subject to overall limit of 0.50% of the daily net assets of the Scheme.</p> <p>Regular Plan expense ratio will be higher of upto 0.24% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.</p> <p>Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.</p> <p>No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.</p> <p>Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.</p> <p>Direct Plan – ₹2,49,103 (0.10%) Regular Plan – ₹65,358 (0.47%)</p>
Waiver of Load for Direct Applications			<p>Not Applicable</p> <p>Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.</p>
Tax treatment for the Investors (Unitholders)			<p>Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.</p>
Daily Net Asset Value (NAV) Publication			<p>NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 10.00 a.m. of the next business day.</p> <p>Investors may obtain latest NAV through SMS by a specific request to the AMC.</p>

**For Investor Grievances
please contact**

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 - 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC /

Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Dynamic Bond Fund

An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk

Investment Objective	The investment objective of the scheme is to generate income and capital appreciation through active management of a portfolio consisting of short term and long term debt and money market instruments.																							
Category Of Scheme	Dynamic Bond Fund																							
Scheme Code	QTMM/O/D/DBF/15/03/0009																							
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Types of Instruments</th> <th colspan="2">Normal Allocation (% of Net Assets)</th> <th>Risk Profile</th> </tr> <tr> <th>Minimum</th> <th>Maximum</th> <th>High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Government Bond/Bills</td> <td style="text-align: center;">25</td> <td style="text-align: center;">100</td> <td>Low to Moderately High</td> </tr> <tr> <td>PSU Bonds</td> <td style="text-align: center;">0</td> <td style="text-align: center;">50</td> <td>Moderate to High</td> </tr> <tr> <td>Certificate of Deposits/ Commercial Paper / Short Term Debt Instruments</td> <td style="text-align: center;">0</td> <td style="text-align: center;">75</td> <td>Low to Moderately High</td> </tr> <tr> <td>TRI-Party Repo / Repos</td> <td style="text-align: center;">0</td> <td style="text-align: center;">100</td> <td>Low</td> </tr> </tbody> </table> <p>a. The Scheme will not invest in repo of corporate debt securities and Credit Default Swaps (CDS).</p> <p>b. The Scheme shall not participate in Securities lending / borrowing and shall not invest in convertible Debt Instruments.</p> <p>c. Pursuant to para-No. 4.6.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19,2023 the Scheme shall hold at least 10% of their net assets in liquid assets. For this purpose, 'liquid assets' shall include Cash, Government Securities, T-bills and Repo on Government Securities.</p> <p>d. Pending deployment, the corpus would be invested in short term fixed deposits of scheduled commercial bank in Pursuant to para-No. 12.16.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19,2023 as may be amended from to time.</p> <p>e. In accordance with SEBI Circular No. Cir/ IMD/ DF/ 11/ 2010 dated August 18, 2010, the aggregate asset allocation will not exceed 100% of the net assets of the scheme.</p> <p>f. The Scheme will not invest in Securitized debt instruments, Foreign Securities, and derivatives.</p> <p>g. The Scheme will not engage in short selling or carry forward transactions.</p> <p>In accordance with the requirement of regulation 43A of SEBI (Mutual Funds) Regulations, 1996 read with SEBI circular no. SEBI/HO/IMD/PoD2/P/CIR/2023/129 dated July 27, 2023 on investment by Mutual Fund Schemes and AMCs in units of Corporate Debt Market Development Fund ('CDMDF'), scheme shall invest 25 bps of its AUM of the Scheme. Further, an incremental contribution to CDMDF shall be made every six months to ensure 25 bps of scheme AUM is invested in units of CDMDF.</p>	Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Government Bond/Bills	25	100	Low to Moderately High	PSU Bonds	0	50	Moderate to High	Certificate of Deposits/ Commercial Paper / Short Term Debt Instruments	0	75	Low to Moderately High	TRI-Party Repo / Repos	0	100	Low
Types of Instruments	Normal Allocation (% of Net Assets)		Risk Profile																					
	Minimum	Maximum	High/Medium/Low																					
Government Bond/Bills	25	100	Low to Moderately High																					
PSU Bonds	0	50	Moderate to High																					
Certificate of Deposits/ Commercial Paper / Short Term Debt Instruments	0	75	Low to Moderately High																					
TRI-Party Repo / Repos	0	100	Low																					
Investment Strategy	<p>The investment objective of the scheme is to generate income and capital appreciation through active management of a portfolio consisting of short term and long term debt and money market instruments.</p> <p>The investment objective would be achieved in the following order of priority:</p> <ul style="list-style-type: none"> • Legality & compliance – Ensuring that the portfolio is at all times completely compliant with all rules and regulations – internal and external. • Portfolio Liquidity – Ensuring that the Portfolio can be liquidated at minimal impact cost to the investors. • Yield and Return Enhancement – After meeting the above objectives, the rigorous and in-depth research and analysis of the macro economic situation and fixed income markets should provide for timely investment opportunities which would enhance the total returns for an investor – on a risk adjusted basis. • Capital Preservation – Ensuring that the investments are made in a manner to minimize market risks and choosing securities which have low default/downgrade risk. <p>Debt markets tend to be volatile and especially so in a developing market like in India where market depth and liquidity may not be consistent. Longer tenor instruments tend to be more volatile than short term instruments. And that the interest rates and bond prices generally tend to have an inverse relationship.</p> <p>Thus, when interest rates rise, the price of a bond falls; and in that the price of a bond with longer maturity falls more than the one which has a shorter maturity.</p> <p>This is also true when interest rates fall, the price of the bond rises; and in that the price of a bond with longer maturity rises more than the one which has a short term maturity.</p> <p>The Quantum Dynamic Bond Fund has the flexibility to invest across the maturity curve. It can invest in short term as well as long term instruments. And based on the asset allocation table provided, it can invest in government as well as corporate bonds.</p> <p>The investment strategy thus is to dynamically manage only the duration of the Scheme. Thus the Scheme has the flexibility to invest in short term and long term instruments. But the Scheme has limited ability to take credit exposures. As given in the asset allocation table, despite the dynamic nature of the Scheme, it is restricted in taking exposures in corporate bonds, especially those issued by private sector and there are pre-defined limits for all instruments and investment categories. This is to ensure that the portfolio has better liquidity and is of relatively high credit quality.</p>																							

The Scheme will thus be actively managed and the Fund Manager and the investment team will take an active view of the interest rate movement by keeping a close watch on various parameters of the Indian economy as well as developments in global markets.

Thus if the Fund Manager and the Investment Team believe that long term interest rates are going to rise, the Scheme can move to instruments which have low maturity and thus low duration and help protect the Scheme's performance.

Conversely, if the Fund Manager and Investment Team believe that long term interest rates are going to fall, the Scheme can invest in longer tenor instruments and thus benefit out of the larger rise in prices of longer tenor bonds.

Given that Indian macro data points and hence interest rates tend to be volatile, the flexibility of optimal maturity management is key to superior risk adjusted performance.

Risk Profile of the Scheme

Mutual Fund Units are subject to market risks. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Scheme specific risk factors are summarized below.

The performance of the Scheme may be affected by changes in Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems. As the Scheme will be investing in debt and money market instruments which involves interest / market risk, Credit / default risk, liquidity, concentration, settlement, re-investment risk etc.

The NAV of the Scheme is largely dependent on the performance of the various debt instruments wherein the investment has been made which may fluctuate from time to time. The Scheme may use techniques and instruments for efficient portfolio management and attempt to hedge or reduce the risk of such fluctuations. However these techniques and instruments if imperfectly used have the risk of the Scheme incurring losses due to mismatches particularly in a volatile market. The Fund's ability to use these techniques may be limited by market conditions, regulatory limits and tax considerations (if any).

Risk Mitigation Factors

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified the following Risks and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Interest Rate Risk	Measured through Average Maturity/ Duration / Portfolio Sensitivity to interest rate changes (Pv01). Since the Scheme can invest in short term and long term instruments; interest rate risk is inherent in the portfolio. The management of interest rate risk is then a function of the quality of the fixed income research inputs and the active investment management strategy. Since the Scheme will not invest in derivatives, the management of interest rate risk would be achieved by altering the Scheme maturity profile at appropriate times.
Credit and Default Risk	Measured through Portfolio credit quality. % allocation to instruments rated AAA / AA and so forth. The Scheme broad asset allocation limits the investments in debt instruments issued by private (non-government) companies. This reduces the inherent credit / default risk of the portfolio. The internal guidelines of the AMC also limit the investment in lower rated instruments. The Scheme has to necessarily invest a major portion of its investments in government bonds and/or PSU Bonds thus lowering the overall credit risk in the portfolio.
Liquidity Risk	Measured through Trading Data / Portfolio Liquidity Report. With the overall improvement in the reporting and transparency of traded market data of all debt and money market instruments, the Investments Team can gauge the liquidity of individual instruments in the portfolio. By this, the Scheme can also determine the time taken to liquidate the position based on historical traded data. A portfolio liquidity report can help address any illiquid securities in the portfolio.
Concentration Risk	Measured through Portfolio holdings report and unit holder report Quantum Mutual fund has a well laid out investment policy, applicable to all its debt schemes, which has set pre-defined limits of exposure to each security based on its maturity and credit profile. This ensures that the portfolio is not overly concentrated to one issuer or industry. The other concentration risk of few investors holding a large share of the schemes corpus is addressed in some measure by SEBI's rule of 20/25. Adequate portfolio liquidity can also help limit the losses due from large portfolio redemption.
Counterparty risk	Measured through single counterparty exposure The Fund has single party counter-party limits to limit the damages from a failed settlement or delayed settlement by counterparty. Counterparty risk is also a function of the nature of the instrument and mode of settlement being followed. For instance, in government securities, the settlement of all trades are done through a clearing corporation thus ensuring lower likelihood of failed settlements and counterparty risk.
Settlement Risk	Measured through post trade failure in settlements Government securities, T-bills, SDLs, Tri-Party Repo are now settled through a counter party clearing mechanism operated and managed by CCIL (Clearing Corporation of India Ltd). This has vastly reduced settlement failures in these securities. Although, corporate bonds are still settled on a DVP basis, but the trades are now routed through a clearing corporation. This limits settlement failures arising out of a bilateral settlement. Our counterparty exposure norms also limit the risks of a failed settlement on the overall portfolio.

Plans and Options

The Scheme offers two Plans:

- (i) Direct Plan
- (ii) Regular Plan

Each Plan offers three Options:

- A. Growth Option
- B. Monthly Payout of Income Distribution Cum Capital Withdrawal (IDCW) Option
- C. Monthly Reinvestment of Income Distribution Cum Capital Withdrawal (IDCW) Option

The Income can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter and Other Disclosure

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

Subscription/Purchase including SWITCH-INS:

- a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the

next Business Day, the closing NAV of the next Business Day shall be applicable;

- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable; It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income Distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application, shall be applicable.
2. In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP) :

- (i) Daily – ₹100 and multiple of ₹1 thereafter
- (ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase proceeds shall be dispatched / credited to the registered bank account of the unitholders within 3 Working Days from receipt of valid redemption or repurchase request.

Further, in exceptional situations additional timelines in line with AMFI letter no. AMFI/35P/MEM -COR/74/2022-23 dated January 16, 2023 will be applicable for transfer of redemption or repurchase proceeds to the unitholders.

Tier I - Benchmark Index

CRISIL Dynamic Bond A-III Index

Income Distribution Policy

In terms of SEBI guidelines, the Trustees shall fix the quantum of income distribution and the record date (which is the date that will be considered for the purpose of determining the eligibility of investors). Income Distribution if distributed, will be paid (subject to deduction of tax at source, if any) to those Unitholders whose names appear in the Register of Unitholders on the notified record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 2 working days from the date of publication in at least one English newspaper or in a newspaper published in the language of the region where the Head Office of the mutual fund is situated, whichever is issued earlier. In case of Units held in dematerialized mode, the Depositories (NSDL/ CDSL) will give the list of demat account holders and the number of Units held by them in electronic form on the Record date to the Registrars and Transfer Agent of the Mutual Fund who shall be eligible to receive the Income Distribution.

The payment of dividend to the unitholders shall be made within seven working days from the record date.

It must be distinctly understood that the actual income distribution and the frequency thereof will inter-alia, depend on the availability of distributable surplus as computed in accordance with SEBI Regulations. The decision of the Trustee in this regard shall be final. There is no assurance or guarantee to Unitholders as to the rate of income distribution nor will that income distribution be distributed regularly. On distribution of income, the NAV will stand reduced by the amount income distributed and statutory levy, if any, at the close of business hours on record date. The Trustee / AMC reserves the rights to change the record date from time to time.

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. Pankaj Pathak managing scheme since March 1, 2017 (6.6 Years)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum Dynamic Bond Fund - Direct Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Dynamic Bond A-III Index)
1 Year*	7.85%	7.62%
3 Years**	5.15%	4.80%
5 Years**	7.34%	7.99%
7 Years**	6.80%	6.79%
Since Inception**	7.70%	7.53%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: May 19, 2015

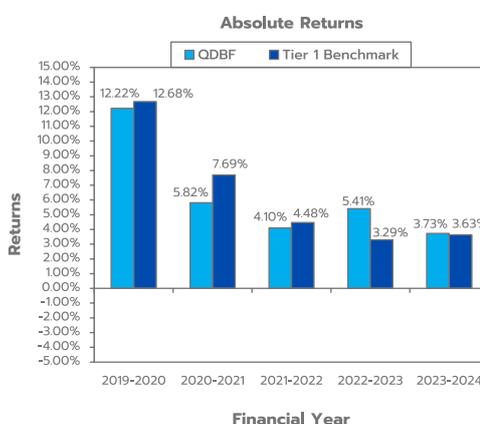
Quantum Dynamic Bond Fund - Regular Plan - Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (CRISIL Dynamic Bond A-III Index)
1 Year*	7.66%	7.62%
3 Years**	5.01%	4.80%
5 Years**	7.20%	7.99%
Since Inception**	6.49%	6.80%

Past performance may or may not be sustained in the future.

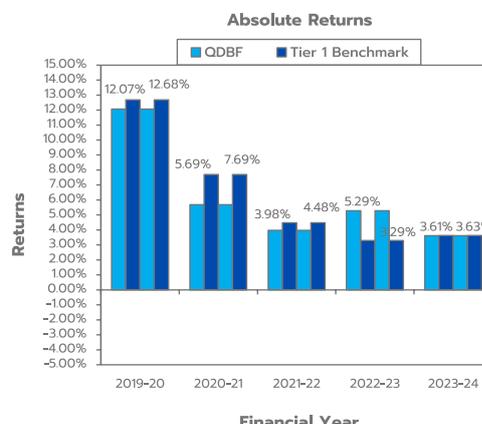
*Annualized ** CAGR Date of Inception: April 01, 2017

**Absolute Returns for the last 5 Financial Years
Quantum Dynamic Bond Fund - Direct Plan - Growth Option**



Date of Inception: May 19, 2015
Past performance may or may not be sustained in future

**Absolute Returns for the last 5 Financial Years
Quantum Dynamic Bond Fund – Regular Plan - Growth Option**



Date of Inception: April 1, 2017
Past performance may or may not be sustained in future

Scheme Portfolio Holdings as on September 30, 2023

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
7.26% GOI (MD 06/02/2033)	36.17%
7.18% GOI (MD 14/08/2033)	21.86%
7.1% GOI (MD 18/04/2029)	10.88%
7.18% GOI (MD 24/07/2037)	10.81%
9.09% IRFC NCD (MD 31/03/2026)	5.69%
7.58% NABARD Sr 23H NCD (MD 31/07/2026)	5.46%
7.3% GOI (MD 19/06/2053)	5.40%
TRI-Party Repo, cash & cash equivalents	3.73%
Grand Total	100.00%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Government of India	85.12%
Finance	11.15%
TRI-Party Repo, cash & cash equivalents	3.73%
Grand Total	100.00%

(iii) To obtain scheme's latest monthly portfolio please refer the following link :

<https://www.quantumamc.com/portfolio/Combined/-/1/0/0>

Portfolio Turnover Ratio as on September 29, 2023

Not Applicable

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023 the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs. 10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor i.e. in the Regular Plan and full subscription amount will be invested in the Scheme.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

