



QUANTUM MUTUAL FUND

Profit with Process

Investment Manager: Quantum Asset Management Company Private Limited

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ADDENDUM NO. 9/2021

Notice-Cum-Addendum

NOTICE IS HEREBY GIVEN THAT the Board of Directors of Quantum Trustee Company Private Limited, Trustee to Quantum Mutual Fund has approved the following changes in the Scheme Information Document (SID) and Key Information Memorandum (KIM) of Quantum Long Term Equity Value Fund, Quantum Equity Fund of Funds, Quantum Dynamic Bond Fund, Quantum Multi Asset Fund of Funds, Quantum Gold Savings Fund, Quantum Liquid Fund and Quantum India ESG Equity Fund.

MODIFICATIONS / UPDATION TO THE TERMS OF SMILE FACILITY

The terms and conditions of the SMILE Facility will be modified / updated as follows with effect from May 17, 2021

SMILE is a facility wherein investors can contribute to Non-Governmental Organizations (NGO) registered with the HelpYourNGO Foundation (HYNGO Foundation) / HYNGO Foundation. The contribution will be given by way of automatic redemption / investor advised / at the time of redemption subject to the terms and conditions as prescribed by the AMC from time to time.

HYNGO Foundation is a non-profit company incorporated with an initiative to encourage and promote philanthropy / charity giving in India by giving philanthropic donors, individuals or institutions an opportunity to donate in a strategic and impactful manner. The HYNGO Foundation also encourages the recipient non-profit / charitable organizations / social enterprises in India to exercise due diligence and highest standards of good governance, compliance, transparency and accountability.

The following are the terms and conditions of the SMILE Facility:

1. The SMILE Facility will be available to the Resident Individual, HUF, Companies / LLP, Societies, Partnership Firm, Trusts. Non- Resident Indian (NRI) only by way of automatic redemption with terms and conditions as prescribed in the SMILE form.
2. Contributions to NGOs and HYNGO Foundation :

I- Annual Contribution Option:

1. 10% of the contribution from the holding of the investor under the folio tagged for SMILE at the end of each year ended September 30 shall be made by way of automatic redemption of units. Please refer the detailed example below;

- (a) Holding as on September 30, 2020 is Rs. 5,000 and the investor has selected an option of 10% of the Value.
- (b) 10% of Rs 5,000 + load (if any) = Rs. 500 (will be deducted as partial redemption done automatically)

2. Investor to select from a list of 7 NGOs at the time of initial request.
3. Minimum 1 and Maximum 2 NGO can be selected.
4. If no NGO is/are selected then the contribution will be made to the HYNGO Foundation as General Donation.
5. Investors to prescribe a percentage (%) of contribution to be distributed to each NGO in the form.
6. Investors can change their NGOs and % of contribution any time. However, the amount will be distributed according to the latest request registered as on September 30.

II - Investor Advised Contribution Option:

Investor can contribute minimum amount of Rs. 500/- by way of redemption anytime from folio tagged with SMILE to NGOs. The details of NGOs available for contribution are uploaded on the HYNGO India Private Limited website www.helpyourngo.com.

HYNGO Foundation will transfer 95% of the contribution received under 2(I)(4) and Investor Advised Option to respective NGOs and will retain 5% with it as General Donation to HYNGO Foundation.

III - Contribution At the time of Redemption Option:

Investor can contribute minimum amount of 1% of redemption amount subject to maximum of Rs.1000/- or such other amount at the discretion of the investor from the total redemption amount to HYNGO Foundation as donation. If the contribution amount is less than Rs. 1000/- then that will form part of General Donation to HYNGO Foundation and if contribution amount is more than or equal to Rs.1000/- then it will form part of Corpus Donation to HYNGO Foundation. In case of Corpus Donation to HYNGO Foundation, HYNGO Foundation will retain 5% as the fees and disburse 95% every year to support credible NGOs over a period of time.

3. The Contribution 10% or Investor Advised or at the time of redemption will be done by way of redemption of units subject to exit load. The long term / short term capital gain tax as may be applicable depend on the nature of scheme and holding period of units.
4. In case of Partial Redemption the units towards contribution to SMILE will be addition to the redemption units and in case of full redemption units towards contribution to SMILE will be net off from the total units.
5. The amount contributed by investors will be transferred based on the investor's authority to the HelpYourNGO Foundation's bank account within 10 Business Days from the end of the every year ended September 30 / redemption date selected by investor in case of Investor Advised / from the redemption date in case of at the time of redemption.
6. The amount of contribution will be in round integers or Rs. and not in paise decimals, with decimals up to 0.49 getting rounded off to the lower end and decimals from 0.50 rounding off to the higher end.
7. The AMC will transfer redemption amount based on investor authority to HYNGO Foundation which will issue donation receipt and 80G Certificate to the investor-for the amount remitted.
8. HYNGO Foundation will procure a confirmation and/or donation receipt from the NGO grantees that the donation amount has been received by them and the same will be uploaded on www.helpyourngo.com for complete transparency.
9. Investor needs to mention the Folio number in the application form, which will be tagged for SMILE facility from which selected contribution will be made to HYNGO Foundation in case of contribution by way of automatic redemption or Investor Advised option.
10. Investor can give request for tagging or un-tagging of the folio chosen for SMILE.
11. The Management Fees excluding scheme expenses on SMILE units received by the AMC from the Fund will be paid to HYNGO India Pvt. Ltd. for assisting and managing the SMILE Facility.
12. HYNGO India Pvt. Ltd. will update Reports on the amounts contributed through SMILE on the website - www.helpyourngo.com

This addendum forms an integral part of the SID and KIM of the Scheme(s) of Quantum Mutual Fund as amended from time to time.

**For Quantum Asset Management Company Private Limited
(Investment Manager - Quantum Mutual Fund)**

**Sd/-
Jimmy A Patel
Managing Director and Chief Executive Officer
DIN: 00109211**

Place: Mumbai

Date: May 13, 2021

Mutual fund investments are subject to market risks, read all scheme related documents carefully.