(i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

(ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum India ESG Equity Fund

An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme

Investment Objective	<p>The Investment Objective of the scheme is to achieve long-term capital appreciation by investing in share of companies that meet Quantum’s Environment, Social and Governance (ESG) criteria.</p> <p>The sustainability objectives of the ESG strategy are:</p> <ol style="list-style-type: none"> a. achieving positive and above-average ESG profile b. mitigating ESG risks and harnessing ESG opportunities, and c. influencing overall positive behavior by investing in companies that promote sustainable products and services. 															
Category Of Scheme	Thematic Scheme															
Scheme Code	QTMM/O/E/OES/19/03/0010															
Asset Allocation Pattern of the scheme	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Types of Instruments</th> <th colspan="2">Normal allocation (% of Net Assets)</th> <th>Risk Profile</th> </tr> <tr> <th>Minimum allocation (% of Net Assets)</th> <th>Maximum allocation (% of Net Assets)</th> <th>High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Equity & Equity Related Instruments of Companies following ESG Criteria</td> <td style="text-align: center;">80</td> <td style="text-align: center;">100</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Money Market Instruments & Liquid Schemes of Mutual Funds</td> <td style="text-align: center;">0</td> <td style="text-align: center;">20</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>The Scheme will not invest either in Repo of Corporate Debt Securities, Securitized Debt Instruments, Foreign Securities or Derivatives. In accordance with para-No. 12.24 of SEBI Master Circular dated May 19, 2023, the aggregate asset allocation will not exceed 100% of net assets of the scheme.</p> <p>The Scheme, under normal circumstances, shall not have exposure of more than 50% of its net assets in stock lending. The Scheme may also not lend more than 5% of its overall stock lending exposure to any one intermediary to whom securities will be lent.</p> <p>The proportion of the scheme portfolio invested in each sector will vary to track sector weights that of a broad well-diversified indices to ensure portfolio diversification. The proportion of the scheme portfolio invested in each type of security within the sector will vary depending upon a comprehensive analysis of the company based on the Environmental, Social and Governance factors impacting the company and their peer group within its sector of operations.</p> <p>The Scheme, under normal circumstances, shall not have exposure of more than 50% of its net assets in stock lending. The Scheme may also not lend more than 5% of its overall stock lending exposure to any one intermediary to whom securities will be lent.</p>	Types of Instruments	Normal allocation (% of Net Assets)		Risk Profile	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	High/Medium/Low	Equity & Equity Related Instruments of Companies following ESG Criteria	80	100	Very High	Money Market Instruments & Liquid Schemes of Mutual Funds	0	20	Low to Moderately High
Types of Instruments	Normal allocation (% of Net Assets)		Risk Profile													
	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	High/Medium/Low													
Equity & Equity Related Instruments of Companies following ESG Criteria	80	100	Very High													
Money Market Instruments & Liquid Schemes of Mutual Funds	0	20	Low to Moderately High													
Investment Strategy	<p>India has embarked upon a national level cleanliness movement- “Swachh Bharat Abhiyan”. The aim was to clean length and breadth of country, provide cleaner natural resources, improve hygiene and health and contribute towards achieving the sustainable development goal established by the United Nations in 2015.</p> <p>Supporting the mass movement for cleanliness and sustainability, Quantum India ESG Equity Fund is a step towards ensuring that investments flow into greener and cleaner business. The focus of this scheme would be on investing in businesses, which are ensuring sustainable management of natural and human resources, diversity within the organizational structure, prudent management and socially responsible framework of business. The aim of this scheme is to provide the investors an opportunity to have an exposure to sustainable investment option.</p> <p>The investment strategy determines sector weightages to reflect that of broad well diversified indices for the Indian equity markets. Further, the strategy aims to invest in companies within each sector that stand high on the Environmental, social and Governance parameters.</p> <p>The investment strategy of the Scheme will be to invest in a basket of stocks after intensive analysis on the environmental, social and governance aspects of the company. The aim is to follow a comprehensive 'ESG Framework' in order to develop deeper understanding into a company's management practices, sustainable businesses and risk profile, which would thereby help us in understanding the impact on long-term sustainability that drives performance.</p> <p>The primary focus of the Scheme will be on companies based on two criteria. First is for selecting companies under coverage and second is for selecting companies in the portfolio. The first criteria is selecting companies generally trading with liquidity of minimum US \$ 1 million on an average over the last 12 months and second criteria based on their ESG score.</p> <p>Each security, which is filtered on the basis of first criteria, will be scored on ESG parameters using data sources such as sustainability reports (GRI Framework), Business Responsibility Reports (BRR) and other publicly available documents. Active weights of a security within their respective sector will be determined by a composite ESG score. A higher ESG score of a security within the sector will have higher relative weight and vice versa. The selection process ensures eliminating exposure to companies that rank poorly on ESG criteria completely. The sum total of the weights of securities in a sector will equal to track sector weights of broad well- diversified indices. The allocations focus on governance and sustainability; hence will be agnostic to valuations.</p> <p>The investment strategy is broadly a combination of the following ESG approaches:</p> <p>► Exclusions: The investment strategy excludes companies in the sectors – Alcohol, Gambling and Tobacco.</p>															

► Integration: The investment strategy incorporates certain key financial filters that the company needs to comply with. These filters include long-term return on capital employed, leverage, and growth rates.

► Best-in-class & Positive Screening: The investment strategy is based on a positive screening and Best-in-Class approach subject to adherence of the company incorporating favorable ESG practices in core/material within each of the E, S, and G dimensions.

The contribution to 'positive environmental change', an investor might reasonably expect:

► The Scheme Fund invests in companies that meet Quantum ESG criteria and has a positive ESG score which means the company stands relatively better as compared to other companies in their sectors on their ESG performance. While evaluating companies, the Team assess if the company has gone beyond their traditional remit and have made conscious effort to recognize the environment and society as important stake holders and have achieved a reasonable progress on their environmental footprint and social recognition and contribution. The Team also look for companies efforts in adapting and mitigating climate change and thereby reducing the carbon footprint, improving the resource efficiency, water usage and waste reduction and disposal. Similarly, on the social aspects, companies should work towards being more equitable, encourage diversity, positively contribute towards the society through judicious use of CSR, encouraging worker representation and health & safety at workplace, upholding best standards for data privacy and cyber security.

► The Team do not only measure these aspects through the ESG framework but monitor and engage companies to become better at their ESG performance. One can reasonably expect a gradual improvement and many of these material ESG measure impacting the companies' operations.

Decision-making process for Investing:

Decision-making process for investing is based on the use of proprietary ESG scoring methodology. The broad comparisons made by the analysts of various companies within the sector and also taking clues from global companies evolution provides great insights into any ambiguous claims made by the company being researched. The Research is not restricted We do not restrict our research to self-declared company disclosures. We try to do a 360-degree company check is done by talking to various stakeholders like suppliers, vendors, customers, channel checks, employees, etc. to get more information to get a granular understanding of the true state of the company's affairs. We also try to get the information is also obtained from unorthodox sources like pollution control boards, NGOs, local communities in our bid to gather more information to verify the sustainability claims made by the company.

Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document carefully for details on risk factors and other details before investment. Risk Factors are summarized below:

The Scheme proposes to invest primarily in equity and equity related securities following Quantum's Environment, Social and Governance (ESG) criteria. The Scheme will, to the extent required to meet Repurchase / Redemption obligations or for want of immediate appropriate investment opportunities in equity or equity related instruments, also hold the funds in money market instruments or, subject to the limits as prescribed under the SEBI (Mutual Fund) Regulations, 1996, invest in units of liquid schemes under the Quantum AMC or any other Mutual Funds.

In the scheme, the portfolio allocations (or weights) are done on two levels: one on sector level and second at security level. The sectoral allocations in the Scheme will track the sectoral allocations of a broad well-diversified index to ensure portfolio diversification. The proportion of the scheme portfolio invested in each type of security within the sector will vary depending upon a comprehensive analysis of the company based on the Environmental, Social and Governance factors impacting the company and their peer group within its sector of operations. Therefore, the underlying security allocation may be under or overweight as compared to that in the broad well-diversified Index. There is a risk that the returns from the Scheme may not be exactly equivalent to the returns from the broad well-diversified Index.

Equity and equity-related risk: The Mutual Fund is not guaranteeing or assuring any returns. The trading volumes, settlement periods and transfer procedures may restrict the liquidity of the investments made by the Scheme. In the event investments are made in unlisted securities, the ability to liquidate such investments would be further limited. Along with liquidity risk, the NAV of the Units of the Scheme can go up or down because of various factors that affect the capital markets in general.

Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies, which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Mitigation / Risk Management Strategies
Liquidity Risk	The Investments are made only in such stocks which has high trading volume in the market.
Quality Risk	Investment universe is selected based on ESG score and the scoring process takes into consideration the nature of industry, quality of business, history of company, management capabilities, promoter background, etc. Regular meeting are attended by analysts to be updated about the Company's information.
Price Risk	The investment process is valuation agnostic but emphasis is on investing in sustainable business to drive long term performance.
Volatility Risk	External factors like liquidity flows, changes in the business environment, economic policy, corporate governance etc. contributed largely to the volatility. The scheme will manage volatility risk through diversification.

Concentration Risk	The concentration risk and volatility risk both are managed through diversification. The investments are made across various sectors to diversify and within the sector; there is a limit on the allocation to each stock at maximum 5% at cost and 10% at market value.
Allocation Risk	The emphasis is on investing in sustainable business which should do well over the long term. Also, the portfolio is well diversified at the sector level and considers allocation of a broad diversified index for investing. At the time of rebalancing of the portfolio, the sector weights are rebalanced to reflect the Index exposure. In case of changes in sectoral composition, a sector is included or excluded from the portfolio. In case of addition of new sector, the underlying stocks are added in proportion to their respective ESG score ensuring that the sum total of the weights of stocks in that sector will be equal to respective sector weight.
Corporate Actions	In case of corporate actions like merger, demerger or issue of QIP, the rebalancing of stocks shall be done on the next set date to maintain the sectoral weights and stock weight limit.
Risks related to greenwashing	Greenwashing is the process of conveying a false impression or providing misleading information about how a company's business/products/services are more environmentally sound. The Team We are well aware that there are many "greenwashing" incentives for companies from brand building to attracting investment flows. It is our fiduciary duty as custodians of investor capital to navigate any greenwashing attempts and deliver investors true sustainability geared portfolio. The Team We understand that ESG is not a "tick the box" desk research. The Team We do not restrict our the research to self-declared company disclosures. The Team We try to do a 360-degree company check by talking to various stakeholders like suppliers, vendors, customers, channel checks, employees, etc. to get more information to get a granular understanding of the true state of the company's affairs. The Team We also try to get information from unorthodox sources like pollution control boards, NGOs, local communities in our bid to leave no stone unturned. There is also an attempt to verify the information with other available data and map with other companies in the sector and globally to identify any red flags.
Volatility risk	External factors like liquidity flow, changes in the business environment, economic policy, corporate governance, etc. contributed largely to the volatility. The scheme will manage volatility risk through diversification.

Plans and Options

The Scheme offers two Plans:

- (I) Direct Plan
- (II) Regular Plan

Each plan offers Growth Option

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong / invalid / incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Applicable NAV

Subscription/Purchase including SWITCH-INS:

- a. In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business

day, the closing NAV of the such subsequent Business Day shall be applicable, It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution Cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of Income Distribution.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received up to 3 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
2. In respect of valid applications received after 3 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP):

(i) Daily – ₹100 and multiple of Re. 1 thereafter

(ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase proceeds shall be dispatched / credited to the registered bank account of the unitholders to the unitholders within 3 working days from the date of redemption or repurchase.

Tier I - Benchmark Index

Nifty 100 ESG Total Return Index

Income Distribution Policy

Not Applicable, as the Scheme does not have Income Distribution cum Capital Withdrawal Option

Name of the Fund Manager & Tenure of the Managing the Scheme

Mr. Chirag Mehta – Fund Manager – Managing Since July 12, 2019 (4.2 years)
Ms. Sneha Joshi – Associate Fund Manager – Managing Since July 12, 2019 (4.2 years)

Name of the Trustee Company

Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)

Quantum India ESG Equity Fund – Direct Plan – Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (NIFTY100 ESG TRI)
1 Year*	16.22%	11.47%
3 Years**	21.54%	20.22%
Since Inception**	17.00%	15.40%

Past performance may or may not be sustained in the future.

*Annualized ** CAGR Date of Inception: July 12, 2019

Quantum India ESG Equity Fund – Regular Plan – Growth Option

Period	Scheme Returns %	Tier – I Benchmark Returns % (NIFTY100 ESG TRI)
1 Year*	15.28%	11.47%
3 Years**	20.60%	20.22%
Since Inception**	16.17%	15.40%

Past performance may or may not be sustained in the future.

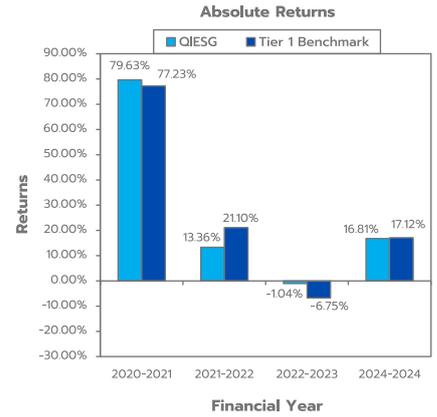
*Annualized ** CAGR Date of Inception: July 12, 2019

Absolute Returns from July 12, 2019 to September 30, 2023. Quantum India ESG Equity Fund - Direct Plan - Growth Option



Date of Inception: July 12, 2019
Past performance may or may not be sustained in future

Absolute Returns from July 12, 2019 to September 30, 2023. Quantum India ESG Equity Fund - Regular Plan - Growth Option



Date of Inception: July 12, 2019
Past performance may or may not be sustained in future

Scheme Portfolio Holdings as on September 30, 2023

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
HDFC Bank Ltd	7.24%
TRI-Party Repo, cash & cash equivalents	4.91%
TVS Motor Company Ltd	4.64%
The Indian Hotels Company Limited	3.81%
ICICI Bank Ltd	3.43%
Tata Consultancy Services Ltd	3.23%
Tata Consumer Products Ltd	3.14%
Infosys Ltd	3.08%
Marico Ltd	3.04%
Tata Communications Ltd	3.04%
Grand Total	39.56%

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Banks	18.65%
IT - Software	15.91%
Automobiles	12.98%
Consumer Durables	8.58%
Agricultural Food & other Products	6.18%
Others	4.91%

Leisure Services	3.81%
Chemicals & Petrochemicals	3.60%
Personal Products	3.60%
Healthcare Services	3.43%
Telecom - Services	3.04%
Insurance	2.64%
Capital Markets	1.73%
Electrical Equipment	1.65%
Food Products	1.53%
Fertilizers & Agrochemicals	1.49%
Auto Components	1.37%
Diversified FMCG	1.28%
Petroleum Products	1.09%
Transport Services	1.08%
Finance	0.73%
Retailing	0.72%
Grand Total	100.00%

(iii) Scheme's latest monthly portfolio holdings shall be available once portfolio is constructed at the following link

<https://www.quantumamc.com/portfolio/Combined/-1/1/0/0>

Portfolio Turnover Ratio as on September 29, 2023

17.94%

Expenses of the Scheme

(I) Load Structure

Type of Load

Load chargeable (as % of NAV)

Entry Load/Switch in Load

Not Applicable*

Exit Load/ Switch Out Load:

10% of units If redeemed or switched out on or before 365 days from the date of allotment.

NIL

Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment.

1

If redeemed or switched out after 365 days from the date of allotment

NIL

Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis.

(*) Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.

The above-mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.

(ii) Recurring expenses

As per Regulation 52(6)(c) of SEBI (MF) Regulations, the total expenses of the Scheme, including Investment Management and Advisory Fees shall be subject to following limits as specified below.

Asset Under Management Slab	Total Expense Ratio % limits
On the first ₹500 Crores of the daily net asset	2.25
On the next ₹250 Crores of the daily net assets	2.00
On the next ₹1,250 Crores of the daily net assets	1.75
On the next ₹3,000 Crores of the daily net assets	1.60
On the next ₹5,000 Crores of the daily net assets	1.50
On the next ₹40,000 Crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of ₹5,000 Crores of daily net assets or part thereof
On balance of the assets	1.05

The AMC has estimated upto 2.25 % of the daily net asset will be charged as the expense ratio to to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. For actual

current expense being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/total-expense-ratio/report-1 for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfiindia.com).

Regular Plan expense ratio will be higher of upto 0.50% or such other higher percentage as may be decided from time to time inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan expense ratio within the Total Expense Ratio limit, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.

Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.

No other additional expenses which are allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.

Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.

Direct Plan – ₹25,11,823 (0.93%)
Regular Plan – ₹12,93,414 (1.85%)

Transaction Charges

Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of ₹100 for existing investors and ₹150 for a first time investor per subscription of ₹10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme. Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

Waiver of Load for Direct Applications

Not Applicable
Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023 no Entry Load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his / her / their tax advisor.

Daily Net Asset Value (NAV) Publication

NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfiindia.com by 11.00 p.m. every business day. Investors may obtain latest NAV through SMS by a specific request to the AMC.

For Investor Grievances please contact

Registrars & Transfer Agent:
KFin Technologies Limited
Unit: Quantum Mutual Fund,
Selenium Tower B,
Plot number 31 & 32, Financial
District, Nanakramguda
Serilingampally Mandal,
Hyderabad - 500032,
Phone: +91 – 040-23312454

Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com, Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free).

Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com

For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.

The AMC has Grievance Policy which is available on AMC website <https://www.quantumamc.com/policy>.

Risk-o-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Unitholders' Information

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service

Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

(i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

(ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfiindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

Quantum Nifty 50 ETF Fund of Fund

An Open ended Fund of Fund Scheme investing in units of Quantum Nifty 50 ETF

Investment Objective	<p>The investment objective of the Scheme is to provide capital appreciation by investing in units of Quantum Nifty 50 ETF - Replicating / Tracking Nifty 50 Index.</p> <p>There is no assurance or guarantee that the investment objective of the Scheme will be achieved.</p>															
Category Of Scheme	Fund of Fund Domestic															
Scheme Code	QTMM/O/O/FOD/22/02/0011															
Asset Allocation Pattern of the scheme	<p>The asset allocation under the Scheme, under normal circumstances, will be as follows:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2" style="text-align: left;">Types of Instruments</th> <th colspan="2" style="text-align: center;">Normal allocation (% of Net Assets)</th> <th style="text-align: center;">Risk Profile</th> </tr> <tr> <th style="text-align: center;">Minimum</th> <th style="text-align: center;">Maximum</th> <th style="text-align: center;">High/Medium/Low</th> </tr> </thead> <tbody> <tr> <td>Units of Quantum Nifty 50 ETF</td> <td style="text-align: center;">95%</td> <td style="text-align: center;">100%</td> <td style="text-align: center;">Very High</td> </tr> <tr> <td>Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds</td> <td style="text-align: center;">0%</td> <td style="text-align: center;">5%</td> <td style="text-align: center;">Low to Moderately High</td> </tr> </tbody> </table> <p>Pending deployment of funds of the Scheme in terms of the investment objective of the Scheme, the AMC may invest the funds of the Scheme in short term deposits of scheduled commercial banks period not exceeding 91 days in accordance with the SEBI Guidelines.</p> <p>The Scheme will not Invest in Unrated Debt Instruments, Credit Default Swaps, Secularized Debt / Structured Obligations, Credit Enhanced Debt, Repo of Corporate Debt Securities, REITs, InvITs, Debt Instruments having special features, derivatives, ADRs / GDRs, Foreign Securities, stock lending or engaged in the short selling.</p> <p>The cumulative gross exposure through investments in the units of underlying scheme i.e. Quantum Nifty 50 ETF and Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds should not exceed 100% of the net assets of the scheme.</p>	Types of Instruments	Normal allocation (% of Net Assets)		Risk Profile	Minimum	Maximum	High/Medium/Low	Units of Quantum Nifty 50 ETF	95%	100%	Very High	Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High
Types of Instruments	Normal allocation (% of Net Assets)		Risk Profile													
	Minimum	Maximum	High/Medium/Low													
Units of Quantum Nifty 50 ETF	95%	100%	Very High													
Government Securities & Treasury Bill Maturity upto 91 days, Tri Party Repo and Liquid Schemes of Mutual Funds	0%	5%	Low to Moderately High													
Investment Strategy	<p>The AMC uses 'passive' approach to try and achieve the Scheme's investment objective. The Scheme would invest in the units of Quantum Nifty 50 ETF. The AMC does not make any judgments about the investment merit of a Quantum Nifty 50 ETF nor will it attempt to apply any economic, financial or market analysis. Passive approach eliminates active management risks in regard to over / underperformance vis-à-vis the benchmark.</p> <p>The Scheme will buy / sell units from the underlying scheme i.e. Quantum Nifty 50 ETF in Creation of Unit Size or through the secondary market through stock exchange route to achieve the investment objectives. The Scheme would endeavor to stay invested in the underlying scheme practically to the maximum extent possible at all times. However, the Scheme would also maintain some funds for meeting expenses and redemption purposes, in order to meet the liquidity requirements of the Scheme as prescribed in the Asset Allocation.</p> <p>The AMC shall endeavor that the returns of Quantum Nifty 50 ETF Fund of Fund will replicate the returns generated by the underlying ETF subject to tracking error and expense of the Scheme. The AMC shall endeavor to keep tracking error as low as possible following the passive approach of the scheme.</p>															
Risk Profile of the Scheme	<p>Investments in Mutual Fund Units involves investment risks such as trading volumes, settlement risk, liquidity risk, default risk including the possible loss of principal.</p> <p>The scheme specific risk are as follow:</p> <ul style="list-style-type: none"> • The Scheme will invest in the units of Quantum Nifty 50 ETF (Q Nifty), a mutual fund scheme Replicating / Tracking Nifty 50 Index - in the form of an Exchange Traded Fund. The Scheme's performance may depend upon the performance of Q Nifty. Any change in the investment policy or the fundamental attributes of Q Nifty could affect the performance of the Scheme. All risks associated with underlying scheme, including performance of their underlying stocks of Nifty 50 Index, asset class risk, passive investment risk, indirect taxation risk, etc., will therefore be applicable in this Scheme. Investors who intend to invest in the Scheme are required to and deemed to have understood the risk factors of the underlying scheme. • The Scheme's NAV will react to the stock market movements, and movements in the NAV and tracking error of Quantum Nifty 50 ETF. The investor could lose money over short periods due to fluctuation in the Scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in stock prices market movements, and over longer periods during market downturns. • Investors will bear the recurring expenses of the Scheme in addition to the expenses of Quantum Nifty 50 ETF that means investors' are bearing expense ratio of two schemes. Thus, the Scheme returns may be lower than the returns investors may obtain by directly investing in the Quantum Nifty 50 ETF. • The Scheme's performance may be impacted by exit loads that may be charged at the time of redemption from the Underlying Scheme. • The Portfolio disclosure of the Scheme will be limited to providing the particulars of the Quantum Nifty 50 ETF where the Scheme has invested and will not include the investments made by Quantum Nifty 50 ETF. <p>Please read the Scheme Information Document for Standard Risk Factors, Risk Factor – Quantum Nifty 50 ETF, Risk Associated with Investing in Tri-Party Repo through CCIL, Risk Associated with holding Government Securities & Treasury Bill, Risk Associated with Investing in Liquid Schemes.</p>															

Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies, which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Management Strategies
Risk of deviating away from the investment objective of tracking Nifty 50 Total Index prices through investments in units of Quantum Nifty 50 ETF	<p>The approach adapted to managing the Scheme would be a passive investment approach. The Scheme endeavors to stay near fully invested at all times.</p> <p>Brokerage paid for buying units on the exchange may also lead to increase in deviation. To mitigate the same, the Scheme will analyze from time-to-time different ways of taking exposure to units of Quantum Nifty 50 ETF from the perspective of risk and return and decide the same in the best interest of investors. For e.g.: Investing directly with the fund by cash creation / redemption in creation size whenever possible will help to avoid payment of double brokerage charges.</p> <p>However, the bid ask spreads in the underlying scheme units and time lag for availability of fund for deployment may lead to small deviations.</p>
Price Risk	<p>Buying / selling on the exchange: On account of the usual bid-ask spread, the Scheme may pay / receive premium / discount while purchasing / selling the underlying scheme units on the exchange.</p> <p>The fair value of the units of the Quantum Nifty 50 ETF would be calculated on a real time basis and orders would be placed near the real time fair value to avoid overpaying while purchases or receiving less while selling other than a reasonable bid ask spread.</p> <p>Buying / selling directly through the Quantum Nifty 50 ETF</p> <p>In case fund may opt to transact directly with the AMC for subscription/ redemption in creation size, there is risk involved of difference between actual transaction cost (Real time NAV) and End of Day applicable NAV given to investor. This will lead positively / negatively to the NAV of the fund and Tracking Error.</p>
Liquidity Risk	<p>It is found that sufficient liquidity is available in the market in the units of Quantum Nifty 50 ETF.</p> <p>In case of liquidity issues, the fund can opt for the alternative way of buying / selling units of the underlying scheme in the stock exchange. The underlying scheme units can then be bought / sold in order to deploy funds or to raise cash for redemptions.</p>

Plans and Options

The Scheme offers two plans:

- (i) Direct Plan
- (ii) Regular Plan

Each Plan offers Growth Option.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

Risk-o-Meter & Other Disclosures

The Risk-o-meter shall have following six levels of risk:

- i. Low Risk
- ii. Low to Moderate Risk
- iii. Moderate Risk
- iv. Moderately High Risk
- v. High Risk and
- vi. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with pursuant to para-No. 17.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter alongwith portfolio disclosure shall be disclosed on the AMC website as well as AMFI website within 10 days from the close of each month.

The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website and AMFI website.

The Scheme Summary Document of the Scheme will be uploaded and available on the websites of the AMC, AMFI and Stock Exchanges.

To enhance investor awareness and information dissemination to investors, SEBI prescribes various additional disclosures to be made by Mutual Funds from time to time on its website/on the website of AMFI, stock exchanges, etc.

These disclosures include Scheme Summary Documents, Investor charter (which details the services provided to Investors, Rights of Investors, various activities of Mutual Funds with timelines, DOs and DON'Ts for Investors, Grievance Redressal Mechanism, etc.) Investors may refer to the same.

Applicable NAV

The cut-off times for determining Applicable NAV's for subscription, redemptions and switches to be made at the Investor Service Centres/ Official Points of Acceptance from time to time are as per the details given below:

Subscription/Purchase including SWITCH-INS:

- a. In respect of valid application received upto 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day at the official point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch in) as per the application are credited to the bank account of the Scheme on the same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- c. However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription/ purchase (including switch in) as per the application are credited to the bank account of the Scheme on or before the cut-off time of the subsequent Business day i.e. the funds are available for utilisation before the cut-off time of the subsequent Business day, the closing NAV of the such subsequent Business Day shall be applicable. It may be noted that in case of Systematic Investment Plan (SIP) and Systematic Transfer Plan (STP) the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP or STP.

REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received up to 3 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
2. In respect of valid applications received after 3 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

Minimum Application Amount/ Number of Units (under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/Switch Outs
₹500/- and in multiples of Re. 1/- thereafter	₹500/- and in multiples of Re. 1/- thereafter / 50 Units	₹500/- and multiples of Re. 1 thereafter or account balance whichever is less / 50 units

Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP):

- (i) Daily – ₹100 and multiple of Re. 1 thereafter
- (ii) Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – ₹500 and in multiples of Re. 1 thereafter

Dispatch of Repurchase (Redemption) Request

The redemption or repurchase will be paid after 1 day of payout schedule of the underlying scheme. The scheme being Domestic Fund of Fund scheme, the Scheme will receive the redemption payment within 3 working days from the underlying scheme. According, the redemption or repurchase proceed shall be credited to the registered bank account within 4 working days in case of electronic payment and in case of physical payout within 6 working days from the date of valid redemption or repurchase request in accordance with AMFI Letter No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023 - timelines for redemption of units stipulated in SEBI Master circular dated May 19, 2023 – List of exceptional situations and additional timelines for redemption payment.

Benchmark Tier 1 Index	Nifty 50 - Total Return Index.
Income Distribution Policy	Not Applicable, as the Scheme does not have Income Distribution Cum Capital Withdrawal Option
Name of the Fund Manager & Tenure of Managing the Scheme	Mr. Hitendra Parekh: Managing since August 05, 2022 (1 year 1 month)
Name of the Trustee Company	Quantum Trustee Company Private Limited

Performance of the Scheme (as on September 29, 2023)	Quantum Nifty 50 ETF Fund of Fund - Direct Plan - Growth Option								
	<table border="1"> <thead> <tr> <th>Period</th> <th>Scheme Returns %</th> <th>Tier – I Benchmark Returns % (NIFTY 50 TRI)</th> </tr> </thead> <tbody> <tr> <td>September 30, 2022 to September 29, 2023 (1 year)</td> <td>15.80%</td> <td>16.11%</td> </tr> <tr> <td>Since Inception*</td> <td>11.92%</td> <td>12.24%</td> </tr> </tbody> </table> <p>Past performance may or may not be sustained in the future.</p> <p>*Annualized Date of Inception August 05, 2022.</p>	Period	Scheme Returns %	Tier – I Benchmark Returns % (NIFTY 50 TRI)	September 30, 2022 to September 29, 2023 (1 year)	15.80%	16.11%	Since Inception*	11.92%
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	Quantum Nifty 50 ETF Fund of Fund - Regular Plan – Growth Option								
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	<div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> <p>Absolute Returns from August 05, 2022 to September 30, 2023. Quantum Nifty 50 ETF Fund of Fund - Direct Plan - Growth Option</p> <p>Date of Inception: August 05, 2022</p> <p>Past performance may or may not be sustained in future</p> </div> <div style="text-align: center;"> <p>Absolute Returns from August 05, 2022 to September 30, 2023. Quantum Nifty 50 ETF Fund of Fund - Regular Plan - Growth Option</p> <p>Date of Inception: August 05, 2022</p> <p>Past performance may or may not be sustained in future</p> </div> </div>								

Scheme Portfolio Holdings as on September 30, 2023	(i) Top 10 Holdings by Issuer:	<table border="1"> <thead> <tr> <th>Issuer</th> <th>% to NAV</th> </tr> </thead> <tbody> <tr> <td>Quantum Nifty 50 ETF</td> <td>100.04%</td> </tr> <tr> <td>TRI-Party Repo, cash & cash equivalents</td> <td>-0.04%</td> </tr> <tr> <td>Grand Total</td> <td>100.00%</td> </tr> </tbody> </table>	Issuer	% to NAV	Quantum Nifty 50 ETF	100.04%	TRI-Party Repo, cash & cash equivalents	-0.04%	Grand Total	100.00%	<table border="1"> <tbody> <tr> <td>TRI-Party Repo, cash & cash equivalents</td> <td>-0.04%</td> </tr> <tr> <td>Grand Total</td> <td>100.00%</td> </tr> </tbody> </table>	TRI-Party Repo, cash & cash equivalents	-0.04%	Grand Total	100.00%
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	(ii) Fund Allocation Toward Various Sectors:	<table border="1"> <thead> <tr> <th>Sector Allocation</th> <th>% to NAV</th> </tr> </thead> <tbody> <tr> <td>Units of Quantum Mutual Fund Schemes</td> <td>100.04%</td> </tr> </tbody> </table>	Sector Allocation	% to NAV	Units of Quantum Mutual Fund Schemes	100.04%	(iii) To obtain scheme's latest monthly portfolio please refer the following link https://www.quantumamc.com/portfolio/Combined/-/1/0/0								
Sector Allocation	% to NAV														
Units of Quantum Mutual Fund Schemes	100.04%														

Portfolio Turnover Ratio as on September 29, 2023	Not Applicable
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Expenses of the Scheme	(I) Load Structure	Type of Load	Load chargeable (as % of NAV)
		Entry	Not Applicable Pursuant to para-No. 10.4.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, there will be no entry load charged to the schemes of the Mutual Fund.
	(ii) Recurring expenses	Exit Load	NIL
		<p>The AMC has estimated upto 1% of the total expense ratio will be charged to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. . For actual current expense being charged, the investor should refer to the website of the Mutual Fund viz. www.QuantumAMC.com. The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer www.quantumamc.com/total-expense-ratio for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI (www.amfindia.com).</p> <p>As per Regulation 52 (6) (a)(i), the total expense ratio of the scheme including weighted average of the total expense ratio of the underlying schemes shall not exceed 1.00 per cent of the daily net assets of the Scheme. Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme(s), subject to the overall ceiling of 1.00 percent as stated above.</p> <p>Goods and Services Tax and Statutory Levies, if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.</p> <p>No additional expenses which is allowed to charge in terms of SEBI Regulations 52 (6A), (b) & (c) shall be charged to the Scheme.</p> <p>Actual Management Fees and Other Expenses incurred from 1st April 2023 to 30th September 2023.</p> <p>Direct Plan – ₹54,327 (0.06%) Regular Plan – ₹7,943 (0.18%)</p>	
Transaction Charges		Pursuant to para-No. 10.5.1 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19, 2023, the AMC is allowed to deduct transaction charges of ₹100 for existing investors and ₹150 for a first time investor per subscription of ₹10,000 /- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme. Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.	
Waiver of Load for Direct Applications		Not Applicable Pursuant to para-No. 10.4 of SEBI Master Circular No. SEBI /HO/IMD/ IMD-PoD-1 / P/ CIR / 2023/74 dated May 19,2023, no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.	
Tax treatment for the Investors (Unitholders)		Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.	
Daily Net Asset Value (NAV) Publication		NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund (www.QuantumAMC.com) and on the website of Association of Mutual Funds in India www.amfindia.com by 10.00 a.m. of the next business day. Investors may obtain latest NAV through SMS by a specific request to the AMC.	
For Investor Grievances please contact		Registrars & Transfer Agent: KFin Technologies Limited Unit: Quantum Mutual Fund, Selenium Tower B, Plot number 31 & 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454	Investor may contact the AMC for any Queries / Clarifications / Complaints to Mr. Chandrasen Manjrekar – Vice President Customer Relations at Email – CustomerCare@QuantumAMC.com , Telephone number – 1800 209 3863 / 1800 22 3863 (Toll Free). Ms. Rina Nathani – Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 6th Floor, Hoechst House, Nariman Point, Mumbai – 400021, Telephone Number 022-61447800, Email IRO@Quantumamc.com For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange. The AMC has Grievance Policy which is available on AMC website https://www.quantumamc.com/policy .

Account Statement/Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month. Such transactions in mutual funds shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan transactions. The CAS shall also include the total purchase value / cost of investment in each scheme.

The CAS issued for the half year (September / March) shall include:

- The amount of actual gross commission paid to the distributors (in absolute terms) during the half year period against the concerned investors /unit holders total investments in each scheme.
- Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

For the purpose of sending the CAS, common investors / unitholders with the same holding pattern of the folio across mutual funds / depository shall be identified by their Permanent Account Number (PAN). In case of multiple holdings, it shall be identified on the basis of PAN of first holder and pattern of holding.

The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

In the event of the folio is having more than one registered / joint holders, the CAS shall be sent to the first named unit holder and not to other registered / joint holders.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the AMC / Registrar to all such investors / unit holders which do not have demat account and in whose folio no transactions has taken place during the period.

The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

Annual Report:

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

Monthly/Half Yearly Portfolio Disclosures:

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website www.QuantumAMC.com & on the website of AMFI www.amfindia.com within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively. The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website www.QuantumAMC.com & on the website of AMFI www.amfindia.com. The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

Half Yearly Results:

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website (www.QuantumAMC.com). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

Email ID and Mobile Number for communication:

Primary holders should provide their own email address and mobile number for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

Dated: October 31, 2023

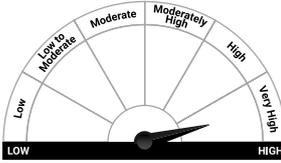
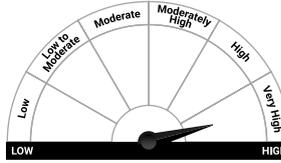
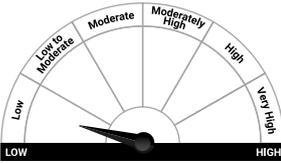
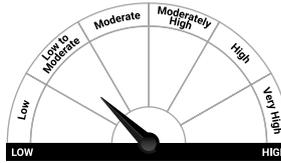
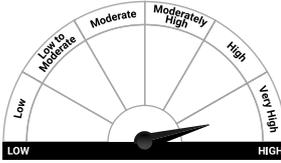
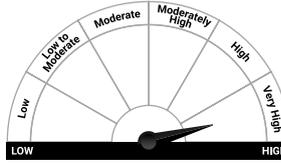
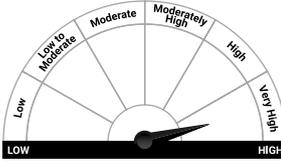
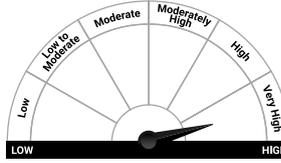
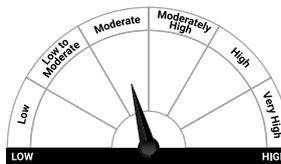
Comparison Chart

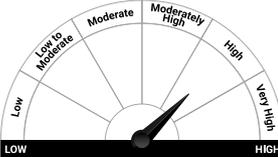
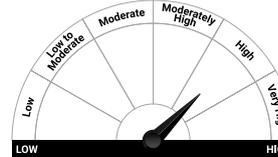
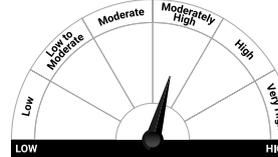
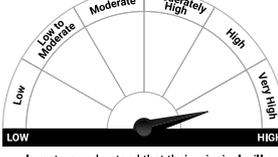
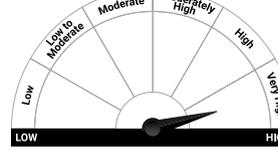
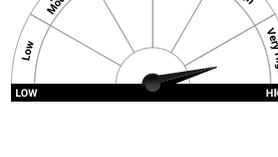
Name of the Scheme	Asset Allocation Pattern				Primary Investment Pattern	Differentiation	AUM ₹ in Crore (As on Sep 30, 2023)	No. of Folios (As on Sep 30, 2023)
Quantum Long Term Equity Value Fund	Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	To invest in shares of companies included in BSE-200 Index.	An Open-ended Equity Scheme following a value investment strategy	924.37	24,015
	Listed Equity & Equity Related Securities of Companies	95% to 99%	65%	99%				
	Unlisted Equity & Equity Related Securities of Companies	0% to 3%	0%	5%				
	Money Market Instruments	1% to 5%	1%	35%				
	Liquid Schemes of Mutual Funds	0% to 5%	0%	5%				
Quantum Liquid Fund	Type of Instruments	Normal Allocation (% of Net Assets)		100%	To invest in Debt & Money Market Instruments.	An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit Risk.	596.14	5,818
	Money Market Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity							
Quantum Gold Fund ETF	Type of instruments	Normal Allocation (% of Net Assets)		To invest in Physical Gold.	An Open Ended Scheme Replicating / Tracking Gold	160.74	26,036	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Physical Gold	95%	100%					
Quantum Nifty 50 ETF	Type of instruments	Normal Allocation (% of Net Assets)		To invest in stocks of companies comprising Nifty 50 Index.	An open ended Scheme Replicating / Tracking Nifty 50 Index	49.71	1,411	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Securities covered by the Nifty 50 Index	95%	100%					
	Money Market Instruments	0%	5%					
Quantum Tax Saving Fund	Type of instruments	Normal Allocation (% of Net Assets)		To invest in shares of companies included in BSE-200 Index.	An Open-ended Equity Linked Saving Scheme with a statutory lock in of 3 years and tax benefit.	140.32	17,070	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Equity and Equity-related Securities	80%	100%					
Quantum Equity Fund of Funds	Type of instruments	Normal Allocation (% of Net Assets)		To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI.	An Open Ended Fund of Funds Scheme investing in Open Ended Diversified Equity Schemes of Mutual Funds	101.63	5,574	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Open-ended diversified equity schemes of mutual funds registered with SEBI	95%	100%					
	Money Market Instruments and Liquid Schemes of Mutual Funds	0%	5%					
Quantum Gold Savings Fund	Type of instruments	Normal Allocation (% of Net Assets)		To invest in the units of Quantum Gold Fund ETF.	An Open ended Fund of Fund Scheme investing in Quantum Gold Fund.	87.42	6,801	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Units of Quantum Gold Fund ETF	95%	100%					
Quantum Multi Asset Fund of Funds	Type of instruments	Normal Allocation (% of Net Assets)		To invest in the units of Equity, Debt / Money Markets and Gold schemes of Quantum Mutual Fund.	An Open-ended Fund of Funds Scheme investing in schemes of Quantum Mutual Fund.	54.10	2,858	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Units of Equity Schemes	25%	65%					
	Units of Debt / Money Market Schemes	25%	65%					
	Units of Gold Scheme	10%	20%					
Quantum Dynamic Bond Fund	Type of instruments	Normal Allocation (% of Net Assets)		To generate income and capital appreciation through active management of portfolio consisting of short term and long term debt and money market instrument.	An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk.	91.29	1,526	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Government Bond / Bill	25%	100%					
	PSU Bond	0%	50%					
	Certificate of Deposits/ Commercial Paper/ Short Term Debt Instrument	0%	75%					
Quantum India ESG Equity Fund	Type of instruments	Normal Allocation (% of Net Assets)		Invests in shares of companies that meet Quantum's Environment, Social and Governance (ESG) criteria.	An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme.	73.31	7,717	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Equity & Equity Related Instruments of Companies following ESG Criteria	80%	100%					
Quantum Nifty 50 ETF Fund of Fund	Type of instruments	Normal Allocation (% of Net Assets)		To invest in the units of Quantum Nifty 50 ETF	An open ended fund of fund scheme investing in units of Quantum Nifty 50 ETF	21.88	5,752	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Units of Quantum Nifty 50 ETF	95%	100%					
	Money Market Instrument	0%	5%					

Dated: October 31, 2023

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

1. Scheme features and investment details

<p>QUANTUM LONG TERM EQUITY VALUE FUND An Open Ended Equity Scheme following a Value Investment Strategy</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation • Invests primarily in equity and equity related securities of companies in S&P BSE 200 index <p>Tier I Benchmark: S&P BSE 500 TRI Tier II Benchmark: S&P BSE 200 TRI</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Very High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK AND TIER II BENCHMARK</p> 
<p>QUANTUM LIQUID FUND An Open-ended Liquid Scheme. A relatively low interest rate risk and relatively low credit risk</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Income over the short term • Investments in debt / money market instruments <p>Tier I Benchmark: CRISIL Liquid Debt A-I Index</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Low Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 
<p>QUANTUM TAX SAVING FUND An Open Ended Equity Linked Saving Scheme with a Statutory Lock in of 3 years and Tax Benefit</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation • Invests primarily in equity and equity related securities of companies in S&P BSE 200 index and to save tax u/s 80 C of the Income Tax Act. Investments in this product are subject to lock in period of 3 years <p>Tier I Benchmark: S&P BSE 500 TRI Tier II Benchmark: S&P BSE 200 TRI</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Very High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK AND TIER II BENCHMARK</p> 
<p>QUANTUM EQUITY FUND OF FUNDS An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation • Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies <p>Tier I Benchmark: S&P BSE 200 TRI</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Very High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 
<p>QUANTUM DYNAMIC BOND FUND An Open-ended Dynamic Debt Scheme Investing Across Duration. A relatively high interest rate risk and relatively low credit risk</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Regular income over short to medium term and capital appreciation • Investment in Debt / Money Market Instruments / Government Securities <p>Tier I Benchmark: CRISIL Dynamic Bond A-III Index</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Moderate Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 

<p>QUANTUM GOLD SAVINGS FUND An Open Ended Fund of Fund Scheme Investing in Quantum Gold Fund</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term returns • Investments in units of Quantum Gold Fund – Exchange Traded Fund whose underlying investments are in physical gold <p>Tier I Benchmark: Domestic Price of Physical Gold.</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 
<p>QUANTUM MULTI ASSET FUND OF FUNDS An Open Ended Fund of Funds Scheme Investing in schemes of Quantum Mutual Fund</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation and current income • Investments in portfolio of schemes of Quantum Mutual Fund whose underlying investments are in equity, debt/money market instruments and gold <p>Tier I Benchmark: CRISIL Dynamic Bond A-III Index (20%) + CRISIL Liquid Debt A-I Index (25%) + Nifty 50 TRI (40%) + Domestic price of Gold (15%)</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Moderately High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 
<p>QUANTUM INDIA ESG EQUITY FUND An Open ended equity scheme investing in companies following Environment, Social and Governance (ESG) theme</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation • Invests in shares of companies that meet Quantum's Environment, Social, Governance (ESG) criteria <p>Tier I Benchmark: NIFTY100 ESG TRI</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Very High Risk</p>	<p>RISK-O-METER OF TIER I BENCHMARK</p> 
<p>QUANTUM NIFTY 50 ETF FUND OF FUND (An Open Ended Fund of Fund Scheme Investing in units of Quantum Nifty 50 ETF)</p> <p>This product is suitable for investors who are seeking*</p> <ul style="list-style-type: none"> • Long term capital appreciation • Investments in units of Quantum Nifty 50 ETF – Exchange Traded Fund <p>Tier I Benchmark: Nifty 50 TRI</p>	<p>RISK-O-METER OF SCHEME</p>  <p>Investors understand that their principal will be at Very High Risk</p>	<p>RISK-O-METER OF BENCHMARK</p> 

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM Continued...

2. General Instructions

- a) Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.
- b) Please Tick (✓) in the appropriate box (☐), where boxes have been provided.
- c) Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- d) Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.
- e) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- f) In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
- g) All communications and payments will be made to the sole/first applicant.
- h) While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.
- i) Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.
- j) Quantum Mutual Fund does not levy any transaction charges to pay to the distributors.
- k) Direct Plan: An investor who has made the investment directly from the Mutual Fund or through RIA (mentioned RIA code) needs to select the Plan as 'Direct'.
- Regular Plan: Any investment made through a distributor by mentioning valid distributor details, need to select the Plan as 'Regular'. The AMC will be paying commission to the distributor for such transactions.
- Investors are requested to note that, under the Income Distribution cum Capital Withdrawal Option/ Facility, the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

3. Existing Unitholder Information

An existing investor should mention the correct Folio Number & go directly to Section (Scheme Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.

4. A) Permanent Account Number (PAN)

Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. PAN is not mandatory in the case of applicant resident in the State of Sikkim, Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government); however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases.

B) Know Your Customer (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:

- i. Individual investors.
- ii. Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.
- iii. Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).

The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names and also the guardian in case of a minor. Investors investing through Micro Investments investors residing in the state of Sikkim or UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India or in case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. are exempt from the mandatory requirement of PAN proof submission, however they are required to mandatorily submit KYC Acknowledgment copy while making an investment in Quantum Mutual Fund. Please refer to our website www.QuantumAMC.com and AMFI website www.amfiindia.com and SEBI registered intermediaries for KYC compliance where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.

In case during first time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC Compliance address details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the KRA. Any change in this details like change of Name / Address /Status, etc. should be given by Investor directly to KRA in the prescribed Change request form quoting PAN number. Quantum AMC reserves the right to call for any additional information from the investors/ applicant/ reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data/ documents/ information/ specimen signature from third party/ KRA and that Quantum AMC has the right to use the same/ specimen signature for validation to process any future transactions that are submitted by the investor.

5. Employee Unique Identification Number (EUIIN)

Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, However, in case of any exceptional cases where there is no interaction by the employee/sales person/ relationship manager of the distributor/sub broker with respect to the transaction, investors are required to provide a duly signed declaration to this effect, as given in the Form.

It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products. EUIIN will assist in tackling the problem of mis-selling even if the employee/relationship manager/ sales person leaves the employment of the ARN holder / sub broker.

6. Applicant Information

- a. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & KYC records or as it appears in the incorporation document or demat account as the case may be.
- b. Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.
- c. Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- d. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U. F.
- e. The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.
- f. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- g. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
- h. Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs/FIIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- i. Differently abled here refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India. http://disabilityaffairs.gov.in/upload/uploadfiles/files/PWD_Act.pdf.
- j. Some additional details are required for validating and identifying for certain transactions / Communications. Hence please fill annual income, mobile number and email id in case of all applicants.
- k. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future. Please declare if the Email Id and Mobile Number of the respective applicants belongs to Self / Spouse / Dependent Children / Dependent siblings / Dependent Parents / Guardian
- l. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all income distribution/redemption will be paid to the first named holder.

- m. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- n. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
 - 1. Birth certificate of the minor, or 2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or 3. Passport of the minor, or 4. Any other suitable proof evidencing the date of birth of the minor.
- o. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- p. If guardian is a legal guardian then a court order duly notarized copy is to be attached along with the application.

7. Power Of Attorney

Applicants intending to apply for units through a Power of Attorney (POA) must ensure that the issuer of the POA and the holder of the POA must attach proof of KYC Compliance to be provided at the time of investment.

8. Online / Telephonic PIN

An online PIN is generated at the time of the online transaction for a new investment from our website / mobile application which is sent to the email id furnished while investing. It is used for making online transactions from our website like Insta-Redemption, Multiple Bank Account Registration, Nomination, Q-Mitra, and Folio Consolidation. You can also map your offline folio online using a PIN. It can be also generated online through our website, in case you are unable to recollect. There is a "TPIN" that can be used to know the folio details in the IVR.

9. Electronic/ Telecommunication Facility

Electronic communication will be sent to folios where email id is available. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to tick on the box accordingly.

10. Bank Account Details

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and Bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank accounts please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.

Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement/ Certified bank passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel. If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.

Additionally the AMC may call for any additional documents, wherever required on case to case basis." In case the investor is a minor, the bank account details for redemption purpose should be of the minor i.e. the minor should be an account holder in the bank account.

PAYMENT OF REDEMPTION /INCOME DISTRIBUTION:

In case of receipt of redemption request with new bank account there will be a cooling period of 10 calendar days for validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 business days. In case the bank change request received along with the redemption request is invalid, then the change of bank will not be processed and redemption/income distribution proceeds, if any, will be processed as per the last registered Bank account in the folio.

In the case of NRIs, payments shall be:

- (i) Credited only to NRE account of the NRI investor where the payment for purchase of Units repurchased/ redeemed was made out of funds held in NRE account or
- (ii) Credited, at the NRI investor's option, to his/her NRO account, where the payment for the purchase of the Units repurchased /redeemed was made out of funds held in NRO account or
- (iii) Remitted abroad or at the NRI investor's option, credited to his/ its NRE/FCNR/NRO account, where the Units were purchased on repatriation basis and the payment for the purchase of Units repurchased / redeemed was made by inward remittance through normal banking channels or out of funds held in NRE/FCNR account.

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, and account type and account no.) in the application form for electronic fund transfer (EFT) of income distribution / redemption amount to the unit holders bank account. Quantum AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

The 11 digit IFSC (Indian Financial System Code) is to be mandatorily given.

Based on the above information AMC will enable secure transfer of redemption and income distribution via the various electronic modes of transfers (RTGS /NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders where this facility is not available. "If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible". For validation of IFSC, investor shall attach the cancelled cheque/ copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

Systematic Investment Plan (SIP) - SIP facility is available only in respect of income distribution payments and not in the case of Redemption of Units. Based on the bank particulars, investors will receive a direct credit of the amount due to them in their notified account with intimation to the unit holder.

11. Mode Of Payment

1. Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
2. Investors may make payment by single Cheque/ DD payable locally in the city where the application form is submitted at Quantum AMC/ K-Fin Technologies Collection Centers or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India subject to a maximum limit of ₹50,000 per instrument. The AMC will not bear the bank charges for outstation demand drafts in case of investments in liquid fund and such charges will have to be borne by the investor. Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC/Points of Acceptance available for Investors.
5. The cheque should be drawn in favor of "Quantum Mutual Fund A/c PAN (number)" or Quantum_(Scheme name) A/c PAN (number)" and crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investments in multiple schemes and multiple cheques for investments in Single Scheme will not be accepted.
8. If the income distribution amount under Payout of IDCW Facility/ Option in QLF and QDBF is less than or equal to ₹500/- and ₹100/-respectively the same will be reinvested.
9. In case of demand drafts, unit holder should attach necessary supporting documents as required by the fund, like Bank certificate in original, self attested Bank Pass Book or statement to prove that the funds are debited from a Bank account held by the first Unit holder only else the fund reserves the right to reject the application or call for additional details.
10. **If paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS etc.:** Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/investor should be one of the account holders to the bank account. debited for such electronic transfer of funds.
11. **On Repatriation Basis:** In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) Foreign Currency (Non- Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FIIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations.

On non-repatriation basis: NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are location.
12. A. Payment through electronic mode RTGS/NEFT/IMPS:
 - i. For purchase through RTGS / NEFT / IMPS, the investor needs to first complete the fund transfer formalities with the Bank.

Please note the Quantum Mutual Fund account details for the RTGS / NEFT / IMPS:(Other Bank Investors)

	Existing Investors	New Investors
Bank Name	HDFC Bank	HDFC Bank
Bank A/c Type	Current A/C	Current A/C
Beneficiary Account Number (QUANTUM and Folio no./PAN Details)	QUANTUM1234567	QUANTUMABCDE1234F
Beneficiary Name	QUANTUM MUTUAL FUND	QUANTUM MUTUAL FUND
Branch Address	Sandoz Branch	Sandoz Branch
IFSC Code	HDFC0000240	HDFC0000240

- ii. After completing the fund transfer through RTGS / NEFT / IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.

iii. Enclose the fund transfer proof alongwith the application form.

12. B. Payment through Direct Credit (DC):

i. For purchase through DC, the investor needs to first complete the fund transfer formalities with the Bank. Please note the Quantum Mutual Fund account details for **Direct Credit Account Details**:

Bank Name	Bank A/c Type	Account Number	Account Name	Branch Address
HDFC BANK LTD	Current A/C	00602090002325	Quantum Mutual Fund EPI A/C	Fort, Manekji Wadia BLDG

ii. After completing the fund transfer through Direct Credit mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.

iii. Enclose the fund transfer proof along with the application form.

iv. After completing the fund transfer through IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.

12. Please register additional banks through which you want to invest by filling and submitting Multiple Bank Mandate along with supporting documents

CHECKLIST (Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on www.QuantumAMC.com All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public.)

Documents	Individual	Minor	NRI	Investment through POA	Sole Proprietorship	HUF	Companies / LLP	Societies	Partnership Firms	Trusts	FII's*	PIO	FPI#
Self Certified PAN Card copy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest							✓	✓	✓	✓	✓		✓
"List of authorized signatories with specimen signatures"				✓			✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association							✓						
Trust Deed										✓			
Declaration of Ultimate Beneficial Ownership						✓	✓	✓	✓	✓	✓		✓
Bye-laws								✓					
Partnership Deed									✓				
Certificate of Registration											✓		✓
Notarized POA				✓									
Proof of Date of Birth		✓											
Proof of Relationship		✓											
PIO card												✓	
Foreign Inward Remittance Certificate												✓	
NPO Declaration								✓		✓			

*For FII's copy of SEBI registration certificate should be provided. #Certificate of registration granted by designated depository participants on behalf of SEBI.

12. Nomination Details

Individual Investors while applying for Units singly/ jointly mandatory make or declaration at the time of initial investment or during subsequent investments.

1. The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Nonindividuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney, and folios held by minor cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
4. Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.
5. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and Quantum AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
7. In case investor do not wish to nominate please tick accordingly. Also note all joint holders need to sign the Nomination form (even if the mode of holding/operation is "Anyone or Survivor" basis)

13. Demat Account

The unit holders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP Name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme.

Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/ incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC.

In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case an investor desires to redeem, the redemption request can be placed through Depository Participants and Exchanges specified intermediaries where NSE MFSS/ BSE StAR MF platform is available for redeeming of Mutual Fund Units.

Kindly note that facility to subscribe/ hold units in demat form is not available for Quantum Liquid Fund - Reinvestment of Daily IDCW Facility, Switches and SWP/ STP investments.

In case of Unit holders holding units in demat (electronic) mode, a demat statement will be sent by Depository Participant to the Unit holders.

14. List Of Documents

You are requested to enclose the relevant documents as listed in the check list of accompanying documents depending on the applicant's status and tick in the box against the document.

15. FATCA and CRS Details

FATCA is a tax reporting regime that obligates all financial institutions to report information to the relevant tax authorities about U.S reportable persons and certain entities in which U.S. persons hold a substantial ownership interest. India signed the Inter-Governmental Agreement (IGA) with the U.S. on July 9, 2015.

CRS is the OECD' & G-20's Model Competent Authority Agreement for multilateral tax information sharing. It enables automatic exchange of tax information based on the Standard through bilateral tax treaty networks. India signed the CRS Agreement on June 3, 2015. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which requires Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. For meeting compliance requirements, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. If there is any change in the information provided, kindly intimate the same to us within 30 days.



COMMON APPLICATION FORM

(Continuous Offer of units at Applicable NAV)

6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021 www.QuantumAMC.com

Application No: QMFP

1. INTERMEDIARY INFORMATION

Name & ARN Code	Sub - Broker Code	EUIN	RIA Code	E-Code / RM Code

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

2. EXISTING UNIT HOLDER INFORMATION (Please note that Applicant details & mode of holding will be as per existing Folio Number) (Refer Instruction No. 3)

Folio No. Name of First Applicant

3.	*PAN/PEKRN (Refer Instruction no. 4A) please attach certified PAN copy	CKYC Details (KIN Number)
1 st Applicant /Minor		
2 nd Applicant		
3 rd Applicant		
Guardian/POA		

4. *APPLICANT INFORMATION (Refer Instruction No. 6) (TO BE FILLED IN BLOCK LETTERS)

Mode of Holding Single Joint Any one or survivor(s) (Default option in case of more than one applicant)

Name of Sole/ 1st Applicant Mr. Ms. M/s. Other Please Specify

Gender Male Female Transgender Other Please Specify

Date of Birth/Date of Incorporate Proof of DOB (Incase of Minor) Birth Certificate School leaving Certificate Passport Other

Guardian/Authorised Person - (In case of Minor)/Authorised Person (In case of non individual applicant)

Relationship with Minor Father Mother Legal Guardian

Note: If Guardian is a Legal Gaurdian, please submit duly notarised copy of court order along with application.

If the sole/first applicant is differently abled; then please tick the preferred mode of communication: Email & SMS Voice Both

Relationship Proof (With specified Guardian) Birth Certificate Passport Other

LEI code valid up to

Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for non-Individual investors. Refer instruction no. 16)

Address: Mailing Address of Sole/First Applicant (P.O Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian Address



ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com



Date Received from: Mr./Ms./M/s

An application for purchase units of

along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time	
---	--

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



City State Country Pin code

Contact Details of Sole/First Applicant Mobile No.

This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

Tel No - STD Code Res. Off. Fax

Overseas Address (mandatory for NRI/PI applicant). Applications from investors residing in USA or Canada shall not be accepted Address for correspondence (for NRI Applicants) Indian Overseas

City Country Zip code

Note: The address provided by you above will be replicated with the address as per KYC record

Name of the 2nd Applicant Mr./Ms./M/s.

DOB Mobile No. Email ID

Gender Male Female Transgender

This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

Name of the 3rd Applicant Mr./Ms./M/s.

DOB Mobile No. Email ID

Gender Male Female Transgender

This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

5. *KNOW YOUR CUSTOMER (KYC) DETAILS (Mandatory. Please ✓ / Specify. The application is liable to get rejected if details not filled.)

Tax Status (Applicable for First/Sole Applicant)

Resident Individual Fils NRI-NRO HUF Society Company Body Corporate Club/Society PIO

Minor Government Body Trust NRI-NRE Bank & FI Proprietorship Firm Partnership Firm QFI

Provident Fund NRI minor with gaurdian Others _____

1st Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Defence
	<input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Other _____
2nd Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Defence
	<input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Other _____
3rd Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Defence
	<input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Other _____
Guardian / POA	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Defence
	<input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Other _____

ACKNOWLEDGEMENT SLIP (To be continued) Application No: QMFP

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Payment Details	
					Cheque/DDNo./UTR No. (Incase of NEFT/RTGS)	Bank & Branch
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		DD/MM/YYYY	
2.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		DD/MM/YYYY	
3.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		DD/MM/YYYY	

Gross Annual Income Range (in ₹) (Mandatory)

1st Applicant	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> (not older than 1 year)
2nd Applicant	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> (not older than 1 year)
3rd Applicant	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> (not older than 1 year)
Guardian / POA	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 lacs <input type="checkbox"/> 5-10 lacs <input type="checkbox"/> 10-25 lac <input type="checkbox"/> >25lacs - 1 crore <input type="checkbox"/> > 1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> (not older than 1 year)

For Individuals Investors (Please ✓)	1st Applicant	2nd Applicant	3rd Applicant	Guardian/POA
I am Politically Exposed Person	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
I am Related to Politically Exposed	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not Applicable	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For Non-Individual Investors (Please ✓)

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: Yes No (if No, Mandatory to provide the UBO declaration)

Yes No Foreign Exchange/Money Charger Services Yes No Gaming/Gambling/Lottery/Casino Services Yes No Money Lending/Pawning

6. POWER OF ATTORNEY (POA) (Refer Instruction Nos. 2 (f) & 7)

POA Name Mr./Ms.

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

7. *BANK ACCOUNT DETAILS (Refer Instruction No. 10)

A/c Type [please ✓] SB Current NRO NRE FCNR

Bank Name IFSC MICR Code

Account No Branch City Pin Code

*Mandatory - Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank statement/certified bank passbook with current entries not older than 3 months or a bank letter/Certificate duly signed by Bank Branch Manager/ Authorized Personnel.

8. *INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility (Refer Instruction No. 1, 2)

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Cheque/DDNo./ UTR No. (Incise of NEFT/RTGS)	Bank Branch, Account Type & Account Number
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency -----		DD/MM/YYYY	
2.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency -----		DD/MM/YYYY	
3.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency -----		DD/MM/YYYY	

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

Applicable to minor (incise payment done other than the minor account)

Payment/ bank account holder name _____ relationship with minor Father Mother Legal Guardian

Note: (1) Relationship proof with minor required (2) If payment done by Legal Guardian, please submit duly notarized copy of court order along with application.

9. FATCA and CRS DETAILS For Individuals (Mandatory) (Non-Individuals are required to submit separate FATCA & CRS information for non-individuals/Legal entity) and UBO Declaration Form available at www.QuantumAMC.com)

	Sole/First Applicant/Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant / <input type="checkbox"/> POA		
Place & Country of Birth									
	Country [#]	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)	Country [#]	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)	Country [#]	Tax Payer Ref ID No	Identification Type (TIN or other, please specify)

[#]Please indicate all countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number & Its Identification type e.g: TIN etc

10. *NOMINATION DETAILS (Refer instruction no. 12)

	1 st Nominee	2 nd Nominee	3 rd Nominee
Name (as in PAN card/KYC records)			
PAN			
Date of Birth			
Relationship with Investor			
Address			
Guardian Name (in case Nominee is a Minor)			
Guardian's relationship with minor	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian
Type of Guardian	<input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian	<input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian	<input type="checkbox"/> Natural Guardian <input type="checkbox"/> Court Appointed guardian
Allocation % (Total to be 100%)			

OR I do not wish to Nominate

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

11. DEMAT ACCOUNT DETAILS

(Please ✓) (Please refer Instruction no. 13)

NSDL CDSL

I would like to be allotted units in DEMAT mode. Yes No (Please ✓) (Non - ticking of this box would result in allotment of units in physical form).

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL BENEFICIARY Account No. (NSDL Only)

CDSL

Enclose: Client Master List Transaction/Holding Statement DIS Copy

12. SOURCE OF INFORMATION

How did you come to know about Quantum Mutual Fund? Advertisement Friend/Relative Sales Team IFA/Intermediary

Name & ARN Code of Intermediary _____ Others _____

13. PHYSICAL COPY OF ANNUAL REPORT

Opt In to receive the physical copy of Annual Report

14 NPO DECLARATION (Mandatory for Trust and Society)

Yes, We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

If yes, please quote Registration No. of Darpan portal of Niti Aayog

Or No, If not, please register immediately and confirm with the above information. We are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

Signature(s)

Date

Place _____

Sole/1st Applicant/Guardian/Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory
--	--------------------------------------	--------------------------------------



APPLICATION FORM FOR REGISTRATION OF SYSTEMATIC INVESTMENT PLAN (SIP)

Distributor ARN	Sub Distributor ARN	Internal sub Code/Sol ID	Employee Code	EUIN	Serial No./Date, Time & Stamp

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

EUIN Declaration I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS Please ✓ wherever applicable.

Sole/First Investor Name (as appearing in ID proof)
 PAN No. Folio No. (For Existing Investor)

2. INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Frequency Details (Select any one frequency)
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
2.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
3.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th

3. Payment Details

Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					
2.					
3.					

4. SIP PERIOD

From To OR No. of installments _____

5. DECLARATION

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

First Account Holders Signature	Second Account Holders Signature	Third Account Holders Signature
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: **QMFP**

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An SIP application for purchase of units in _____ along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Systematic Transfer Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

- This SIP facility is offered to investors having bank accounts in select banks mentioned in the link <http://www.npci.org.in/>. The banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
- The Unitholder(s) cheque/bank account details are subject to third party verification.
- SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
- Investor/ Unit holders can opt to invest on any day in daily frequency, 7, 15, 21, 28 dates in weekly frequency, 5 & 21 OR 7 & 25 fortnight frequency and 5, 7, 15, 21, 25, 28 in monthly or quarterly frequency of each month/first month of the quarter.
- In case the frequency is not specified in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity, the SIP transaction will be processed as of 15th of every month/first month of every quarter.
- In case the end date is not specified, the SIP will be registered for **30 years**.
- Minimum installments for daily will be 132 days and weekly 25, fortnight 13, monthly 6 and quarterly is 4 instalments.
- The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
- The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
- The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance /Investor Service Center at least 10 calendar Days in advance before the execution / commencement date.
- In case of investments under SIP, if 3 consecutives for daily to monthly frequency and 2 consecutives for quarterly frequency payment instructions provided by the investor/unitholder are dishonored for either insufficiency of funds or as a result of a stop payment instruction issued by the investor/unitholder or any other reason as intimated by the bank, SIP will be discontinued.
- Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investors.
- bank/branch. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
- Quantum Mutual Fund reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
- The facility will be automatically terminated upon receipt of intimation of death of the Unit holder.
- Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently.
- Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
- If any chosen day falls on a non-business day, the next business day will be considered as the transaction date.
- In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds upto Rs. 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12-month period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOs). Minors and Sole proprietary firms, HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However, redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Slip. Investors may submit any one of the PHOTO IDENTIFICATION documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self -attested. All the applicants including second and third applicant (if any) is mandated to submit any of the above documents.

Systematic Investment Plan for Corporate Employees: In this case, the concerned employer is required to forward to the AMC the Scheme application cum SIP registration mandate form of the corporate employee who desires to invest in the Scheme. The application amount for the corporate employees would also be forwarded by the employer on specific request from the concerned employee. The concerned employee has to authorize the employer to deduct the application amount from his salary and remit the same to the Fund at regular intervals to ensure receipt of funds by the AMC on or before the next due date.

Installment options available under SIP		Daily	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Value Fund/Quantum ESG Best In Class Strategy Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/Quantum Dynamic Bond Fund Quantum Nifty 50 ETF	Minimum SIP amount	₹100/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter			
	Minimum SIP Installments	132	25	13	6	4
Quantum Tax Saving Fund	Minimum SIP amount	₹500/- and in multiples of ₹500/- thereafter				
	Minimum SIP Installments	132	25	13	6	4
Quantum Liquid Fund	Minimum SIP amount	-	-	-	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter
	Minimum SIP Installments	-	-	-	6	4

Note: Name of Quantum India ESG Equity Fund has been changed to Quantum ESG Best In Class Strategy Fund effective from 1st February, 2024.

ACKNOWLEDGEMENT SLIP (To be continued)

Application No: **QMFP**

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					
2.					
3.					



SYSTEMATIC TRANSFER PLAN (STP) AND SYSTEMATIC WITHDRAWAL PLAN (SWP)

Distributor ARN	Sub Distributor ARN	Internal sub Code/Sol ID	Employee Code	EUIN	Serial No./Date, Time & Stamp

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

EUIN Declaration I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS (Please ✓ wherever applicable)

Sole/First Investor Name (as appearing in ID proof)

PAN No.

Folio No. (For Existing Investor)

2. SYSTEMATIC TRANSFER PLAN (STP)

Source Scheme: _____ Plan: _____ Option: _____

3. Target Scheme (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Target Scheme	Plan	Option	STP Amount (₹)	Frequency Details (Select any one frequency)
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
2.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
3.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

4. STP PERIOD

From To OR No. of installments _____

5. SYSTEMATIC WITHDRAWAL PLAN (SWP)

Source Scheme: _____ Plan: _____ Option: _____

Frequency Details (Please ✓)	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	SWP Period
Each Amount (₹): _____	7th, 15th, 21st, 28th of a week	<input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th	<input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th	From MMY Y To MMY Y OR No. installment _____

*Applicable only Quantum Liquid Fund and Quantum Dynamic Bond Fund.

6. DECLARATION

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s).

First Account Holders Signature (As per bank records)	Second Account Holders Signature (As per bank records)	Third Account Holders Signature (As per bank records)
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: **QMFP**

Quantum Mutual Fund - 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An application for STP/SWP under the Folio No.

Collection Center's Stamp & Receipt Date and Time	
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SYSTEMATIC TRANSACTION FORM

TERMS & CONDITIONS

Systematic Transfer Plan (STP)

This facility allows Unitholders to transfer specified fixed sums on periodic basis from one scheme to other schemes launched by the Mutual Fund from time to time by giving a single instruction. A Unitholder may effect a Systematic Transfer Plan (STP) by redeeming units of the transfer-out (transferor) Scheme at the prevailing NAV, subject to Exit Load, if any and investing the same amount in the transfer-in (transferee) scheme at prevailing NAV of the transfer-in Scheme. To seamlessly facilitate STP between two Schemes of the Mutual Fund, the Unitholder must comply with the redemption requirements of the transferor scheme and subscription requirements of the transferee scheme.

STP facility is offered subject to following terms & conditions:

- STP facility is offered with daily - all business days, weekly - 7, 15, 21, 28, fortnightly - 5 & 21 or 7 & 25 monthly and quarterly - 5, 7, 15, 21, 25, 28 of the month under monthly/1st month of each quarter under quarterly frequency.
- In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed for fortnightly 5&21 and on 15th of month/quarter. In case the end date is not specified, the Fund would continue the STP till it receives termination notice from the Unitholder.
- Minimum balance in the source (transferor) Scheme should be Rs.5,000/- at the time of enrolment for STP.
- Minimum amount for each transfer: Rs.100/- for daily, Rs 500 for weekly, fortnight, monthly and quarterly frequency. Any STP over and above the minimum amounts mentioned above shall be in multiples of Re.1 thereafter.
- Minimum term/duration: For daily 132, Weekly 25, fortnight 13, Monthly 6 and quarterly 4 Minimum Instalments.
- In case the Unitholder purchases additional units in the transferor scheme, the STP facility would be extended to such additional units also.
- Units marked under lien or pledge in the source Scheme will not be eligible for STP.
- The Unitholder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request.
- In case the unit balance in the transferor scheme is lesser than amount specified by the Unitholders for STP, the AMC will transfer remaining unit balance to transferee scheme. The facility will be automatically terminated if the units under the transferor scheme are pledged or upon receipt of intimation of death of the Unitholder.
- The application for start of STP should be submitted to Official Point(s) of Acceptance at least 10 business days before the date of commencement/start date of STP. Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 10 business days prior to next transfer/STP execution date.
- Unitholder can discontinue STP facility at any time by sending a written notice to any of the Official Point(s) of Acceptance, at least 10 business days prior to next transfer/STP execution date.
- If STP date is a Non-Business Day, then the next Business Day shall be the STP date and the same will be considered for the purpose of determining the applicability of NAV.
- STP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.

Installment options available under STP		Daily	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Value Fund/Quantum ESG Best In Class Strategy Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/Quantum Dynamic Bond Fund/Quantum Nifty 50 ETF	Minimum STP amount	₹100/- & in multiples of ₹1/- thereafter	₹500/- & in multiples of ₹1/- thereafter			
	Minimum STP Installments	132	25	13	6	4
Quantum Tax Saving Fund	Minimum STP amount	₹500/- & in multiples of ₹500/- thereafter				
	Minimum STP Installments	132	25	13	6	4
Quantum Liquid Fund	Minimum STP amount	₹100/- & in multiples of ₹1/- thereafter	₹500/- & in multiples of ₹1/- thereafter			
	Minimum STP Installments	132	25	13	6	4

Systematic Withdrawal Plan (SWP)

This facility allows Unitholders to withdraw a fixed amount (subject to deduction of tax at source, if applicable) on monthly basis by redemption of units in the Unitholders' account. Withdrawal will be both from the unit capital and appreciation (if any) on the invested capital. The Scheme offers SWP facility subject to following terms & conditions:

- Unitholder can withdraw the amount on weekly - 7, 15, 21, 28, fortnight - 5 & 21 OR 7 & 25 and monthly/ quarterly - 5, 7, 15, 21, 25, 28. In case of selection date is a non-Business Day, the transaction would be effected on the next Business Day.
- Minimum balance in the Scheme should be Rs.5,000/- at the time of enrolment for SWP.
- Minimum amount for each withdrawal should be Rs.500/- and in multiples of Re.1/- for all frequencies.
- Minimum installments weekly 25, fortnight 13, monthly 6 and quarterly 4.
- In case the Unitholder purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
- The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unitholder.
- The redemption under SWP will be subject to applicable Exit load if any.
- The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 10 business days before the date of commencement/start date of SWP.
- Unitholder may change the amount/frequency by giving written notice to any of the Official Point(s) of Acceptance at least 10 business days prior to next SWP execution date.
- Unitholder can discontinue SWP facility by sending a written notice to any of the Official Point(s) of Acceptance, at least 10 business days prior to next SWP execution date.
- SWP will be terminated automatically in case of a Zero balance in the respective Scheme-Folio on the run-date or expiry of the enrolment period whichever is earlier.
- In case the unit balance in the scheme is lesser than amount specified by the Unitholders for SWP, the AMC will redeem remaining unit balance in the scheme.

Installment options available under SWP		Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Value Fund/Quantum ESG Best In Class Strategy Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/Quantum Dynamic Bond Fund/Quantum Nifty 50 ETF	Minimum SWP amount	₹500/- & in multiples of ₹1/- thereafter			
	Minimum SWP Installments	25	13	6	4
Quantum Tax Saving Fund	Minimum SWP amount	₹500/- & in multiples of ₹500/- thereafter			
	Minimum SWP Installments	25	13	6	4
Quantum Liquid Fund	Minimum SWP amount	₹500/- & in multiples of ₹1/- thereafter			
	Minimum SWP Installments	25	13	6	4

Note: Minimum Balance to Start the STP/SWP is ₹5000/-

Note: Name of Quantum India ESG Equity Fund has been changed to Quantum ESG Best In Class Strategy Fund effective from 1st February, 2024.



FATCA CRS FORM

(for non Individual/legal entity)

6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021
www.QuantumAMC.com

Applicant/Investor details:											
Name of the Entity											
Type of address given at KRA	<input type="checkbox"/> Residential or Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business <input type="checkbox"/> Registered Office								
PAN		Date of Incorporation	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
City of incorporation		Country of incorporation									

1. Is "Entity" a tax resident of any country other than India Please ✓ the applicable tax resident declaration

Yes No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Country	Tax Identification Number %	Identification Type (TIN or Other, please specify)

*In case Tax Identification Number is not available, kindly provide its functional equivalent .

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

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Please refer to para 3(vii) Exemption code for U.S. persons under Part D of FATCA instructions & Definitions

FATCA & CRS DECLARATION (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

Sr No.	Information	Additional Information to be provided
1.	We are a financial institution [including an FFI] [Refer instructions a]	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, please provide the following information:</i> GIIN: _____ (Global Intermediary Identification Number) If GIIN not available [tick any one]: <input type="checkbox"/> Applied for on <input type="checkbox"/> Not required to apply (please describe) <input type="checkbox"/> Not obtained
2.	We are a listed company [whose shares are regularly traded on a recognized stock exchange]	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the name of any one Stock Exchange where it is traded regularly:</i> 1. BSE/NSE/Other _____ (please specify)
3.	We are 'Related Entity' of a listed company [Refer instructions b]	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the name of the listed company</i> Specify the name of any one Stock Exchange where it is traded regularly: 1. BSE/NSE/Other _____ (please specify)
4.	We are an Active NFFE [Refer instructions c & d] Note: Details of Controlling Persons will for FATCA purpose not be considered	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, specify the nature of business</i> Please specify the category of Active NFFE _____ (Mention code – refer instructions)
5.	We are an Passive NFFE [Refer instructions f and g] Note: Details of Controlling Persons will be FATCA purpose considered for	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, please provide:</i> 1. Nature of business 2. For all Controlling Persons who are tax residents (including US citizens and green card holders) of countries other than India, please provide the necessary details including Taxpayer Identification Number (TIN) in the UBO form.

#IF PASSIVE NFFE, PLEASE PROVIDE BELOW ADDITIONAL DETAILS FOR EACH OF CONTROLLING PERSON. (Please attach additional sheets if necessary)

Name and PAN / Any other identification Number
 (PAN, Aadhar, Passport, Election ID, Govt ID, Driving Licence, NREGA Job Card, Others)
 City of Birth - Country of Birth

Occupation Type - Service, Business, Others
Nationality
Father's Name - Mandatory if PAN is not applicable.

DOB - Date of Birth
Gender - Male, Female, Other

1. Name	<input type="text"/>	Occupation Type	<input type="text"/>	DOB	<input type="text"/>
PAN	<input type="text"/>	Nationality	<input type="text"/>	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
City of Birth	<input type="text"/>	Father's Name	<input type="text"/>		
Country of Birth	<input type="text"/>				

1. Name	<input type="text"/>	Occupation Type	<input type="text"/>	DOB	<input type="text"/>
PAN	<input type="text"/>	Nationality	<input type="text"/>	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
City of Birth	<input type="text"/>	Father's Name	<input type="text"/>		
Country of Birth	<input type="text"/>				

1. Name	<input type="text"/>	Occupation Type	<input type="text"/>	DOB	<input type="text"/>
PAN	<input type="text"/>	Nationality	<input type="text"/>	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
City of Birth	<input type="text"/>	Father's Name	<input type="text"/>		
Country of Birth	<input type="text"/>				

*Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:

*To include US, where controlling person is a US citizen or green card holder

*In case Tax Identification Number is not available, kindly provide functional equivalent

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. \$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

I/We hereby acknowledge and confirm that the information provided hereinabove is/are true and correct to the best of my knowledge and belief. I/We further agree and acknowledge that in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising therefrom. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, SEBI registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We further agree to promptly intimate you in writing regarding any change/modification to the above information and/or provide additional/further information as and when required by you.

Signature with relevant seal:

Authorised Signatory	Authorised Signatory	Authorised Signatory
----------------------	----------------------	----------------------

Date:

Place: _____

Instructions

- a. Foreign Financial Institution [FFI] – Means any non-US financial institutions that is a – (1) Depository institution – accepts deposits in the ordinary course of banking or similar business; (2) Custodian institution – as a substantial portion of its business, hold financial assets for the accounts of others; (3) Investment entity – conducts a business or operates for or on behalf of a customer for any of the activities like trading in money market instruments, foreign exchange, foreign currency, etc. or individual or collective portfolio management or investing, administering or managing funds, money or financial assets on behalf of other persons; or an entity managed by this type of entity; or (4) Insurance company – entity issuing insurance products i.e. life insurance; (5) Holding or Treasury company – entity that is part of an expanded affiliate group that includes a depository, custodian, specified insurance company or investment entity
- b. Related Entity - an entity is a “Related Entity” of another entity if one controls the other, or the two entities are under common control (where control means direct or indirect ownership of more than 50% of the vote or value in an entity)
- c. Non-financial foreign entity [NFFE] – Non-US entity that is not a financial institution [including a territory NFFE]. Following NFFEs are excluded from FATCA reporting – (a) Publicly traded corporation / listed companies; (b) Related Entity of a listed company; and (c) Active NFFE
- d. Active NFFE – Any one of the following -

Code	Sub-category
1.	Less than 50 percent of the NFFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income
2.	The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory
3.	The NFFE is a government (other than the U.S. government), a political subdivision of such government (which, for the avoidance of doubt, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing
4.	Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes
5.	The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE
6.	The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution
7.	The NFFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution
8.	Any NFFE is a ‘non for profit’ organization which meets all of the following requirements: It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in its jurisdiction of residence; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents do not permit any income or assets of the NFFE to be distributed to, or applied for the benefit of, a private person or noncharitable Entity other than pursuant to the conduct of the NFFE’s charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFFE has purchased; and The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents require that, upon the NFFE’s liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFFE’s jurisdiction of residence or any political subdivision thereof.

- e. Expanded affiliated group – One or more chains of members connected through ownership (50% or more, by vote or value) by a common parent entity if the common parent entity owns stock or other equity interests meeting the requirements in atleast one of the other members
- f. Passive NFFE - means any NFFE that is not (i) an Active NFFE, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. Passive Income – portion of gross income that consists of Income Distribution interest, rents and royalties (other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity’s commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- h. Controlling Persons - means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.



DECLARATION FORM OF ULTIMATE BENEFICIAL OWNERSHIP [UBO] / CONTROLLING PERSONS

(Mandatory for Non-individual Investors)

I: Investor details:

Investor Name	
PAN*	

* If PAN is not available, specify Folio No. (s)

II: Category

Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed#. _____

Security ISIN# _____

Name of the Listed Company (applicable if the investor is subsidiary/associate):

#mandatory in case of Listed company or subsidiary of the Listed Company

Unlisted Company Partnership Firm / LLP Unincorporated association / body of individuals

Public Charitable Trust Private Trust Religious Trust Trust created by a Will.

Others [please specify] _____

UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? Yes No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/ SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
% of beneficial interest#.	>10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>	>10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>	>10% controlling interest. <input type="checkbox"/> >15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>
UBO / SMO Country of Tax Residency#.			

UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth Country of Birth	Place of Birth Country of Birth	Place of Birth Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/>	Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/>	Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/>
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/>
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/>
UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>
SMO Designation#			

UBO / SMO KYC Complied?	<input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status	<input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	<input type="checkbox"/> Yes / <input type="checkbox"/> No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.
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Mandatory column.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Place: _____

Date: __/ __/ ____

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

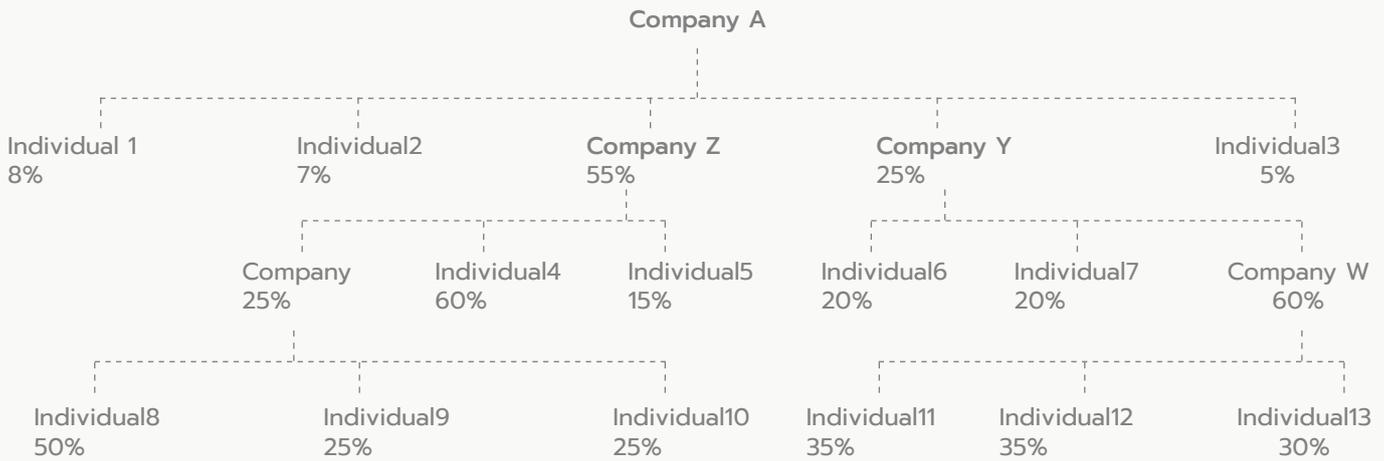
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



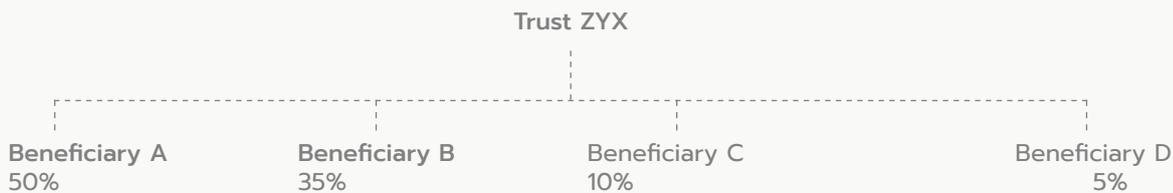
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >=15% of capital. KYC proof of these partners needs to be submitted including shareholding

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

INVESTOR SERVICE CENTERS/ OFFICIAL POINT OF ACCEPTANCE

Quantum Asset Management Company Pvt. Ltd. Mumbai: 6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021

Ahmedabad: BSQUARE Office Solutions, 6th Floor Shree Krishna Centre, Nr. Mithakhali Six Roads, Navrangpura, Ahmedabad - 380 009

K-Fin Technologies Collection Centers

SL No	Branch Name	Address
1	Agartala	KFin Technologies Ltd. OLS RMS CHOWMUHANI, MANTRI BARI ROAD 1ST FLOOR NEAR Jana Sevak Saloon Building TRAFFIC POINT Agartala, Tripura West, Pin-799001
2	Agra	KFin Technologies Ltd. House No. 17/2/4, 2nd Floor Deepak Wasan Plaza, Behind Hotel Holiday INN, Sanjay Place, Agra -282002 (UP)
3	Ahmedabad	KFin Technologies Ltd. Office No. 401, 4th Floor ABC-I, Off. C.G. Road Ahmedabad-380 009
4	Ajmer	KFin Technologies Ltd. 302, 3rd Floor, Ajmer Auto Building Opposite City Power House, Jaipur Road Ajmer - 305 001
5	Akola	KFin Technologies Ltd. Shop No 25, Ground Floor Yamuna tarang complex, Murtizapur Road N.H. No - 6, Opp Radhakrishna, Akola 444001, Maharashtra
6	Aligarh	KFin Technologies Ltd. 1st Floor Sevti Complex Near Jain Temple, Samad Road Aligarh - 202001, Uttar Pradesh
7	Allahabad	KFin Technologies Ltd. Meena Bazar,2nd Floor, 10 S.P. Marg Civil Lines,Subhash Chauraha, Prayagraj Allahabad - 211001
8	Alwar	KFin Technologies Ltd. 137, Jai Complex Road No - 2 Alwar-301001
9	Amaravathi	KFin Technologies Ltd. Shop No. 21, 2nd Floor Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601
10	Ambala	KFin Technologies Ltd. 6349,2nd Floor, Nicholson Road, Adjacent Kos Hospital, Ambala Cant, Ambala - 133001
11	Amritsar	KFin Technologies Ltd. SCO 5, 2nd Floor, District Shopping Complex, Ranjit Avenue, City-Amritsar, Punjab, Pin Code - 143001
12	Anand	KFin Technologies Ltd. B-42 Vaibhav Commercial Center Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 388 001
13	Ananthapur	KFin Technologies Ltd. #13/4, Vishnupriya Complex, Beside SBI Bank, Near Tower Clock Anantapur - 515 001
14	Asansol	KFin Technologies Ltd. 1st Floor, 112/N, G. T. ROAD BHANGA PACHIL, Paschim Bardhaman Asansol - 713003, West Bengal
15	Aurangabad	KFin Technologies Ltd. Shop no B 38,Motiwalla Trade Center Nirala Bazar Aurangabad-431001
16	Azamgarh	KFin Technologies Ltd. Shop no 18 Gr. Floor Nagarpalika, Infront of Tresery office Azamgarh-276 001
17	Balasore	KFin Technologies Ltd. 1-B. 1st Floor, Kalinga Hotel Lane Baleshwar, Baleshwar Sadar Balasore - 756001, Orissa
18	Bangalore	KFin Technologies Ltd. OLD NO : 35, NEW NO-59, 1st Floor KAMALA NIVAS, PUTTANNA ROAD Basavanagudi Bangalore - 560 004
19	Bankura	KFin Technologies Ltd. Plot nos- 80/1/ANATUNCHATI MAHALLA 3rd floor, Ward No 24 Opp to PC Chandra, Bankura - 722101
20	Bareilly	KFin Technologies Ltd. 1ST FLOOR REAR SIDE A -SQUARE BUILDING 54-CIVIL LINES, Ayub Khan Chauraha BAREILLY - 243 001
21	Baroda	KFin Technologies Ltd. 1st Floor 125 Kanha Capital, Opp. Express Hotel, R C Dutt Road, Alkapuri Vadodara - 390007.
22	Begusarai	KFin Technologies Ltd. SRI RAM MARKET, KALI ASTHAN CHOWK, MATIHANI ROAD, BEGUSARAI, BIHAR - 85101
23	Belgaum	KFin Technologies Ltd. Premises No 101, CTS NO 1893, Shree Guru Darshani Tower Anandwadi, Hindwadi, Belgaum 590011
24	Bellary	KFin Technologies Ltd. GROUND FLOOR,3RD OFFICE, NEAR WOMENS COLLEGE ROAD BESIDE AMRUTH DIAGNOSTIC SHANTHI ARCADE Bellary 583103
25	Berhampur (Or)	KFin Technologies Ltd. Opp -Divya Nandan Kalyan Mandap 3rd Lane Dharam Nagar, Near Lohiya Motor Berhampur-760001
26	Bhagalpur	KFin Technologies Ltd. 2nd Floor, Chandralok Complex, Near Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001
27	Bhagalpur	KFin Technologies Ltd. 123 Nexus business Hub,Near Gangotri Hotel B/s Rajeshwari Petroleum, Makampur Road Bharuch - 392 001
28	Bhatinda	KFin Technologies Ltd. 2nd Floor, MCB -2-3-01043 GONIANA RODA, OPPORITE NIPPON INDIA MF GT ROAD, NEAR HANUMAN CHOWK, BHATINDA -151001, Punjab
29	Bhavnagar	KFin Technologies Ltd. 303, STERLING POINT, WAGHAWADI ROAD, BHAVNAGAR - 364001
30	Bhilai	KFin Technologies Ltd. Office No.2, 1st Floor Plot No. 9/6, Nehru Nagar [East] Bhilai,Durg BHILAI - 490020, Chhattisgarh
31	Bhilwara	KFin Technologies Ltd. Office No. 14 B, Prem Bhawan, Pur Road, Gandhi Nagar, Near CanaraBank, Bhilwara - 311001 (Rajasthan)
32	Bhopal	KFin Technologies Ltd. SF-13 Gurukripa Plaza, Plot No. 48A Opposite City Hospital, zone-2,M P Nagar Bhopal-462011
33	Bhubaneswar	KFin Technologies Ltd. A/181 Back Side Of Shivam Honda Show Room Saheed Nagar Bhubaneswar-751007
34	Bikaner	KFin Technologies Ltd. H.No. 10, Himtasar House, Museum circle, Civil line, Bikaner, Rajasthan - 334001
35	Bilaspur	KFin Technologies Ltd. ANANDAM PLAZA, Shop.No.306 3rd Floor, Vyapar Vihar Main Road Bilaspur-495001
36	Bokaro	KFin Technologies Ltd. CITY CENTRE, PLOT NO. HE- 7,SECTOR-IV BOKARO STEEL CITY Bokaro Steel City - 827 004
37	Burdwan	KFin Technologies Ltd. Saluja Complex; 846, Laxmipur, G T Road, Burdwan; PS: BURDWAN & DIST: BURDWAN-EAST PIN: 713101
38	Calicut	KFin Technologies Ltd. Second Floor, Manimuriyil Centre Bank Road, Kasaba Village Calicut - 673 001
39	Chandigarh	KFin Technologies Ltd. First floor, SCO 2469-70 Sector 22-C Chandigarh - 160022
40	Chennai	KFin Technologies Ltd. 9th Floor, Capital Towers 180, Kodambakkam High Road Nungambakkam Chennai - 600034
41	Chinsura	KFin Technologies Ltd. 96, DOCTORS LANE PO:CHINSURAH, DT: HOOGHLY Chinsura - 712101
42	Cochin	KFin Technologies Ltd. Door No:61/2784 Second floor Sreelakshmi Tower Chittoor Road, Ravipuram Ernakulam-Kerala-682015
43	Coimbatore	KFin Technologies Ltd. 3rd Floor,1057 Jaya Enclave, Avanashi Road Coimbatore- 641018
44	Cuttack	KFin Technologies Ltd. SHOP NO-45,2ND FLOOR, NETAJI SUBAS BOSE ARCADE BIG BAZAR BUILDING, ADJACENT TO RELIANCE TRENDS DARGHA BAZAR Cuttack - 753001
45	Darbhanga	KFin Technologies Ltd. "H No-185, Ward No-13, National Statistical office Campus, Kathalbari, Bhandar Chowk, Darbhanga, Bihar - 846004
46	Davangere	KFin Technologies Ltd. D.No 162/6, 1st Floor, 3rd Main, P J Extension Davangere taluk, Davangere Mandal Davangere : 577002
47	Dehradun	KFin Technologies Ltd. Shop No-809/799, Street No-2 A Rajendra Nagar Near Sheesha Lounge, Kaulagarh Road Dehradun - 248 001
48	Deoria	KFin Technologies Ltd. K. K. Plaza, Above Apurwa Sweets Civil Lines Road Deoria- 274001
49	Dhanbad	KFin Technologies Ltd. 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad - 826001
50	Dhule	KFin Technologies Ltd. Ground Floor Ideal Laundry, Lane No 4 Khol Galli, Near Muthoot Finance Opp Bhavasara General Store Dhule-424001
51	Durgapur	KFin Technologies Ltd. MWAV-16 Bengal Ambuja 2ndFloor, City Centre, Dist: Burdwan Durgapur- 713216
52	Eluru	KFin Technologies Ltd. DNO-23A-7-72/73K K S PLAZA MUNUKUTLA VARI STREET, OPP ANDHRA HOSPITALS,R R PETA ELURU-534 002
53	Erode	KFin Technologies Ltd. No 38/1 Ground Floor,Sathy Road, (VCTV Main Road), Sorna Krishna Complex Erode - 638 003
54	Faridabad	KFin Technologies Ltd. A-2B, 3rd Floor, Nehru Ground, Neelam Bata Road, Nit, Peer Ki Mazar, Faridabad - 121 001
55	Ferozpur	KFin Technologies Ltd. The Mall Road, Chawla Bulding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir Ferozpur City -152002
56	Gandhidham	KFin Technologies Ltd. Shop # 12, Shree Ambica Arcade, Plot # 300 Ward 12 Opp. CG High School, Near HDFC Bank, Gandhidham - 370201
57	Gandhinagar	KFin Technologies Ltd. 123, First Floor Megh Malhar Complex Opp. Vijay Petrol Pump Sector - 11 Gandhinagar - 382011
58	Gaya	KFin Technologies Ltd. Property No 711045129, Ground Floor, Hotel Skylark, Swaraipuri Road, Gaya-823001, Bihar
59	Ghaziabad	KFin Technologies Ltd. FF - 31, Konark Building Rajnagar Ghaziabad - 201003
60	Ghaziipur	KFin Technologies Ltd. House No. 148/19,Mahua Bagh Raini Katra Ghaziipur-233 001
61	Gonda	KFin Technologies Ltd. House No. 782, Shiv Sadan, ITI Road Near Raghukul Vidya Peeth, Civil Lines Gonda-271 001
62	Gorakhpur	KFin Technologies Ltd. Shop No. 8-9, 4th floor Cross Mall Gorakpur - 273 001
63	Gulbarga	KFin Technologies Ltd. H NO 2-231,KRISHNA COMPLEX 2ND FLOOR Opp. Municipal corporation Office, Jagat Station Main Road, Kalaburagi Gulbarga - 585105
64	Guntur	KFin Technologies Ltd. 2nd Shatter, 1st Floor Hno. 6-14-48, 14/2 Lane,Arundal Pet Guntur -522002
65	Gurgaon	KFin Technologies Ltd. 2nd Floor, Vipul Agora M. G. Road Gurgaon - 122001
66	Guwahati	KFin Technologies Ltd. Ganapati Enclave, 4th Floor Opposite Bora service, Ullubari Guwahati - 781007
67	Gwalior	KFin Technologies Ltd. City Centre Near Axis Bank Gwalior - 474 011
68	Haldwani	KFin Technologies Ltd. Shop No. 5, KMVN Shoping Complex Haldwani - 263139
69	Haridwar	KFin Technologies Ltd. Shop No. - 17, Bhatia Complex Near Jamuna Palace Haridwar-249 410
70	Hassan	KFin Technologies Ltd. HEMADRI ARCADE, 2ND MAIN ROAD SALGAME ROAD NEAR BRAHMINS BOYS HOSTEL Hassan - 573201
71	Hissar	KFin Technologies Ltd. Shop No. 20, Ground Floor, R D City Centre, Railway Road, Hissar - 125001
72	Hoshiarpur	KFin Technologies Ltd. The Mall Complex Unit # 5F-6,2nd Floor, Opposite Kapila Hospital, Sutheri Road, City- Hoshiarpur, Punjab, Pin Code - 146001
73	Hubli	KFin Technologies Ltd. R R MAHALAXMI MANSION, ABOVE INDUSIND Bank, 2ND FLOOR, DESAI CROSS, PINTO ROAD, Hubballi 580029
74	Hyderabad	KFin Technologies Ltd. No.303, Vamsee Estates Opp: Bigbazaar, Ameerpet Hyderabad - 500 016
75	Indore	KFin Technologies Ltd. 101,Diamond Trade centre 3-4 Diamond Colony, New Palasia, Above khurana Bakery Indore - 452001
76	Jabalpur	KFin Technologies Ltd. 2nd Floor, 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001
77	Jaipur	KFin Technologies Ltd. Office no 101, 1st Floor, Okay Plus Tower, Next to Kalyan Jewellers, Government Hostel Circle, Ajmer Road, Jaipur - 302 001
78	Jalandhar	KFin Technologies Ltd. Office No 7, 3rd Floor City Square building, E-H197 Civil Lines Jalandhar - 144 001
79	Jalgaon	KFin Technologies Ltd. 3rd floor,269 JEEA Plaza Baliram Peth near Kishore Agencies Jalgaon - 425 001
80	Jalpaiguri	KFin Technologies Ltd. D.B.C. Road, Near Rupasree Cinema Hall, Opp to Nirala Hotel, Beside Kalamandir, Po & Dist Jalpaiguri Jalpaiguri - 735101
81	Jammu	KFin Technologies Ltd. 1D/D Extension 2 Valmiki Chowk, Gandhi Nagar Jammu - 180004
82	Jamnagar	KFin Technologies Ltd. Office No 131, 1st Floor Madahv Plaza Opp SBI Bank, Nr. LAL Bungalow Jamnagar - 361001
83	Jamshedpur	KFin Technologies Ltd. Madhukunj, 3rd Floor Q Road, Sakchi, Bistupur Jamshedpur - 831 001
84	Jhansi	KFin Technologies Ltd. 1st Floor, Puja Tower, Near 48 Chambers ELITE Crossing Jhansi - 284 001
85	Jodhpur	KFin Technologies Ltd. Shop No. 6, GROUND FLOOR, GANG TOWER OPPOSITE ARORA MOTER SERVICE CENTRE, NEAR BOMBAY MOTER CIRCLE, Jodhpur - 342 003
86	Junagadh	KFin Technologies Ltd. Shop No. 201, 2nd Floor, V-ARCADE Complex Near vanzari chowk,M.G. Road Junagadh - 362001
87	Kannur	KFin Technologies Ltd. 2ND FLOOR, GLOBAL VILLAGE, BANK ROAD, KANNUR - 670001, Kerala
88	Kanpur	KFin Technologies Ltd. 15/46,Ground Floor, Opp: Muir Mills, Civil Lines, Kanpur - 208001
89	Karimnagar	KFin Technologies Ltd. 2nd Shutter, H.No. 7-2-607 Sri Matha Complex Mankammathota Karimnagar-505001
90	Karnal	KFin Technologies Ltd. 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal-132001

91	Karur	KFin Technologies Ltd. No 88/11, BB plaza NRMP street, K S Mess Back side Karur - 639002	140	Sagar	KFin Technologies Ltd. II floor,Above shiva kanch mandir 5 civil lines Sagar-470002
92	Kharagpur	KFin Technologies Ltd. Holding No 254/220, SBI BUILDING Malancha Road, Ward No.16, PO: Kharagpur, PS: Kharagpur, Dist: Paschim Medinipur Kharagpur-721301	141	Salem	KFin Technologies Ltd. No.6 NS Complex Omalur Main Road Salem-636009
93	Kolhapur	KFin Technologies Ltd. 605/1/4 E Ward, Near Sultane Chambers, Shahupuri 2nd Lane, Lakshmi Niwas Kolhapur 416001	142	Sambalpur	KFin Technologies Ltd. SAHEJ PLAZA; First Floor; Shop No. 219 Golebazar Sambalpur-768001
94	Kolkata	KFin Technologies Ltd. 2/1 Russel Street 4th Floor, Kankaria Centre Kolkata-700071, West Bengal, India	143	Satna	KFin Technologies Ltd. 1st Floor Gopal Complex Near Bus Stand Rewa Road Satna (MP) -485 001
95	Kollam	KFin Technologies Ltd. SREE VIGNESWARA BHAVAN SHASTRI JUNCTION KOLLAM - 691001	144	Shillong	KFin Technologies Ltd. Annex Mani Bhawan Lower Thana Road,Near R K M Lp School Shillong - 793 001
96	Kota	KFin Technologies Ltd. D-8, SHRI RAM COMPLEX OPPOSITE MULTI PURPOSE SCHOOL, GUMANPURA, Kota - 324007	145	Shimla	KFin Technologies Ltd. 1st Floor, Hills View Complex Near Tara Hall Shimla - 171 001
97	Kottayam	KFin Technologies Ltd. 1st Floor Csiacsension Square Railway Station Road Collectorate P O Kottayam - 686 002	146	Shimoga	KFin Technologies Ltd. JAYARAMA NILAYA, 2ND CORSS, MISSION COMPOUND, SHIMOGA 577201
98	Kurnool	KFin Technologies Ltd. Shop No:47, 2nd Floor S komda Shopping mall Kurnool-518 001	147	Shivpuri	KFin Technologies Ltd. Near Hotel Vanasthali, In Front of Sawarkar Park, B. Road, Shivpuri Shivpuri-473 551
99	Lucknow	KFin Technologies Ltd. 1st Floor,A. A. Complex 5 Park Road, Hazratganj, Thaper House Lucknow - 226001	148	Sikar	KFin Technologies Ltd. 1st Floor, Super Towers, Near Taparya Bagichi Behind Ram Mandir, Station Road Sikar-332001
100	Ludhiana	KFin Technologies Ltd. Second floor, SCO 122, Above Hdfc Mutual fund, Feroze Gandhi Market Ludhiana - 141001	149	Silchar	KFin Technologies Ltd. 1st Floor, Chowchakra Complex, N N Dutta Road, Premlata Silchar - 788001
101	Madurai	KFin Technologies Ltd. G-16/17,AR Plaza, 1st floor, North Veli Street, Madurai - 625001	150	Siliguri	KFin Technologies Ltd. 2nd Floor, Nanak Complex Sevoke Road, Siliguri - 734001
102	Malda	KFin Technologies Ltd. RAM KRISHNA PALLY, GROUND FLOOR ENGLISH BAZAR MALDA - 732101	151	Sitapur	KFin Technologies Ltd. 12/12, Surya Complex Station Road Sitapur - 261001
103	Mangalore	KFin Technologies Ltd. SHOP NO - 305 MARIAN PARADISE PLAZA 3RD FLOOR, BUNTS HOSTEL ROAD, MANGALORE - 575003 DAKSHINA KARNATAKA	152	Solan	KFin Technologies Ltd. Disha Complex, 1st Floor Above Axis Bank, Rajgarh Road Solan-173 212
104	Margao	KFin Technologies Ltd. SHOP NO 21, OSIA MALL, 1ST FLOOR NEAR KTC BUS STAND, SGPDA MARKET COMPLEX Margao-Goa -403601	153	Solapur	KFin Technologies Ltd. Shop No 106. Krishna complex 477 Dakshin Kasaba, Datta Chowk Solapur-413 007
105	Mathura	KFin Technologies Ltd. Shop No. 9, Ground Floor Vihari Lal Plaza, Opposite Brijwasi Centrum Near New Bus Stand Mathura - 281001	154	Sonepat	KFin Technologies Ltd. Shop no. 205 PP Tower Opp income tax office,Subhash chowk Sonepat-131001
106	Meerut	KFin Technologies Ltd. Shop No- 111, First Floor, Shivam Plaza Near Canara Bank,Opposite Eves Petrol Pump Meerut - 250 001	155	Sri Ganganagar	KFin Technologies Ltd. Shop No. 5, Opposite Bihani Petrol Pump NH - 15, near Baba Ramdev Mandir Sri Ganga Nagar - 335001
107	Mehsana	KFin Technologies Ltd. FF-21, Someshwar Shopping Mall, Modhera Char Rasta, Mehsana-384002	156	Sultanpur	KFin Technologies Ltd. 1st Floor, Ramashanker Market Civil Line Sultanpur-228 001
108	Mirzapur	KFin Technologies Ltd. Triveni Campus, Near SBI Life Ratanganj Mirzapur-231001	157	Surat	KFin Technologies Ltd. Ground Floor, Empire State building, Near Udhna Darwaja, Ring Road, Surat-395002
109	Moga	KFin Technologies Ltd. 1st Floor,Dutt Road, Mandir Wali Gali Civil Lines, Barh Ghar Moga-142001	158	Tirunelveli	KFin Technologies Ltd. Jency Building, 55/18, S N Road, 2nd Floor Near Arvind Eye Hospital Tirunelveli - 627 001
110	Moradabad	KFin Technologies Ltd. Chadha Complex, G. M. D. Road Near Tadi Khana, Chowk Moradabad - 244 001	159	Tirupathi	KFin Technologies Ltd. Shop No:18-1421/f1 CITY Center, K.T.Road, Airtel Backside office Tirupati - 517501
111	Morena	KFin Technologies Ltd. House No. HIG 959, Near Court Front of Dr. Lal Lab, Old Housing Board Colony Morena -476 001	160	Tiruvalla	KFin Technologies Ltd. 2nd Floor, Erinjery Complex, Opp Axis Bank, Near Kotak Securites, Ramanchira Tiruvalla - 689107
112	Mumbai	KFin Technologies Ltd. 6/8 Ground Floor, Crossely House Near BSE (Bombay Stock Exchange) Next Union Bank, Fort Mumbai - 400001	161	Trichur	KFin Technologies Ltd. 4TH FLOOR, CROWN TOWER, SHAKTHAN NAGAR, OPP. HEAD POST OFFICE THRISSUR - 680001
113	Muzaffarpur	KFin Technologies Ltd. First Floor Saroj Complex Diwam Road, Near Kalyani Chowk Muzaffarpur-842001	162	Trichy	KFin Technologies Ltd. No 23C/1 E V R road, Near Vekkaliyaman Kalyana Mandapam, Putthur Trichy - 620 017
114	Mysore	KFin Technologies Ltd. NO 2924, 2ND FLOOR, 1ST MAIN, 5TH CROSS SARASWATHI PURAM Mysore - 570 009	163	Trivandrum	KFin Technologies Ltd. 3rdFloor, No- 3B TC-82/3417, CAPITOL CENTER, OPP SECRETARIAT, MG ROAD, Trivandrum - 695 001
115	Nadiad	KFin Technologies Ltd. 311-3rd Floor City Center Near Paras Circle Nadiad - 387001	164	Tuticorin	KFin Technologies Ltd. No 4B/A-34, Mari nagar Mangal Mall Palayamkottai Road Tuticorin - 628003
116	Nagercoil	KFin Technologies Ltd. HNO 45, 1st Floor East Car Street, Nagarcoil - 629001	165	Udaipur	KFin Technologies Ltd. Shop No. 202, 2nd Floor business centre 1C Madhuvan, Opp G P O Chetak Circle Udaipur-315001
117	Nagpur	KFin Technologies Ltd. Block No. B / 1 & 2, Shree Apratment Plot No. 2, Khare Town Mata Mandir Road, Dharampeth Nagpur - 440 010	166	Ujjain	KFin Technologies Ltd. Heritage Shop No. 227,87 Vishwavidhyalaya Marg Station Road,Near ICICI bank Above Vishal Megha Mart Ujjain-456001
118	Nanded	KFin Technologies Ltd. Shop No. 4, First Floor, Opp.Bank Of India Santkrupa Market, Gurudwara Road Nanded-431601	167	Valsad	KFin Technologies Ltd. 406 Dreamland Arcade Opp Jade Blue, Tithal Road Valsad - 396001
119	Nasik	KFin Technologies Ltd. S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik, Maharashtra - 422002	168	Vapi	KFin Technologies Ltd. A-8, Second Floor, Solitaire Business Center, Opp DCB Bank, GIDC Char rasta, Silvassa Road, Vapi - 396191
120	Navsari	KFin Technologies Ltd. 103, 1ST FLOOR LANDMARK MALL NEAR SAYAJI LIBRARY, Navsari - 396 445	169	Varanasi	KFin Technologies Ltd. D.64 / 52, G - 4 Arihant Complex , Second Floor Madhopur, Shivpurva Sgra,Near Petrol Pump Varanasi - 221 010
121	New Delhi	KFin Technologies Ltd. 305 New Delhi House 27 Barakhamba Road New Delhi-110 001	170	Vellore	KFin Technologies Ltd. No 2/19, 1st floor,Vellore city centre, Anna salai,Vellore 632001
122	Noida	KFin Technologies Ltd. F-21,2nd Floor,Near Kalyan Jewelers Sector-18 Noida - 201301(U.P)	171	Vijayawada	KFin Technologies Ltd. Hno 26-23, 1st Floor Sundarammastreet, GandhiNagar Vijayawada - 520 003
123	Palghat	KFin Technologies Ltd. No.20 & 21, Metro Complex, Head Post Office Road, Sultanpet, Palghat - 678001	172	Visakhapatnam	KFin Technologies Ltd. 48-10-40, GROUND FLOOR, SURYA RATNA ARCADE, SRINAGAR, OPP ROADTO LALITHA JEWELLER SHOWROOM BESIDE TAJ HOTEL LADGE, ISAKHAPATNAM - 530016
124	Panipat	KFin Technologies Ltd. Shop No. 20, 1st Floor BMK Market, Behind HIVE Hotel, G.T. Road Panipat - 132103, Haryana	173	Warangal	KFin Technologies Ltd. Shop No22, Ground Floor Warangal City Center,15-1-237 Mulugu Road Junction Warangal - 506002
125	Panjim	KFin Technologies Ltd. H. No: T-9, T-10, Affran plaza, 3rd Floor,Near Don Bosco High School, Panjim Goa, 403001	174	Yamuna Nagar	KFin Technologies Ltd. B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk Yamuna Nagar-135 001
126	Pathankot	KFin Technologies Ltd. 2nd Floor, Sahni Arcade Complex Adj.Indra colony Gate Railway Road, Pathankot - 145001	175	Vashi	KFin Technologies Ltd. Vashi Plaza,Shop no. 324,C Wing 1st Floor,Sector 17, Vashi Mumbai - 400705
127	Patiala	KFin Technologies Ltd. B- 17/423 Opp Modi College, Lower Mall Patiala 147001	176	Vile Parle	KFin Technologies Ltd. Shop No1 Ground Floor, Dipti Jyothi Co-operative Housing Society, Near MTNL office P M Road, Vile Parle (East), Mumbai - 400057
128	Patna	KFin Technologies Ltd. Flat No- 102, 2BHK Maa Bhawani Shardaay, Exhibition Road, Patna-800001	177	Borivali	KFin Technologies Ltd. Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai - 400 092
129	Pondicherry	KFin Technologies Ltd. No -122(10b), Muthumariamman koil street, Pondicherry - 605 001	178	Thane	KFin Technologies Ltd. Room No. 302 3rd Floor, Ganga Prasad Near RBL Bank Ltd,Ram Maruti Cross Road, Naupada Thane West Mumbai - 400602
130	Pune	KFin Technologies Ltd. Office no 207-210, 2nd Floor Kamla Arcade, Jangli Maharaj Road Opposite Balgandharva, Shivaji Nagar Pune - 411005	179	Hyderabad (Gachibowli)	KFin Technologies Ltd. Selenium, Plot No: 31 & 32, Tower B, Survey No.115/22, 115/24, 115/25 Financial District, Gachibowli, Nanakramguda, Serilimgampally Mandal Hyderabad - 500032
131	Raipur	KFin Technologies Ltd. Office No.5-13 Second Floor, Raheja Tower Fafadhi Chowk, Jail Road Raipur - 492 001	180	Srikakulam	KFin Technologies Ltd. D No: 158, Shop No#3, Kaki Street, Opp Tulasi Das Hospital, CB Road, "Srikakulam - 532001 Andhra Pradesh"
132	Rajahmundry	KFin Technologies Ltd. No. 46-23-10/A, Tirumala Arcade, 2nd floor, Ganuga Veedhi,Danavaipeeta, Rajahmundry, East Godavari Dist,AP-533103	181	Ghatkopar	KFin Technologies Limited 11/ Platinum Mall, Jawahar Road, Ghatkopar (East) Mumbai - 400 077
133	Rajkot	KFin Technologies Ltd. 302 Metro Plaza Near Moti Tanki Chowk Rajkot - 360 001	182	Satara	KFin Technologies Limited G7, 465, A Govind Park Sadar Bazaar, Satara - 415001
134	Ranchi	KFin Technologies Ltd. Room no 103, 1st Floor, Commerce Tower Beside Mahabir Towers Main Road Ranchi - 834 001	183	Ahmednagar	KFin Technologies Limited Shop no 2, Plot no 17, S.No 322 Near Ganesh Colony Savedi Ahmednagar - 414001
135	Renukoot	KFin Technologies Ltd. C/o Mallick Medical Store Bangali Katra Main Road, Renukoot Dist. Sonebhadra (U.P.)-231 217	184	Nellore	KFin Technologies Limited 24-6-326/1 Ibaco Building 4th Floor, Grand Truck Road Beside Hotel Minerva, Saraswathi Nagar Dargamitta, Nellore - 524003
136	Rewa	KFin Technologies Ltd. Shop No. 2, Shree Sai Anmol Complex, Ground Floor, Opp Teerth Memorial Hospital Rewa-486 001	185	Kalyan	KFin Technologies Limited Seasons Business Centre 104 / 1st Floor, Shivaji Chowk Opposite KDMC (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301
137	Rohtak	KFin Technologies Ltd. Office No- 61, First Floor, Ashoka Plaza, Delhi Road, Rohtak 124001			
138	Roorkee	KFin Technologies Ltd. Near Shri Dwarikadish, Dharm Shala, Ramnagar, Roorkee - 247 667			
139	Rourkela	KFin Technologies Ltd. 2nd Floor, Main Road UDIT NAGAR Rourkela - 769 012 SUNDARGARH			

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